

GLOBAL VIEW CAPITAL



***Stone Ridge III Business Center
N14 W23833 Stone Ridge Drive, Suite 350A
Waukesha, WI 53188***

262.650.1030

Disclosure Brochure

November 10, 2011

This brochure provides information about the qualifications and business practices of Global View Capital Management, LTD. If you have any questions about the contents of this brochure, please contact us at 262.650.1030. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Global View Capital Management, LTD is a registered investment adviser. Registration of an investment adviser does not imply any level of skill or training. The oral and written communications of an adviser provides you with information about an adviser which will help you determine to hire or retain an adviser. Additional information about Global View Capital Management, LTD also is available on the SEC's website at www.adviserinfo.sec.gov.

Material Changes

On August 12, 2010, the United State Securities and Exchange Commission (“SEC”) published “Amendments to Form ADV” which requires Global View Capital Management, LTD (“GVC”, we, us, our, ours”) to provide clients and prospective clients (“you, your, yours”) with a brochure and brochure supplements written in plain English. This brochure is prepared according to the SEC’s new requirements and rules.

Going forward, we will see that you receive a summary of any material changes to our brochures by April 29th of each year. We may also provide updated disclosure information about material changes on a more frequent basis. Any summaries of changes will include the date of our last annual update of our brochure.

Currently, our brochure may be requested by contacting Dina L. Fliss, President, at 262.650.1030 or dina@gvcaponline.com. We will provide you with a new brochure at any time without charge.

Additional information about our company is also available via the SEC’s website: www.adviserinfo.sec.gov.

The SEC’s website also provides information about any persons affiliated with us who are registered as investment adviser representatives of GVC. Information on our investment adviser representatives who work with your account can be found in our brochure supplements.

Table of Contents

Advisory Business 2

Fees and Compensation 2

Performance-Based Fees 4

Types of Clients 4

Methods of Analysis, Investment Strategies and Risk of Loss 4

Disciplinary Information..... 6

Other Financial Industry Activities and Affiliations 6

Code of Ethics, Participation or Interest in Client Transactions and Personal Trading..... 6

Brokerage Practices..... 7

Review of Accounts 7

Client Referrals and Other Compensation 8

Custody 8

Investment Discretion 8

Voting Client Securities 8

Financial Information 9

Brochure Supplement..... 10

SEC File Number: 801-
CRD Number 158292

Advisory Business

GVCN is a corporation organized under Wisconsin state law. Dina Fliss founded the Waukesha-based investment advisory firm in 2011. The firm is a wholly owned subsidiary of Global View Capital Holdings, Ltd, which is owned by Dina Fliss and Dean Fliss. GVCN is a SEC registered investment advisory firm.

We provide investment advisory services as a sub-adviser to Flexible Plan Investments, Ltd. ("FPI"). FPI is a federally registered investment adviser which sponsors the Strategic Solutions Program (the "Program"). Model portfolios are designed to meet various investment objectives. These model portfolios are actively managed and are offered through the Program.

We manage the models to specific objectives rather than to the individual needs of clients. The investment advisers that utilize our services match the suitability of our strategies to their client's personal financial situation through the use of a suitability questionnaire. Restrictions on investing may preclude an adviser from choosing our models for their clients' portfolios.

Management of Wrap Accounts

Our consulting services are offered as part of a wrap fee program. The fee you pay to us is included in the wrap fee you pay to FPI. We do not manage accounts on a non-wrapped basis.

Fees and Compensation

Broker-dealers and other financial institutions that hold client accounts are referred to as custodians ("custodian/broker-dealer"). Your custodian/broker-dealer determines the values of the assets in your portfolio.

We offer our services on a fee-only basis. You must authorize FPI in writing to have the custodian/broker-dealer pay FPI and indirectly us by charging your account. One-fourth of the annual fee is charged each calendar quarter. Your custodian/ broker-dealer provides you with statements that show the amount paid for advisory services. You should review and verify the calculation of our fees. Your custodian/broker-dealer does not verify the accuracy of fee calculations.

Fees for the initial quarter are prorated based upon the number of calendar days in the calendar quarter that our agreement is in effect. Thereafter, our fee is calculated based upon the market value of the assets in your account at the end of each calendar quarter.

