

Brochure Supplement

March 30, 2018

LFS Asset Management

Firm CRD No. 154223

Diana L. Cason

Vice President of Marketing

Individual CRD No. 6163375

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Burlingame, CA 94010

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This brochure supplement provides information about Diana L. Cason that supplements the LFS Asset Management brochure. You should have received a copy of that brochure. If you did not receive an LFS brochure or if you have any questions about the contents of this supplement, please contact us at jlau@lfsfinance.com.

Item 2: Educational Background and Business Experience

Diana L. Cason (b. 1958) is Vice President of Marketing with LFS Asset Management.

A. Educational Background

Las Positas Community College, Livermore, no degree

B. Business Background

Vice President of Marketing, LFS Asset Management	02/2013–Present
Credit Counselor, Consumer Credit Counseling	08/2011–01/2012
Business Development Sales, Business Breakthroughs International	11/2010–05/2011

Item 3: Disciplinary Information

Diana Cason does not have any disciplinary action to report.

Item 4: Other Business Activities

There is nothing to report for this item.

Item 5: Additional Compensation

There is nothing to report for this item.

Item 6: Supervision

Supervision of Ms. Cason is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.

Item 7: Requirements for State-Registered Advisors

A. Additional Disciplinary Disclosures

A.1. An Award or Otherwise Being Found Liable in an Arbitration Claim Alleging Damages in Excess of \$2,500

There is nothing to report for this item.

A.2. An Award or Otherwise Being Found Liable in a Civil, Self-Regulatory Organization or Administrative Proceeding

There is nothing to report for this item.

B. Bankruptcy

There is nothing to report for this item.