

FORM ADV PART 2B

QUAD-CITIES INVESTMENT GROUP, LLC
5177 UTICA RIDGE ROAD
DAVENPORT, IA 52807

PHONE: 563-484-5000

FAX: 563-449-5651

www.quadcitiesinvestmentgroup.com

quadcitiesinvestmentgroup@goqcig.com

This brochure supplement provides information about *Laura A. Swift* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Laura A. Swift* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Laura A. Swift

Date of Birth: *1/16/1962*

Educational Background:

- *Illinois State University BS 1985*

Professional Designations

- *CIMA (Certified Investment Management Analyst) 2014*

Business Experience:

- *Partner - Quad Cities Investment Group, LLC (2010-Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice President - Chicago Investment Group, LLC (2009-2010)*
- *Vice President-Investments - UBS Financial Services, Inc. (2006-2009)*
- *Vice President- Investments- Piper Jaffray (2001-2006)*
- *Financial Advisor- Merrill Lynch, Pierce, Fenner & Smith Inc. (2000-2001)*

Disciplinary Information:

Ms. Swift has no legal or disciplinary events to disclose.

Other Business Activities:

Ms. Swift is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Ms. Swift has no additional Compensation needing to be disclosed.

Supervision:

Laura A. Swift and Scott L. Stoltenberg share supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

Scott L. Stoltenberg's contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*

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This brochure supplement provides information about *Wayne A. Wagner* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Wayne A. Wagner* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Wayne A. Wagner

Date of Birth: *6/15/1943*

Educational Background:

- *University of Illinois, BS Finance 1966*

Business Experience:

- *Partner- Quad Cities Investment Group, LLC (2010 to Present)*
- *Individual Registered Rep- Silver Oak Securities, Inc. (2010-2011)*
- *Branch Manager- Chicago Investment Group LLC (2009-2010)*
- *Vice President- Investments- UBS Financial Services Inc. (2006-2009)*
- *Branch Manager- Piper Jaffray (1995-2006)*
- *Registered Rep- Shearson (1981-1995)*
- *Branch Manager- Shearson (1984-1994)*

Disciplinary Information:

Mr. Wagner has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Wagner is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Wagner has no additional Compensation needing to be disclosed.

Supervision: *Wayne A Wagner* is supervised by *Scott L. Stoltenberg*. He reviews *Wayne's* work through frequent office interactions as well as remote interactions. He also reviews *Wayne's* activities through our client relationship management system.

Scott L. Stoltenberg's contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*

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This brochure supplement provides information about *Scott L Stoltenberg* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Scott L Stoltenberg* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Scott L. Stoltenberg

Date of Birth: *6/26/1965*

Education and Professional Licensing:

- *St. Ambrose University, BA in Accounting & Business Administration, Minor Economics (1989)*

Professional Designations:

- *CPA (Certified Public Accountant Exam) 1991*

Business Experience:

- *Partner - Quad Cities Investment Group, LLC (2010- Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice-President Investments - Chicago Investment Group, LLC (2009- 2010)*
- *Vice-President Investments- UBS Financial Services, Inc. (2006- 2009)*
- *Vice-President Investments - Piper Jaffray (1996-2006)*
- *Controller - Heart of America Restaurants & Inns (1994-1996)*
- *Tax Supervisor - McGladrey & Pullen (1990-1994)*

Disciplinary Information:

Mr. Stoltenberg has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Stoltenberg is not involved in business activities outside of Quad Cities Investment Group, that require more than 10% of his time.

Additional Compensation:

Mr. Stoltenberg has no additional Compensation needing to be disclosed.

Supervision:

Scott Stoltenberg and Laura Swift share joint supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

Laura Swift's contact information:

PHONE: *563-484-5000*

EMAIL: *lswift@goqcig.com*

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This brochure supplement provides information about *David A. Borcharding* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *David A. Borcharding* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

David A. Borcharding

Date of Birth: *5/27/1954*

- *Wartburg College, BA Business Administration/Economics 1976*

Business Experience:

- *Investment Advisor- Quad Cities Investment Group, LLC (2010-present)*
- *Registered Representative -Silver Oak Securities, Inc. (2010-2013)*
- *Registered Representative- Chicago Investment Group, LLC (2009-2010)*
- *Financial Advisor- Century Securities Associates, Inc. (2009-2009)*
- *Financial Advisor- UBS Financial Services Inc. (2006-2008)*
- *Financial Advisor – Piper Jaffray (2005-2006)*

Disciplinary Information:

Mr. Borcharding has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Borcharding is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Borcharding has no additional Compensation needing to be disclosed.

