

This brochure supplement provides information about David Elgin Maxey that supplements the Heritage Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact David Elgin Maxey if you did not receive Heritage Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about David Elgin Maxey is also available on the SEC's website at www.adviserinfo.sec.gov.

Heritage Wealth Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

David Elgin Maxey

Personal CRD Number: 4927673

Investment Adviser Representative

Heritage Wealth Management, LLC
1440 E. Missouri Ave, Suite C200
Phoenix, AZ 85014
Main: (602) 569-9959
Fax: (602) 559-9505
dmaxey@heritageadvisorsllc.com

UPDATED: 9/29/2015

Item 2: Educational Background and Business Experience

Name: David Elgin Maxey **Born:** 1982

Educational Background and Professional Designations:

Education:

David Elgin Maxey has not received any higher education degrees after high school.

Business Background:

08/2014 – Present	Investment Adviser Representative Heritage Wealth Management, LLC
04/2008 – 08/2014	Registered Representative/IAR Wells Fargo Advisors, LLC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

David Elgin Maxey is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Heritage Wealth Management, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Heritage Wealth Management, LLC in their capacity as a licensed insurance agent.

Item 5: Additional Compensation

David Elgin Maxey does not receive any economic benefit from any person, company, or organization, other than Heritage Wealth Management, LLC in exchange for providing clients advisory services through Heritage Wealth Management, LLC.

Item 6: Supervision

As a representative of Heritage Wealth Management, LLC, David Elgin Maxey works closely with Chief Compliance Officer, Keegan Healey, and all advice provided to clients is reviewed by this Chief Compliance Officer prior to implementation. Keegan Healey can be reached at (602) 569-9959. David Elgin Maxey adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Arizona state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. David Elgin Maxey has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. David Elgin Maxey has NOT been the subject of a bankruptcy petition in the past ten years.