

# **DENNIS M. GOOD**

September 13, 2011

ADV Part 2B – Supplemental Brochure

*Tricord Advisors, Inc  
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**This Brochure Supplement provides information about Dennis M. Good that supplements the Tricord Advisors, LLC's Brochure. You should have received a copy of that Brochure. Please contact Mr. Good at (951) 684-7011 if you did not receive Tricord Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Dennis M. Good is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Dennis M. Good**

**Born:** 1939

**Education:** *University of Iowa* – B.S. in Business Administration

### **Business Background:**

*Tricord Advisors, Inc.* – April 2011 – Present  
– Investment Adviser Representative

*Liberty Group, LLC* – July 2006 – April 2011  
– Registered Representative/Client Services

*Mark in the Margin* – July 2006 – Present  
– Registered Representative

## **ITEM 3 – DISCIPLINARY HISTORY**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events within the past 10-years that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **ITEM 4 – OTHER BUSINESS ACTIVITIES**

A. Investment Related Other Business Activities: Mr. Good does not have any investment related other business activities.

B. Non-Investment Related Other Business Activities: Mr. Good is part owner of Mark in the Margin, a greeting card company. He spends approximately 10 hours a month on this activity.

## **ITEM 5 – ADDITIONAL COMPENSATION**

Mr. Good does not receive any additional compensation.

## **ITEM 6 – SUPERVISION**

Mr. Good is supervised by Randy Barkley, Chairman of Tricord Advisors, Inc. Mr. Barkley can be reached at (951) 684-7011. Mr. Barkley reviews all new business submitted by Mr. Good.

## **ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

### **A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings**

In 1991, Mr. Good was censured by the National Association of Securities Dealers (now the Financial Regulatory Authority) for not properly escrowing funds for a limited partnership. He was censured and paid a \$10,000 fine. Also, in 1997, after his resignation from Masters Funding Group, the firm failed to remove him from the firm's documents as chief financial officer. Subsequently, the firm was charged with failure to supervise, among other rule violations. In an effort to put the matter behind him Mr. Good signed an acceptance without admission. He was suspended by the California Department of Real Estate and fined \$1,000.

### **B. Bankruptcy History**

Mr. Good has not been the subject of a bankruptcy petition.