Supervision:

David A. Borcharding is supervised by *Scott L. Stoltenberg*. He reviews *David's* work through frequent office interactions as well as remote interactions. He also reviews *David's* activities through our client relationship management system.

Scott L. Stoltenberg's contact information:

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This brochure supplement provides information about *Christine A. McElvania* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Christine A. McElvania* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Christine A. McElvania

Date of Birth: *06/17/1985*

Educational Background:

- *University of Northern Iowa, BA Finance/Economics 2007*
- *University of Iowa, Masters of Business Administration 2014*

Professional Designations:

- *Chartered Financial Analyst 2011*
- *Certified Financial Planner 2015*

Business Experience:

- *Partner – Quad Cities Investment Group (2015-present)*
- *Investment Advisor – Quad Cities Investment Group (2013-2014)*
- *Senior Investment Analyst - FBL Financial Group (2012-2013)*
- *Derivatives Analyst – FBL Financial Group (2010-2012)*
- *Assistant Derivatives Analyst – FBL Financial Group(2007-2010)*

Disciplinary Information:

Mrs. McElvania has no legal or disciplinary events to disclose.

Other Business Activities:

Mrs. McElvania is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mrs. McElvania has no additional Compensation needing to be disclosed.

Supervision:

Christine A. McElvania is supervised by *Scott L. Stoltenberg*. He reviews *Christine's* work through frequent office interactions as well as remote interactions. He also reviews *Christine's* activities through our client relationship management system.

Scott Stoltenberg's contact information:

PHONE: *563-484-5000*

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This brochure supplement provides information about *Mark M. Grywacheski* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Mark M. Grywacheski* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Mark M. Grywacheski

Date of Birth: *07/22/1969*

Educational Background:

- *Illinois State University, BS Accounting 1991*
- *Illinois State University, MS Accounting, 1993*

Business Experience:

- *Investment Advisor – Quad Cities Investment Group (2016-present)*
- *Investment Advisor – Irongate International (2014-2015)*
- *2nd Vice President – Northern Trust (2012-2014)*
- *Derivatives Trader – Ronin Capital (2009-2011)*
- *Derivatives Trader – Bluefin Trading (2008-2008)*
- *Derivatives Trader - PFTC Trading (2003-2007)*

Disciplinary Information:

Mr. Grywacheski has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Grywacheski is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Grywacheski has no additional Compensation needing to be disclosed.

Supervision:

Mark M. Grywacheski is supervised by *Scott L. Stoltenberg*. He reviews *Mark's* work through frequent office interactions as well as remote interactions. He also reviews *Mark's* activities through our client relationship management system.

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This brochure supplement provides information about *Ryan P. Jennings* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer*, if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Ryan P. Jennings* is available on the SEC's website at www.adviserinfo.sec.gov.

March 2017

Ryan P. Jennings

Date of Birth: *10/23/1982*

Educational Background:

- *University of Iowa, BBA Finance 2006*
- *University of Iowa, BBA Management and Organizations 2006*

Professional Designations

- *Chartered Alternative Investment Analyst 2015*
- *Certified Financial Planner 2016*

Business Experience:

- *Investment Advisor Quad Cities Investment Group (2017-Present)*
- *Vice President Client Coverage– MSCI (2015-2017)*
- *Chicago Office Head – MSCI (2014-2015)*
- *Vice President Client Coverage – MSCI (2014-2015)*
- *Senior Associate Client Coverage – MSCI (2013-2014)*
- *Vice President Sales – AlphaSense (2012-2013)*
- *Senior Sales Specialist – FactSet Research Systems (2012-2012)*
- *Institutional Sales Executive – FactSet Research Systems (2010-2012)*
- *Account Executive – FactSet Research Systems (2007-2010)*
- *Investment Management Consultant – FactSet Research Systems (2006-2007)*

Disciplinary Information:

Mr. Jennings has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Jennings is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Jennings has no additional Compensation needing to be disclosed.

Supervision:

Ryan P. Jennings is supervised by *Scott L. Stoltenberg*. He reviews *Ryan's* work through frequent office interactions as well as remote interactions. He also reviews *Ryan's* activities through our client relationship management system.

Scott L. Stoltenberg's contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*