

## **Part 2B of Form ADV: *Brochure Supplement***

George G. Hemsley, CFP®  
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**Hemsley Advisors, Ltd.**  
**Barrington, IL 60010**

As of 03/28/2011

This brochure supplement provides information about George G. Hemsley that supplements the Hemsley Advisors, Ltd. brochure. You should have received a copy of that brochure. Please contact Nijole M Astra Valaitis if you did not receive Hemsley Advisors, Ltd.'s brochure or if you have any questions about the contents of this supplement.

Additional information about George G. Hemsley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational, Background and Business Experience**

**Full Legal Name:** George G. Hemsley

**Born:** 1954

**Education:**

- Nathaniel Hawthorne College; B.S., Business Administration; 1976

**Business Experience:**

- AHT Financial Group, Ltd.; Principal; from 01/01/1985 to Present
- Hemsley Advisors, Ltd.; President; from 11/01/1988 to Present

**Designations:**

George G. Hemsley has earned the following designation(s) and is in good standing with the granting authority:

- Certified Financial Planner® (CFP®); Certified Financial Planner Board of Standards Inc.; 1986

Following is a summary of the minimum requirements for the designation earned.

**Certified Financial Planner® (CFP®)**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services,

and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

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### **Item 3 Disciplinary Information**

George G. Hemsley has no reportable disciplinary history.

### **Item 4 Other Business Activities**

#### **A. Investment-Related Activities**

1. George G. Hemsley is also engaged in the following investment-related activities:

a. Registered representative of a broker-dealer

George G. Hemsley is a principal and one third owner of AHT Financial Group, Ltd., (AHT) a limited broker-dealer. As a registered representative entitled to receive commissions from the sale of mutual funds, variable annuities, and other security products there potential could be a conflict of interest in meeting the needs of the investment advisory clients of Hemsley Advisors, Ltd. (HA). All potential clients of HA are fully informed of the relationship with AHT and told that if there ever was a commission in a product it would be fully disclosed prior to the client investing in that product.

b. Insurance company or agency

George G. Hemsley has an insurance license that allows him to act as an independent agent and transact life insurance business. From the sale of these products a commission would be received. All clients are advised that our intention is not to sell life insurance but if a product was ever purchased by the client from George G. Hemsley all commissions would be disclosed upfront.

2. George G. Hemsley receives commissions, bonuses or other compensation on the sale of securities or other investment products.

Commissions are received from the sale of security products through AHT Financial Group, Ltd. In addition, quarterly commissions are received via 12(b)1 fees received from AHT Financial Group, Ltd. These commissions provide an incentive to recommend load mutual funds with trailing commissions to investment advisory clients. All clients are told of this potential incentive and conflict of interest. In addition they are told that the purpose of AHT is only to service long time mutual fund clients, not to recommend load funds to investment advisory clients. All mutual funds currently recommended are strictly no load and are purchased through Charles Schwab & Co. which does not pay a commission to any security licensed person or investment advisor.

B. Non Investment-Related Activities

George G. Hemsley is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

**Item 5 Additional Compensation:**

George G. Hemsley does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** Nijole M. Astra Valaitis  
**Title:** Chief Compliance Officer  
**Phone Number:** 847-381-7314

Daily there are ongoing discussions on the status of client accounts and services to be reviewed and completed. There is continuous monitoring of all communications due to the structure of the Firm. Various industry publications, corporate material, daily news programs are read, viewed, and studied to create financial plans, and design portfolios to substantiate the basis of decisions made.

All client trades and electronic communications are reviewed by the Chief Compliance Officer (CCO), Nijole M. Astra Valaitis. Periodically, the CCO reviews all areas of the Investment Advisory Policies and Procedures Manual for the Firm with all employees and assesses the accuracy and compliance of all the rules and regulations of the Firm.

All employees are required to maintain their professional designations and to be in good standing. This requires ongoing education in the field of the services that are offered.