

Part 2B of Form ADV: *Brochure Supplement***John C. Lambert, CFP[®], CLU[™], ChFC[™]**

Investment Advisor Representative

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This Brochure Supplement provides information about the background and qualifications of John C. Lambert that supplements the Caitlin John, LLC ADV Part 2A brochure. You should have received a copy of this brochure. Please contact Caitlin John, LLC at 1-810-335-1325 or by email at info@ciadvisor.com if you did not receive Caitlin John, LLC's brochure or if you have any questions about the contents of this supplement.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority.

Additional information about Caitlin John, LLC and John C. Lambert is available on the SEC's website at www.adviserinfo.sec.gov.

August 2017

John C. Lambert

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John C. Lambert, CRPC (CRD# 2399193) is an Investment Advisor Representative for Caitlin John, LLC.

Year of birth: 1964

Education: University of Michigan-Flint-Bachelor of Business Administration

Professional Designations:

Certified Financial Planner (CFP®), 2002

A certified financial planner (CFP®) is a certification owned and awarded by the Certified Financial Planner Board of Standards, Inc. The CFP® designation is awarded to individuals who successfully complete the CFP® Board's initial and ongoing certification requirements. Individuals desiring to become a CFP® professional must take extensive exams in the areas of financial planning, taxes, insurance, estate planning and retirement.

A certified financial planner (CFP®) must meet requirements in four categories: education, CFP® exam, work experience and ethics. The education requirements contain two major components. First, the candidate must verify that he holds a bachelor's degree or higher from an accredited university or college recognized by the U.S. Department of Education. Second, completion of a list of specific coursework in financial planning is required by the CFP® Board. Most of this second requirement, besides the capstone course, may be bypassed if the candidate holds one of many accepted financial designations, such as CFA, CPA® or a higher degree in business. All candidates are required to pass the CFP® exam, which is a computer-based exam taken by candidates over a three-day period. The exam is 170 multiple choice questions, which include stand-alone questions as well as item-set-style questions. The exam topic weights change regularly but include areas such as professional conduct and regulations, financial planning principles, education planning, risk management, insurance, investments, tax planning, retirement planning, and estate planning. As a final step to certification, CFP® practitioners agree to abide by a strict code of professional conduct, known as CFP® Board's Code of Ethics and Professional Responsibility, that sets forth their ethical responsibilities to the public, clients and employers. The CFP® Board also performs a background check during this process, and each individual must disclose any investigations or legal proceedings related to their professional or business conduct.

Chartered Life Underwriter (CLU™), 2006

A Chartered Life Underwriter (CLU™) has earned the premier credential in the insurance profession, representing eight or more comprehensive college-level courses covering all aspects of insurance planning, estate and retirement issues, taxation, business insurance, and risk management. For more than 80 years consumers have trusted this mark, which is conferred by The American College, a non-profit educator with the highest level of accreditation. The average study time for the program is over 400 hours and can take years to earn. Each CLU™ must also complete a minimum of 30 hours of continuing education every two years, adhere to strict ethical standards, and meet extensive experience requirements, ensuring the knowledge you're counting on is both comprehensive and current.

Chartered Financial Consultant (ChFC™), 2012

A Chartered Financial Consultant (ChFC™) is a professional designation representing advanced knowledge in wealth accumulation and retirement planning. Chartered Financial Consultant designations are granted by completion of nine college-level courses and pass an exam for each course, have at least three years of qualifying full-time work experience in financial planning or a related field, pass a professional fitness standards and background check. Once appointed, a ChFC™ must meet continuing education requirements to maintain the certification.

Business Background:

He has 24 years of industry experience since August, 1993 and continuing course education along with the CFP®, CLU™, and ChFC™ designations.

BUSINESS BACKGROUND and positions held for the preceding five years:

The Huntington Investment Company 02/2017 – 07/2017

Sr. Financial Advisor, Vice President

LPL Financial, LLC 03/2008 – 02/2017

Sr. Financial Advisor, Vice President

DISCIPLINARY INFORMATION

- A. There has been no criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which John C. Lambert has been involved.
- B. There has been no administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign regulatory authority in which John C. Lambert has been involved.
- C. John C. Lambert has not been involved in any self-regulatory organization proceeding.

OTHER BUSINESS ACTIVITIES

John C. Lambert is a Ski Instructor, staff trainer for the Mt. Holly Ski School and serves The Salvation Army of Genesee and Shiawassee Counties as Treasurer and Advisory Board Member

ADDITIONAL COMPENSATION

John C. Lambert is not compensated with performance based fees.

John C. Lambert may be compensated in addition to their fee received from Caitlin John, LLC directly by an Insurance Carrier for fixed products such as annuities, life insurance, long term care and Medicare Supplements.

SUPERVISION

John C. Lambert (Investment Advisor Representative) is supervised by Ronald J. Briggs Jr., CCO from the Caitlin John, LLC main office located at 1024 East Grand River Avenue Brighton, Michigan 48116. John C. Lambert can be reached at 1-810-355-1325 or by email at jlambert@cjadvisor.com