

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
February 2015**

**Thomas Putney**

**JLP Capital Management, LLC  
222 New Road, Suite 503  
Linwood, NJ 08221**

**Firm Contact:  
Tom Putney  
Managing Member/Chief Compliance Officer**

This brochure supplement provides information about Mr. Putney that supplements our brochure. You should have received a copy of that brochure. Please contact if you did not receive JLP Capital Management, LLC's *dba* JLP Capital Management brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Putney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Thomas Putney**  
**Year of Birth:** 1969

### **Educational Background:**

- 2003; The Richard Stockton College of New Jersey; B.A. in Business Administration

### **Business Background:**

- 10/2014 – Present JPL Capital Management; Managing Member/Chief Compliance Officer
- 02/2011 – 10/2014 LPL Financial Services; Financial Consultant/Investment Manager
- 04/2009 – 02/2011 Soistmann/Putney Management; Member
- 06/2005 – 04/2009 LPL Financial Services; Financial Consultant
- 08/2003 – 06/2005 Wachovia Securities; Vice President
- 05/2001 – 08/2003 UBS Financial Services Inc., Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 09/2010: Property and Casualty Insurance
- 07/2001: Series 66
- 07/2001: Series 7
- 08/2001: Life and Health Insurance

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Putney.

## Item 4: Other Business Activities

Mr. Putney is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn.

## Item 5: Additional Compensation

Mr. Putney does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

Mr. Putney is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

### Item 7: Requirements for State-Registered Advisers

Mr. Putney has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding. Mr. Putney filed Chapter 7 bankruptcy with the United States Bankruptcy Court on 10/21/2011. The filing was discharged on 01/27/2012.