

**ITEM 1: Cover Page for
PART 2B OF FORM ADV:
BROCHURE SUPPLEMENT
DATED 5/19/2014**

MS. TAMARA SIMMONS

**AVALAN, LLC
233 E CARILLO, SUITE B
SANTA BARBARA, CA 93101**

PHONE NUMBER: (805) 962-7725

FIRM CONTACT: RICHARD SCHUETTE, CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS: WWW.AVALANWEALTH.COM

This brochure supplement provides information about Ms. Simmons that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Simmons if you did not receive Avalan, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Simmons is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Tamara Simmons

Born: 6/20/1970

Education:

Ms. Simmons attended William Rainey Harper College in 1995 with a degree in Business.

Ms. Simmons attended the American College in 2002.

Licenses and other Professional Designations:

01/2003 - CFP® designee

We may list any professional designations held by Ms. Simmons we must provide you with a sufficient explanation of the minimum qualifications required for each designation to allow you to understand the value of the designation.

The **CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Employment:

12/2013 to Present: Avalan, Investment Adviser Representative

8/2005 to 6/2013: Wealth By Design Capital Management, Inc. Owner

03/2011 to 12/2012: Centaurus Financial, Inc., Investment Adviser Representative

12/2009 to 02/2011: QA3 Financial Corp, Investment Adviser Representative

06/2005 to 12/2009: Investors Capital Corp, Investment Adviser Representative

Item 4 Other Business Activities

Ms. Simmons has a non-investment related business called Expert Business Services providing business administrative services.

Item 5 Additional Compensation

Ms. Simmons does not currently have any additional compensation to disclose.

Item 6 Supervision

Ms. Simmons is supervised by Mr. Schuette, Principal. He reviews Ms. Simmon's work through frequent office interactions as well as remote interactions

Email: Rich@avalanwealth.com

Phone: 805.962.7725

Item 7 Requirements for State-Registered Advisers

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.

Ms. Simmons was fined by the Indiana regulator for providing an incorrect residential address to the Indiana Securities Board. FINRA's BrokerCheck (<http://brokercheck.finra.org/support/termsandconditions.aspx>) may have additional information regarding the disciplinary history of Ms. Simmons that is not included in this brochure supplement.

- B. If Ms. Simmons has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.