

*This brochure supplement provides information about Jeffrey Michael Jones that supplements the Heritage Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Jeffrey Michael Jones if you did not receive Heritage Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Jeffrey Michael Jones is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Heritage Wealth Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Jeffrey Michael Jones**

Personal CRD Number: 5542768

Investment Adviser Representative

Heritage Wealth Management, LLC  
1440 E. Missouri Ave, Suite C200  
Phoenix, AZ 85014  
Main: (602) 569-9959  
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UPDATED: 9/29/2015

## **Item 2: Educational Background and Business Experience**

**Name:** Jeffrey Michael Jones      **Born:** 1982

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor Business Finance, Arizona State University – 2007

#### **Business Background:**

10/2013 – Present	Financial Advisor Heritage Wealth Management, LLC
03/2012 – Present	Investment Advisor The Morgan Group
03/2006 – 03/2012	Licensed Banker Wells Fargo

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Jeffrey Michael Jones is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Heritage Wealth Management, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Heritage Wealth Management, LLC in such individual's outside capacities.

### Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Jeffrey Michael Jones does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Heritage Wealth Management, LLC.

### Item 6: Supervision

As a representative of Heritage Wealth Management, LLC, Jeffrey Michael Jones works closely with Chief Compliance Officer, Keegan Healey, and all advice provided to clients is reviewed by this supervisor prior to implementation. Keegan Healey can be reached at (602) 569-9959. Jeffrey Michael Jones adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### Item 7: Requirements For State Registered Advisers

*This disclosure is required by Arizona securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Jeffrey Michael Jones has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Jeffrey Michael Jones has **NOT** been the subject of a bankruptcy petition in the past ten years.