



Investment Management

*Creating Investment Alternatives*

*Since 1992*

*Item 1*

## Cover Page

### SOPHER INVESTMENT MANAGEMENT, INC.

MYRON R. SOPHER  
1233 SHELBURNE ROAD, SUITE D6B  
SOUTH BURLINGTON, VERMONT 05403  
PHONE: 802-658-0625  
MARCH 23, 2011

This Brochure Supplement provides information about MYRON R. SOPHER that supplements the SOPHER INVESTMENT MANAGEMENT, INC. Brochure. You should have received a copy of that Brochure. Please contact MYRON R. SOPHER if you did not receive the firm's Brochure or if you have any questions about the contents of this supplement.

**Additional information about MYRON R. SOPHER is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



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**Item 2**

**Educational Background  
and Business Experience**

**MYORN R. SOPHER**

**DATE OF BIRTH:** May 6, 1950

**FORMAL EDUCATION:** University of Vermont, BA 1973

**BUSINESS BACKGROUND:**

- A founder of the firm in 1992 and has served as its President since that time.
- Prior to 1992 he served in executive capacities at:
  - o Burlington Industries, New York City, National Accounts Manager
  - o American Hospital Supply Corporation, Inc., Evanston, Illinois, Eastern Regional Zone Manager, and then Regional Manager and National Accounts Manager for the American Convertors Division Herman Miller, Inc., Zeeland, Michigan, Health Science Division National Accounts Manager
  - o Computer Aided Planning, Vice President of Sales prior to its purchase by McGraw Hill Corporation

**Item 3**

**Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4**

**Other Business Activities**

MYRON R. SOPHER is not actively engaged in any investment related business, occupation or pending activity for compensation as described in this section.

**Item 5**

**Additional Compensation**

There is nothing to report in this section.



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### **Item 6**

### **Supervision**

Myron R. Sopher does supervise Matthew D. Johnson. He does this by having weekly meetings, attending client conferences, reviewing client emails and written communications.

All trade sheets are reviewed periodically for performance relative to benchmarks. Investment objectives are reviewed to make sure we are within parameters discussed with the client.

### **Item 7 Requirements for State-Registered Advisers**

There is nothing to report in this section as MYRON R. SOPHER is supervised through the firm's Ethics Code. His actions are subject to our firm's ethical conduct code. His trading in personal accounts is reviewed by Matthew Johnson.

If company employees sense there is a violation of the ethical code in place they have the opportunity to go outside of the firm through a mechanism that is described in our Code of Ethics.

As to Section A. 1. MYRON R. SOPHER has not been involved in any of the listed events where he was found liable in an arbitration claim alleging damages in excess of \$2,500.

As to Section A. 2. MYRON R. SOPHER has not been involved in any of the listed events where he was found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the listed items.

As to Section B. MYRON R. SOPHER has never been the subject of a bankruptcy petition.