

FORM ADV PART 2B

QUAD-CITIES INVESTMENT GROUP, LLC
5177 UTICA RIDGE ROAD
DAVENPORT, IA 52807

PHONE: 563-484-5000

FAX: 563-449-5651

www.quadcitiesinvestmentgroup.com

quadcitiesinvestmentgroup@goqcig.com

This brochure supplement provides information about *Laura A. Swift* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Laura A. Swift* is available on the SEC's website at www.adviserinfo.sec.gov.

October 2013

Laura A. Swift

Date of Birth: *1/16/1962*

Educational Background:

- *Illinois State University BS 1985*
- *Series 7 (General Securities Representative Exam) 2000*
- *Series 66 (Uniformed Combined State Law Exam) 2000*
- *Insurance Licensed 2003*
- *Series 31 (Futures Managed Funds Exam) 2004*

Business Experience:

- *Partner - Quad Cities Investment Group, LLC (2010-Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice President - Chicago Investment Group, LLC (2009-2010)*
- *Vice President-Investments - UBS Financial Services, Inc. (2006-2009)*
- *Vice President- Investments- Piper Jaffray (2001-2006)*
- *Financial Advisor- Merrill Lynch, Pierce, Fenner & Smith Inc. (2000-2001)*

Disciplinary Information:

Ms. Swift has no legal or disciplinary events to disclose.

Other Business Activities:

Ms. Swift is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Ms. Swift has no additional Compensation needing to be disclosed.

Supervision:

Laura A. Swift, Scott L. Stoltenberg and Wayne A. Wagner all share supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

Wayne A. Wagner's contact information:

PHONE: *563-484-5000*

EMAIL: *wwagner@goqcig.com*

Scott L. Stoltenberg's contact information:

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EMAIL: *sstoltenberg@goqcig.com*

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This brochure supplement provides information about *Wayne A. Wagner* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Wayne A. Wagner* is available on the SEC's website at www.adviserinfo.sec.gov.

October 2013

Wayne A. Wagner

Date of Birth: *6/15/1943*

Educational Background:

- *University of Illinois, BS Finance 1966*
- *Series 1 (Registered Representative Exam) 1974*
- *Insurance Licensed 1974*
- *AMEX Put & Call Exam (PC) 1977*
- *Series 5 (Interest Rate Options Exam) 1981*
- *Series 63 (Uniform Securities Agent State Law Exam) 1983*
- *Series 8 (General Securities Sales Supervisor Exam) 1984*
- *Series 65 (Uniform Investment Adviser Law Exam) 1992*
- *Series 31 (Futures Managed Funds Exam) 2004*

Business Experience:

- *Partner- Quad Cities Investment Group, LLC (2010 to Present)*
- *Individual Registered Rep- Silver Oak Securities, Inc. (2010-2011)*
- *Branch Manager- Chicago Investment Group LLC (2009-2010)*
- *Vice President- Investments- UBS Financial Services Inc. (2006-2009)*
- *Branch Manager- Piper Jaffray (1995-2006)*
- *Registered Rep- Shearson (1981-1995)*
- *Branch Manager- Shearson (1984-1994)*

Disciplinary Information:

Mr. Wagner has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Wagner is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Wagner has no additional Compensation needing to be disclosed.

Supervision:

Scott Stoltzenberg, Laura Swift and Wayne A. Wagner all share joint supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

Scott Stoltzenberg's contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltzenberg@goqcig.com*

Laura Swift's contact information:

PHONE: *563-484-5000*

EMAIL: *lswift@goqcig.com*

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This brochure supplement provides information about *Scott L Stoltenberg* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Scott L Stoltenberg* is available on the SEC's website at www.adviserinfo.sec.gov.

