



Form ADV Part 2B – Individual Disclosure Brochure

Shane T. Wissmann

Investment Adviser Representative
CRD #5667984

Novem Group
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This document provides information about Shane T. Wissmann that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Shane T. Wissmann is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Shane T. Wissmann

Born: 1986

Education: Wayne State College
Bachelors of Science Finance, Economics, 2009

Business Background (past 5 years)

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|-------------------|---|
| 12/2014 – Present | Investment Adviser Representative Novem Group |
| 5/2010 – Present | Registered Representative American Portfolios Financial Services, Inc. |
| 05/2009 – Present | Investment Officer Five Points Bank |
| 07/2011 – 12/2014 | Investment Adviser Representative Regal Investment Advisors, LLC. |

Certifications

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| Series 7 | General Securities Representative Exam |
| Series 63 | Uniform Securities Agent State Law Exam |
| Series 66 | Uniform Combined State Law Exam |

Other Business Activities

Shane T. Wissmann is a Registered Representative of American Portfolios Financial Services, Inc. and a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a registered representative or licensed insurance agent.

Shane T. Wissmann is an Investment Officer at Five Points Bank, as well as a Portfolio Manager and member of the Asset Selection Committee of Five Points Bank Trust.

Additional Compensation

Shane T. Wissmann does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Shane T. Wissmann works closely with his supervisor, Kris Tower, and all advice provided to clients and account-related transactions are reviewed by his supervisor. Shane T. Wissmann adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.