Our fee schedules are as follows:

GPS Fee Schedule	
<u>Assets under Management</u>	<u>Advisory Fee</u>
Up to \$500,000	1.00%
\$500,000 - \$999,999	0.75%
\$1,000,000 and above	0.50%

Advisory fees are shared by GVCM and FPI. All fees are negotiable at our sole discretion. Up to 20 basis points may be credited back to your account depending upon the use of the Direxion Evolution mutual funds that are sub-advised by FPI for the portfolios. Accounts under \$25,000 will be charged a small account fee. Advisory fee does not include solicitor's fee. Please see FPI's Part 2A, Appendix 1, Wrap Fee Program Brochure for more details about fees and expenses.

THAS & TEMS Fee Schedule	
<u>Assets under Management</u>	<u>Advisory Fee</u>
Up to \$500,000	1.00%
\$500,000 - \$999,999	0.75%
\$1,000,000 and above	0.50%

Advisory fees are shared by GVCM and FPI. All fees are negotiable at our sole discretion. Accounts under \$25,000 will be charged a small account fee. Advisory fee does not include solicitor's fee. Please see FPI's Part 2A, Appendix 1, Wrap Fee Program Brochure for more details about fees and expenses.

In addition to the wrap fee, you will be required to pay other charges (as applicable) such as:

- custodial fees,
- SEC fees,
- internal fees and expenses charged by mutual funds, ETFs, or variable annuity subaccounts, and
- taxes on brokerage accounts and securities transactions.

You will be provided with a copy of FPI's ADV Part 2A, Appendix 1, Wrap Fee Program Brochure which discloses additional aspects of the wrap programs.

Mutual fund companies, ETFs, variable life insurance, and variable annuities charge internal fees and expenses for their products. These fees and expenses are in addition to any advisory fees charged by us. Complete details of these internal fees and expenses are explained in the prospectuses for each investment. You are strongly encouraged to read these explanations before investing any money. You may ask us any questions you have about fees and expenses.

Mutual funds held in accounts at brokerage firms may pay internal fees that are different from funds held at the mutual fund company. While you may purchase shares of mutual funds directly from the mutual fund company without an advisory fee, those investments would not be part of our advisory relationship with you. This means that they would not be included in our investment strategies, investment performance monitoring, or portfolio reallocations.

Please be sure to read the section entitled "Brokerage Practices," which follows later in this brochure.

You pay our advisory fees after receiving our services. You may terminate your advisory agreement within five (5) business days from the date the agreement is executed, without penalty.

Should any party to the agreement terminate the agreement before the end of a billing period, any fees that we have earned are immediately due and payable.

Our president is also a registered representative of the Strategic Financial Alliance, Inc., a registered broker/dealer, member FINRA/SIPC, and registered investment adviser. She is also licensed with various insurance companies. She may earn commissions if investment or insurance products are purchased through her in these capacities.

This presents a conflict of interest because it creates an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investment with you upon request. We also recommend no-load and load-waived mutual funds to further reduce conflicts of interest. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

Performance-Based Fees

Performance-based fees are designed to give a portion of the returns of an investment to the investment adviser as a reward for positive performance. The fee is generally a percentage of the profits made on the investments. We do not charge performance-based fees on any of our client accounts.

Types of Clients

We provide sub-advisory services to other investment advisers. The minimum account size for starting and maintaining an advisory relationship through FPI is \$5,000. We, at our sole discretion, may accept clients with smaller portfolios or lower minimums based upon certain factors including:

- anticipated future earning capacity,
- anticipated future additional assets,
- account composition,
- related accounts, and
- pre-existing client relationships.

We may consider the portfolios of your family members to determine if your portfolio meets the minimum size requirement.

Methods of Analysis, Investment Strategies and Risk of Loss

GVCN and FPI use technical analysis in the selection of specific investments for your portfolios.

Technical analysis is a method of evaluating securities by analyzing statistics generated by market activity, such as past prices and volumes. Technical analysts do not attempt to measure a security's intrinsic value, but instead use charts and other tools to identify patterns that can suggest future activity.

Global Portfolio Strategies

Global Portfolio Strategies (“GPS”) offers six model portfolios, each of which is a blend of at least five actively managed strategies. The blended strategies in each of the model portfolios are chosen from over 70 different strategy profiles maintained, traded and monitored by FPI. Each of the strategy profiles are actively managed and follow a rules-based discipline. Each portfolio is comprised of no-load mutual funds, ETFs, variable annuity or variable life insurance subaccounts. Available model portfolios are designed to meet the following investment objectives:

- Aggressive,
- Growth,
- Balanced,
- Moderate,
- Conservative, and
- Enhanced Income (assumes a 5% annual withdrawal).

