

This Brochure Supplement provides information about Kevin Scott Stapleton that supplements the Disclosure Brochure of Advanced Practice Advisors, LLC (hereinafter “APA”), a copy of which you should have received. Please contact Paul C. Spitzer, APA’s Chief Compliance Officer (paul.spitzer@apa-ria.com) if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Kevin Stapleton is available on the SEC’s website at www.adviserinfo.sec.gov.

Form ADV Part 2B – Individual Disclosure Brochure

for

Kevin Scott Stapleton (CRD# 1556944)

Investment Adviser Representative
of
Advanced Practice Advisors, LLC

Advanced Practice Advisors, LLC
78010 Main Street, Suite 201
La Quinta, CA 92253
www.apa-ria.com
kevin.stapleton@apa-ria.com
UPDATED: 8/14/2018

Item 2: Educational Background and Business Experience

Name: Kevin Scott Stapleton

Born: 1959

Education Background and Professional Designations:

University of Southern California, Business Administration, 1982

Business Background:

12/2016 to Present, Advanced Practice Advisors, LLC, Wealth Manager

05/2009 to 12/2016, Wells Fargo Advisors, Wealth Manager

01/2008 to 05/2009, Wachovia Securities, Wealth Manager (Wachovia was acquired by WFC 5/09)

07/1986 to 01/2008, AG Edwards & Sons, Wealth Manager (AGE was acquired by Wachovia 1/08)

Item 3: Disciplinary Information

Any legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business may be found by searching on Mr. Stapleton's Personal CRD number, 1556944, at the Investment Advisor Search website: www.adviserinfo.sec.gov. December 23, 2011 Mr. Stapleton was charged with evading an officer that resulted in \$1,866 fine and a five year probation. This charge was reduced to a misdemeanor on February 28, 2012. In addition, On May 3, 2012 Mr. Stapleton had a Regulatory Action initiated by the State of California Department of Insurance that resulted in a \$2,300 fine and license restriction.

Item 4: Other Business Activities

APA is required to disclose information regarding any investment-related business or occupation in which Kevin Stapleton is actively engaged. APA has no information to disclose in relation to this Item.

Item 5: Additional Compensation

APA is required to disclose information regarding any arrangement under which Kevin Stapleton receives an economic benefit from someone other than a client for providing investment advisory services. APA has no information to disclose in relation to this Item.

Item 6: Supervision

Paul C. Spitzer, Chief Compliance Officer, is generally responsible for supervising Kevin Stapleton's advisory activities on behalf of APA. Paul C. Spitzer can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement.

APA supervises its personnel and the investments made in client accounts. APA monitors the investments recommended by Kevin Stapleton to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. APA periodically reviews the advisory activities of Kevin Stapleton, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Kevin Stapleton.

Item 7: Requirements for State-Registered Advisers

Mr. Stapleton has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has never been involved in nor found liable in any civil, self-regulatory organization or has he been the subject of any bankruptcy petitions. On January 17, 2014 Mr. Stapleton was the subject of an Administrative Proceeding with the State of California Department of Insurance for violation of California Insurance Code section 1729.2(A) and 1729.2(D) - Failed to notify the Commissioner of a change in background information. Mr. Stapleton was fined \$2,300.00 and had his license was suspended for three years.