October 2013

Scott L. Stoltenberg, CPA

Date of Birth: *6/26/1965*

Education and Professional Licensing:

- *St. Ambrose University, BA in Accounting & Business Administration, Minor Economics (1989)*
- *CPA (Certified Public Accountant Exam) 1991*
- *Series 7 (General Securities Representative Exam) 1996*
- *Series 63 (Uniform Securities Agent State Law Exam) 1996*
- *Series 65 (Uniform Investment Adviser Law Exam) 1996*
- *Insurance Licensed (1996)*
- *Series 31 (Futures Managed Funds Exam) 2004*
- *Series 9, 10 (General Securities Sales Supervisor) 2006*

Business Experience:

- *Partner - Quad Cities Investment Group, LLC (2010- Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice-President Investments - Chicago Investment Group, LLC (2009- 2010)*
- *Vice-President Investments- UBS Financial Services, Inc. (2006- 2009)*
- *Vice-President Investments - Piper Jaffray (1996-2006)*
- *Controller - Heart of America Restaurants & Inns (1994-1996)*
- *Tax Supervisor - McGladrey & Pullen (1990-1994)*

Disciplinary Information:

Mr. Stoltenberg has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Stoltenberg is not involved in business activities outside of Quad Cities Investment Group, that require more than 10% of his time.

Additional Compensation:

Mr. Stoltenberg has no additional Compensation needing to be disclosed.

Supervision:

Scott Stoltenberg, Laura Swift and Wayne A. Wagner all share joint supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

Wayne A. Wagner's contact information:

PHONE: *563-484-5000*

EMAIL: *wwagner@gocig.com*

Laura Swift's contact information:

PHONE: *563-484-5000*

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This brochure supplement provides information about *David A. Borcharding* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L. Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *David A. Borcharding* is available on the SEC's website at www.adviserinfo.sec.gov.

January 2014

David A. Borcharding

Date of Birth: *5/27/1954*

Educational Background:

- *Wartburg College, BA Business Administration/Economics 1976*
- *Insurance Licensed 2000*
- *Series 7 (General Securities Representative Exam) 2001*
- *Series 66 (Uniformed Combined State Law Exam) 2001*

Business Experience:

- *Vice President- Quad Cities Investment Group, LLC (2010-present)*
- *Independent Registered Rep-Silver Oak Securities, Inc. (2010-2013)*
- *Vice President- Chicago Investment Group, LLC (2009-2010)*
- *Financial Advisor- Century Securities Associates, Inc. (2009-2009)*
- *Financial Advisor- UBS Financial Services Inc. (2005-2008)*

Disciplinary Information:

Mr. Borcharding has no legal or disciplinary events to disclose.

Other Business Activities:

Mr. Borcharding is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mr. Borcharding has no additional Compensation needing to be disclosed.

Supervision:

David A. Borcharding is supervised by *Scott L. Stoltenberg*. He reviews *David's* work through frequent office interactions as well as remote interactions. He also reviews *David's* activities through our client relationship management system.

Scott L. Stoltenberg's contact information:

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This brochure supplement provides information about *Christine A. McElvania* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Christine A. McElvania* is available on the SEC's website at www.adviserinfo.sec.gov.

October 2013

Christine A. McElvania

Date of Birth: *06/17/1985*

Educational Background:

- *University of Northern Iowa, BA Finance/Economics 2007*
- *Chartered Financial Analyst 2011*
- *Investment Advisor Representative 2013*

Business Experience:

- *Investment Advisor – Quad Cities Investment Group (2013-present)*
- *Senior Investment Analyst - FBL Financial Group (2012-2013)*
- *Derivatives Analyst – FBL Financial Group (2010-2012)*
- *Assistant Derivatives Analyst – FBL Financial Group(2007-2010)*

Disciplinary Information:

Mrs. McElvania has no legal or disciplinary events to disclose.

Other Business Activities:

Mrs. McElvania is not involved in business activities outside of Quad Cities Investment Group.

Additional Compensation:

Mrs. McElvania has no additional Compensation needing to be disclosed.

Supervision:

Christine A. McElvania is supervised by *Scott L. Stoltenberg*. He reviews *Christine's* work through frequent office interactions as well as remote interactions. He also reviews *Christine's* activities through our client relationship management system.

Scott Stoltenberg's contact information:

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