Tactical Hard Asset Strategy

Security selection for the Tactical Hard Asset Strategy (“THAS”) begins with a universe of exchange traded funds (“ETFs”) and no-load or load-waived mutual funds that cover primarily commodity producers and physical commodities, national currencies from resource-rich nations or those that may rise against the falling US Dollar. Certain mutual funds may be used occasionally. GVCN subscribes to AdvisorGuide, a research service providing daily rankings of mutual funds and ETFs using a

proprietary algorithm program, and Index Universe, an independent subscription service that provides news, research and analytical tools related to index funds, ETFs and index derivatives.

FPI then employs momentum-based, relative strength and trend following analysis to further identify the specific securities selected and the weighting used to gain exposure to market leaders. To provide downside protection in a bear market, stop-loss limits allow for the assets to shift to money market or bond investments.

Tactical Emerging Markets Strategy

Security selection for the Tactical Emerging Markets Strategy (“TEMS”) begins with a universe of ETFs and no-load or load-waived mutual funds that cover primarily the emerging and frontier markets equity funds. GVCN subscribes to AdvisorGuide, a research service providing daily rankings of mutual funds and ETFs using a proprietary algorithm program, and Index Universe, an independent subscription service that provides news, research and analytical tools related to index funds, ETFs and index derivatives.

FPI then employs momentum-based, relative strength and trend following analysis to further identify the specific securities selected and the weighting used to gain exposure to market leaders. To provide downside protection in a bear market, stop-loss limits allow for the

assets to shift to money market or bond investments.

Our investment strategies may include long-term and short-term purchases. Frequent trading can affect investment performance through increased taxes. You may place reasonable restrictions on the strategies to be employed in your portfolio and the types of investments to be held in your portfolio.

All investments involve risks that can result in loss:

- loss of principal,
- a reduction in earnings (including interest, dividends and other distributions), and
- the loss of future earnings.

Additionally, these risks may include:

- market risk,
- interest rate risk,
- issuer risk, and
- general economic risk.

Although we manage your portfolio in a manner consistent with your risk tolerances, we cannot guarantee that our efforts will be successful. You should be prepared to bear the risk of loss.

Disciplinary Information

We have not been the subject of any legal or disciplinary events that would be material to your evaluation of our business or the integrity of our management.

Other Financial Industry Activities and Affiliations

As explained under “Fees and Conditions” above, our president is licensed as a registered representative through The Strategic Financial Alliance, Inc. She is also licensed as an insurance agent with various insurance carriers.

This presents a conflict of interest because it creates an incentive to make recommendations based upon the amount of compensation we can receive rather than based upon your investment needs. As previously noted, we will explain the specific costs associated with any recommended investments with you upon request. We also recommend no-load and load-waived mutual funds to further reduce conflicts of interest. You have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

We have adopted a Code of Ethics (“Code”) to address the securities-related conduct of our financial advisors and employees. The Code includes our policies and procedures developed to protect your interests in relation to the following:

- the duty at all times to place your interests ahead of ours;

- that all personal securities transactions of our employees be conducted in a manner consistent with the Code and avoid any actual or potential conflict of interest, or any abuse of an employee's position of trust and responsibility;
- that employees may not take inappropriate advantage of their positions;
- that information concerning the identity of your security holdings and financial circumstances are confidential; and
- that independence in the investment decision-making process is paramount.

We will provide a copy of the Code to you or any prospective client upon request.

We do not buy or sell securities for our firm that we also recommend to clients. Our employees are permitted to buy or sell the same securities for their personal and family accounts that are bought or sold for your account(s). The personal securities transactions by employees may raise potential conflicts of interest when they trade in a security that is:

- owned by you or
- considered for purchase or sale for you.

We have adopted policies and procedures that are intended to address these conflicts of interest. These policies and procedures:

- require our employees to act in your best interest,

- prohibit favoring one client over another, and
- provide for the review of transactions to discover and correct any same-day trades that result in an employee receiving a better price than a client.

Employees must follow our procedures when purchasing or selling the same securities purchased or sold for you.

Brokerage Practices

We recommend that the broker-dealer/custodian for your account be the Trust Company of America ("TCA"). Our services have been customized to use the services of TCA through FPI's investment platform. We receive a portion of the advisory fee you pay to FPI. All transactions and back office functions are performed or provided by FPI and TCA.

We may receive:

- financial assistance for conferences,
- sales or employee training programs,
- travel and lodging expenses for meetings and seminars, and
- gifts of nominal value from various broker-dealers, trust companies, mutual funds, insurance companies, and other investment advisers as permitted under applicable regulations.

