

**USAdvisors Wealth Management, LLC**  
**Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Julieann E. Schroeder**

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**This brochure supplement provides information about this representative that supplements the USAdvisors Wealth Management brochure. You should have received a copy of that brochure. Please contact USAdvisors Wealth Management if you did not receive USAdvisors Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about this representative is available of the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. Julieann Schroeder's CRD number is 1941592.**

## **Item 2. Educational Background and Business Experience**

**Name:** Julieann Schroeder

**Born:** 1959

### **Education Background and Professional Designations**

#### **Education:**

- Marshall Senior High School, Marshall, MN, graduated 1977
- ABA Graduate School of Banking – National Graduate Trust School hosted at Northwestern University, Evanston, IL, 3-year course sponsored by the American Bankers Association, graduated 1986

#### **Designations / Licensure:**

- Passed the Series 7 examination administered by FINRA
- Passed the Series 63 and Series 65 examinations administered by NASAA
- Holds the Certified Financial Planner® (CFP®) designation. The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### **Business Background – Previous Five Years**

11/2011 to Present    USAdvisors Wealth Management, LLC, Investment Advisor Representative

11/2011 to Present    Securities America, Inc., Registered Representative

05/1999 to 11/2011    Focus Financial Network, Financial Advisor

## **Item 3. Disciplinary Information**

Julieann Schroeder has no disciplinary history.

## **Item 4. Other Business Activities**

In her separate capacity as a Registered Representative of Securities America, Ms. Schroeder may recommend securities products offered by them, and may receive the normal commissions if products are purchased through her. Clients are under no

obligation to purchase products recommended by Ms. Schroeder in her capacity as a Registered Representative of Securities America or to purchase products through them.

Neither USAdvisors Wealth Management nor Ms. Schroeder share in any commissions, 12b-1 fees or other compensation generated from the purchase or sale of securities in Portfolio Management accounts.

#### **Item 5. Additional Compensation**

In her separate capacity as a Registered Representative of Securities America, Ms. Schroeder is eligible to receive incentive awards (including prizes such as trips or bonuses) for recommending certain types of investment products that she may recommend.

While we endeavor at all times to put the interest of our clients first as part of our fiduciary duty, the possibility of receiving incentive awards creates a conflict of interest, and may affect her judgment when making recommendations.

#### **Item 6. Supervision**

The Chief Compliance Officer of USAdvisors Wealth Management, LLC, Joanne Rogers, is responsible for all supervision, and the Investment Committee is responsible for the formulation and monitoring of investment advice to clients. The Investment Committee will review periodic testing to ensure that client objectives and mandates are being met.

#### **Item 7. Requirements for State-Registered Advisers**

Julieann Schroeder has never been the subject of a bankruptcy petition nor has she ever been involved in any of the following events:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.