

# Brochure Supplement

May 17, 2011

**Jesse M. Broel**

3517 211<sup>th</sup> Place SE  
Sammamish, WA 98075

(425) 372-7481

This brochure supplement provides information about Jesse M. Broel that supplements the brochure of Jacobs Broel Asset Management, LLC (herein after “JBAM”), a copy of which you should have received. Please contact our Chief Compliance Officer if you did not receive Jacobs Broel Asset Management, LLC’s brochure or if you have any questions about the contents of this supplement. Additional information about Jesse M. Broel is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Jacobs Broel Asset Management, LLC, a Registered Investment Adviser**

3517 211<sup>th</sup> Place SE, Sammamish, WA 98075 | (425) 372-7481 | [www.jacobs-broel.com](http://www.jacobs-broel.com)

## Item 2. Educational Background and Business Experience

Born 1974

### ***Post-Secondary Education:***

Whitworth College – 1997, BA, Economics

### ***Recent Business Background:***

Jacobs Broel Asset Management, LLC, Chief Operating Officer, Portfolio Manager, January 2011  
- Present

Ragen MacKenzie (a division of Wells Fargo Investments, LLC), Separate Asset Manager, July  
2006 – January 2011

Citigroup Smith Barney, Financial Consultant, April 2004 – June 2006

## Item 3. Disciplinary Information

JBAM is required to disclose the facts of any legal or disciplinary events that are material to a client's evaluation of Jesse M. Broel. JBAM does not have any required disclosures to this Item.

## Item 4. Other Business Activities

JBAM is required to disclose if Jesse M. Broel is engaged in any investment-related business or occupation, including whether the relationship creates a material conflict of interest or if Jesse M. Broel receives compensation from the sale of securities products. JBAM is also required to disclose if Jesse M. Broel is actively engaged in any other business that provides a substantial source of Jesse M. Broel's income or involves a substantial amount of his/her time. JBAM does not have any required disclosures to this Item.

## Item 5. Additional Compensation

JBAM is required to disclose if Jesse M. Broel receives an economic benefit outside of his/her regular compensation (i.e., salary plus regular bonus) for providing advisory services (e.g. additional bonus for referrals). JBAM has no disclosures related to this Item.

### **Item 6. Supervision**

Peter S. Jacobs, President, is responsible for supervising Jesse M. Broel's advisory activities on behalf of JBAM. Peter S. Jacob's phone number is (425) 372-7481.

JBAM supervises its personnel and the investments made in client accounts. JBAM monitors the investments recommended by Jesse M. Broel to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance as well as any restrictions requested by the client.

JBAM periodically reviews the advisory activities of Jesse M. Broel, which may include reviewing individual client accounts and correspondence (including emails) sent to and received by Jesse M. Broel.

### **Item 7. Requirements for State-Registered Advisers**

This section requires JBAM to make certain additional disclosures regarding Jesse M. Broel. This would include any arbitrations, certain court proceedings or bankruptcy. JBAM has no additional disclosures related to this Item.