

# INVESTMENT MANAGEMENT BROCHURE

## SUPPLEMENT FOR

Ted Augustyniak

233 S. WACKER DRIVE

84TH FLOOR

CHICAGO, IL 60606

(312) 283-8410

Prepared on July 2013

## ARISTON WEALTH MANAGEMENT, LP

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This brochure supplement provides information about Ted Augustyniak that supplements the Ariston Wealth Management, LP brochure. You should have received a copy of that brochure. Please contact the Longwood, FL office at (321) 594-4660 if you did not receive Ariston Wealth Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Ted Augustyniak is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 2 – Educational Background and Experience**

Theodore (Ted) R Augustyniak

Birth year: 1982

Attended: Illinois State University, Northeastern Illinois University - B.S. Business Administration

Business Background:

Vice President of AWM (12/2011 to present)

Registered Representative Meyers Associates (12/2011 to present)

Co-Director of Asset Management at Anderson Strudwick (07/2010 to 11/2011)

Wealth Advisor with Jesup & Lamont, Inc. from 2009-2010

Financial Advisor with Interocean Wealth Management from 2007-2009

Registered Representative with National Securities Corporation 2006-2007

## **Item 3 – Disciplinary Information**

Ted Augustyniak has no disciplinary matters. You can view Mr. Augustyniak CRD record on FINRA's Broker Check System at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

## **Item 4 – Other Business Activities**

Mr. Augustyniak, as stated in the experience section above, is securities registered with Meyers Associates, LP., and may receive commissions, bonuses, trails and other compensation based on the sales of securities or other investments products. This may provide an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

## **Item 5 – Additional Compensation**

Ted Augustyniak does not receive any other type of economic benefit that includes sales awards and/or other incentives for the amount of accounts he manages or opens.

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## **Item 6 - Supervision**

All new accounts are reviewed by the investment advisor representative and designated branch manager or other supervisor before the account is accepted. Client's financial statuses, risk tolerance, level of investment experience, as well as suitability of the specific program are among the numerous factors considered in the initial review. Additionally, the investment advisor representative assigned to the account is required to review the client's account and financial circumstances periodically, but not less than annually, to determine the continued suitability of the program and investments.

A comprehensive analytical report is furnished to each client quarterly. This report includes portfolio holdings with quarter-ending market values, cost basis, acquisition dates, realized and unrealized gains & losses, projected annual income & yield of each holding, and portfolio performance. In addition, client receives a confirmation for each security purchase and sale and a monthly brokerage statement for each month in which there is activity, but no less frequently than quarterly.

Ted Augustyniak is specifically supervised by Mr. Scott Werling, a registered principal with Meyers Associates, LP and Ariston Wealth Management L.P.. Mr. Werling may be reached directly at 646.442.0655. Brad Szczecinski, President, will serve as designated principal and supervisor for AWM. Brad can be reached at 312.283.8408 or by mail 233 S. Wacker Drive, 84<sup>th</sup> Floor, Chicago, IL 60606.

## **Item 7 – Requirements for State Registered Advisers**

Ted Augustyniak has not been subject to a bankruptcy petition.