Review of Accounts

We regularly monitor our models, as well as general conditions in the stock and bond markets, and recommend changes

and/or alternate investments or opportunities when we believe it is appropriate to do so. We strongly encourage you to notify your financial adviser of any material changes in your overall financial condition or your investment objectives or risk tolerance as these could have a material effect on the recommendations made to you.

Dina Fliss, President, is responsible for conducting all model reviews. While you will generally meet with your financial adviser, we may arrange for one or more other advisers who have particular subject matter expertise to also meet with you.

You will receive statements from Trust Company of America (“TCA”) or your variable annuity and life insurance carrier at least quarterly. These statements identify your current investment holdings, the cost of each of those investments, and their current market values.

You will receive confirmations and quarterly statements from your custodian for all of the transactions FPI effects for your account. You will also receive quarterly reports from FPI/GVCM. We do not provide reports separate from FPI.

Client Referrals and Other Compensation

We receive certain economic benefits as a result of our participation in FPI’s wrap program. Those benefits are described

in detail in the preceding section entitled “Brokerage Practices.”

Custody

We do not act as a custodian for your account. You will receive statements from TCA or your variable annuity and life insurance carrier on at least a quarterly basis. You should verify that the transactions in your account are consistent with your investment goals and the objectives for your account. We also encourage you to contact us or your solicitor should you have any questions or concerns regarding your account.

Investment Discretion

We offer our advisory services on a discretionary basis. This means that we do not need advance approval from you to determine the type and amount of securities to be bought and sold for our model portfolios. By selecting our models and strategies, investors choose TCA as the broker-dealer through which transactions will be executed. We do not have the ability to withdraw funds from your account.

Voting Client Securities

We do not take any action or give any advice with respect to voting of proxies solicited by or with respect to the issuers of securities in which your accounts may be invested.

Financial Information

We have no financial commitment that impairs our ability to meet contractual and fiduciary commitments to you and we have not been the subject of a bankruptcy proceeding.

GLOBAL VIEW CAPITAL



Dina L. Fliss

CRD #1358397

***Stone Ridge III Business Center
N14 W23833 Stone Ridge Drive, Suite 350A
Waukesha, WI 53188
262.650.1030***

Brochure Supplement

November 10, 2011

This brochure supplement provides information about Dina Fliss that supplements the Global View Capital Management, LTD brochure. You should have received a copy of that brochure. Please contact Dina Fliss, President, if you did not receive Global View Capital Management, LTD's brochure or if you have any questions about the contents of this supplement. Additional information about Dina Fliss is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience



Dina Louise Fliss
CRD #1358397

Year of birth: 1958

Formal education after high school:

- University of Wisconsin, attended 1977 - 1980

Business background:

- Global View Capital Management, LTD, President/Chief Compliance Officer (02/11 to Present)
- The Strategic Financial Alliance, Inc., Registered Representative (11/10 to Present)
- Bay Ventures, LLC, Member (05/02 to Present)
- Investment Advisors International, Executive Director (07/06 to 11/10)

- World Group Securities, Inc., Registered Representative (02/02 to 11/10)
- World Financial Group, Associate (06/01 to 11/10)
- City First Mortgage Services, Loan Originator (08/08 – 06/10)
- Innergy Lending, LLC, Loan Originator (06/06 – 06/08)

Disciplinary Information

Dina Fliss has not been the subject of any legal or disciplinary event initiated by a governmental entity or regulatory body.

Other Business Activities

In addition to her association with Global View Capital Management, Ltd, Dina Fliss is also:

- a registered representative and investment adviser representative of The Strategic Financial Alliance, Inc. (a registered broker/dealer); and
- a licensed insurance agent.

If Ms. Fliss performs services for you as a registered representative or investment adviser representative of The Strategic Financial Alliance, Ms. Fliss may receive commissions or other compensation from the sale of mutual funds or other products to you.

(We recommend no-load or load-waived mutual funds to further reduce conflicts of interest.) Ms. Fliss may also earn commissions from insurance products you purchase from her.

This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. Ms. Fliss will explain the costs associated with any recommendations she makes. You have no obligation to do business with Ms. Fliss in any of these capacities.

Additional Compensation

Ms. Fliss may receive additional compensation for her activities as a

registered representative of a broker-dealer and as an insurance agent. This compensation is described under “Other Business Activities” above. This may also include applicable sales awards and other prizes.

Supervision

Ms. Fliss is the President of Global View Capital Management, Ltd. There are no other members of management to supervise or to monitor her activities. However, Ms. Fliss is required to adhere to our processes and procedures as described in our firm’s Code of Ethics.