

**Part 2B of Form ADV: *Brochure Supplement*****Steve M. Roy**

Investment Advisor Representative

1024 East Grand River Avenue

Brighton, Michigan 48116

1-810-355-1325

This Brochure Supplement provides information about the background and qualifications of Steve M. Roy that supplements the Caitlin John, LLC brochure. You should have received a copy of that brochure. Please contact Caitlin John, LLC at 1-810-355-1325 or 1-877-238-1680 if you did not receive Caitlin John, LLC's brochure or if you have any questions about the contents of this supplement.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Steve M. Roy (CRD# 4601095) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

July 2017

**ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE****Steve M. Roy**

**Year of birth:** 1958

**Education:**

Steve M. Roy has a BBA degree from the University of Michigan.

**Professional Designations:**

Steve M. Roy holds a Certified Public Accountant (CPA) professional designation.

**Business Background:**

Steve M. Roy has over 25 years of industry experience and continuing course education along with the Series 6, 63, and 65.

**Business background and positions held for the preceding five years:**

Caitlin John, LLC – August 2016 to Present

Investment Adviser Representative

R & N Financial Solutions Inc.– January 2002 to December 2016

Roy, Noye & Warren CPA, P.C. – December 2000 to Present

President

Founders Financial Securities LLC – September 2010 to August 2016

Registered Representative

CFD Investments, Inc – November 2007 to June 2010

Registered Representative

Questar Capital Inc – October 2002 to November 2007

Registered Representative

**ITEM 3 - DISCIPLINARY HISTORY**

- A. There has been no criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which Steve M. Roy has been involved.
- B. There has been no administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign regulatory authority in which Steve M. Roy has been involved.
- C. Steve M. Roy has not been involved in any self-regulatory organization proceeding.

**ITEM 4 - OTHER BUSINESS ACTIVITIES**

Steve M. Roy is the vice-president and treasurer of Swartz Ambulance Service, Inc. Steve M. Roy spends approximately 5 hours a week on this activity.

**ITEM 5 – ADDITIONAL COMPENSATION**

Steve M. Roy does not receive any additional compensation other than what is disclosed in Caitlin John LLC's brochure

**ITEM 6 – SUPERVISION**

Steve M. Roy (Investment Advisor Representative) is supervised by Ronald J. Briggs Jr., CCO from the Caitlin John, LLC main office located at 1024 E. Grand River Ave Brighton, Michigan 48116. Steve M. Roy can be reached at 1-810-355-1325 or 1-810-720-4700 or by email at [sroy@cjadvisor.com](mailto:sroy@cjadvisor.com).