

*This brochure supplement provides information about Scott Roy Preble that supplements the Kingdom Financial Group LLC brochure. You should have received a copy of that brochure. Please contact Scott Roy Preble if you did not receive Kingdom Financial Group LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Scott Roy Preble is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Kingdom Financial Group LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Scott Roy Preble**  
Personal CRD Number: 2648147  
Investment Adviser Representative

Kingdom Financial Group LLC  
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Peoria, AZ 85381  
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UPDATED: 05/29/2018

## Item 2: Educational Background and Business Experience

**Name:** Scott Roy Preble      **Born:** 1970

### **Educational Background and Professional Designations:**

#### **Education:**

BS PSY/BIO, CUH - 1993

#### **Business Background:**

05/2018 - Present	Investment Adviser Representative Kingdom Financial Group LLC
02/2017 - Present	IAR FFP/Kingdom Financial Group
04/1995 - 04/2012	Senior Branch Manager Scottrade Inc

## Item 3: Disciplinary Information

Scott Roy Preble voluntarily resigned, was discharged, or was permitted to resign after allegations were made that accused him of violating investment-related statutes, regulations, rules, or industry standards of conduct. The termination occurred on 04/18/2012 after allegations that Scott Roy Preble failed to make required notifications to the firm and disclose outside business activity. Scott Roy Preble dissolved the LLC in question and claims that he never conducted any business, acted upon or received any monetary gain in connection with the LLC.

## Item 4: Other Business Activities

Scott Roy Preble is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Scott Roy Preble does not receive any economic benefit from any person, company, or organization, other than Kingdom Financial Group LLC in exchange for providing clients advisory services through Kingdom Financial Group LLC.

### **Item 6: Supervision**

As the Chief Compliance Officer of Kingdom Financial Group LLC, Scott Roy Preble supervises all activities of the firm. Scott Roy Preble's contact information is on the cover page of this disclosure document. Scott Roy Preble adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Scott Roy Preble has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Scott Roy Preble was the subject of a Chapter 7 Bankruptcy on 03/21/2012. The Disposition was discharged on 12/03/2012. Scott Roy Preble was the subject of a Chapter 13 Bankruptcy on 04/20/2010. The Disposition was dismissed on 02/27/2012 and Scott Roy Preble agreed on 05/14/2010 to pay \$34,740.