

**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED: JANUARY 2016**



**AMIT STAVINSKY**

TAMAR SECURITIES, LLC  
21031 VENTURA BOULEVARD, SUITE 1101  
WOODLAND HILLS, CA 91364

FIRM CONTACT:  
AMIT STAVINSKY,  
CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS:

[WWW.TAMARSECURITIES.COM](http://WWW.TAMARSECURITIES.COM)  
[WWW.TMARKETFUND.COM](http://WWW.TMARKETFUND.COM)  
[WWW.TASSETFUND.COM](http://WWW.TASSETFUND.COM)  
[WWW.MVALUEFUND.COM](http://WWW.MVALUEFUND.COM)

**This brochure supplement provides information about Amit Stavinsky that supplements Tamar Securities, LLC's Form ADV Part 2A brochure(s). You should have received a copy of that brochure. Please contact Amit Stavinsky, Chief Compliance Officer, if you either did not receive this brochure or if you have any questions regarding the contents of this supplement.**

**Additional information about Amit Stavinsky is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2

### Educational Background and Business Experience

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#### **AMIT STAVINSKY**

CEO, Managing Director, and Lead Advisor

Amit Stavinsky is the Managing Director, and the Lead Advisor of the wealth management firm Tamar Securities, LLC and a registered representative with Purshe Kaplan Sterling. Amit has been an investment professional in the U.S. since 1991. From 1996 to 2010, Amit served as an Executive Director of Investments and an Omega Portfolio Manager with Oppenheimer & Co., Inc. Before joining Oppenheimer in 1996, he worked as a Financial Advisor at Prudential Securities.

From 1982 to 1986, Amit served as a Lieutenant in the Israeli Air Force, performing various aviation intelligence assignments. Subsequently, he founded the Israeli Economic Monthly Review, a financial publication with a circulation of 20,000 that targeted institutional and high net worth readers. He sold this publication after moving to the U.S.

Amit describes himself as a top-down value investor who seeks to identify domestic and global companies in undervalued sectors which he refers to as "Super Cycles" that sell at deep discounts to both their respective and historical intrinsic values. Amit defines "Super Cycles" as undervalued sectors in the global economy that he believes are best positioned for "Long Term Growth".

Amit graduated magna cum laude in Finance from Woodbury University. He holds the General Securities Representative License (Series 7), the Uniform Securities Agent State Law Exam (Series 63) and the Uniform Investment Advisor Law Exam (Series 65). He is also licensed as an Agent for Life Insurance in the state of California.

Amit resides in Woodland Hills, CA with his wife and three children. He is a board member of the Jewish National Fund, an advisor to the Peace Works Corporation, an active member of the Anti-Defamation League and a frequent speaker at synagogues in the Los Angeles area.

## Item 3

### Disciplinary Information

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If there are legal or disciplinary events material to your evaluation of Mr. Stavinsky, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Stavinsky to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Stavinsky to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 4

### Other Business Activities

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A. If Mr. Stavinsky is actively engaged in any investment-related business or occupation, including if Mr. Stavinsky is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mr. Stavinsky's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Amit Stavinsky is a licensed insurance agent with various insurance companies in the state of California. In his individual capacity as licensed insurance agent, he may sell insurance products to our investment advisory clients. A conflict of interest exists to the extent that our firm recommends the purchase of insurance products where our firm's Advisory Affiliates receive insurance commissions or other additional compensation.

Amit Stavinsky, is a general partner for Gapa, LLC, a limited liability company formed in California for real estate investments and development. Mr. Stavinsky spends 12-14 hours per month on this activity. Tamar Securities' clients are not solicited to invest in this outside business therefore no conflicts of interest exist.

2. If Mr. Stavinsky receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Stavinsky receives. We must explain that this practice gives Mr. Stavinsky an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Stavinsky is a registered representative with Purshe Kaplan Sterling Investments, Inc. ("PKS"), a registered broker-dealer and Member FINRA/SIPC. Our firm is not affiliated with PKS. In order to comply with FINRA Conduct Rule 3040, PKS as an unaffiliated broker-dealer may periodically review the investment advisory transactions of our firm. This information will be viewed by PKS' compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance. In addition, Mr. Stavinsky may refer clients to banks where clients would set up a margin account for which Mr. Stavinsky will be compensated by these financial institutions.

- B. If Mr. Stavinsky is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Stavinsky's income or involve a substantial amount of Mr. Stavinsky's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Stavinsky's time and income, we may presume that they are not substantial.

Mr. Stavinsky participates in other outside businesses. None of these businesses, however, are investment-related nor do they contribute a large amount of time or income deemed to be substantial.

## **Item 5**

### **Additional Compensation**

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If someone who is not a client provides an economic benefit to Mr. Stavinsky for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Stavinsky's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6**

### **Supervision**

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We are required to explain how we supervise Mr. Stavinsky, including how we monitor the advice Mr. Stavinsky provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Stavinsky's advisory activities on behalf of our firm.

Amit Stavinsky is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics and is regulated by all applicable federal and state laws, rules and statutes in keeping with the highest levels of professional and ethical standards.

**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
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DATED: JANUARY 2016**



**FRANKLIN PARKS**

TAMAR SECURITIES, LLC  
21031 VENTURA BOULEVARD, SUITE 1101  
WOODLAND HILLS, CA 91364

FIRM CONTACT:  
AMIT STAVINSKY,  
CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS:

[WWW.TAMARSECURITIES.COM](http://WWW.TAMARSECURITIES.COM)  
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[WWW.MVALUEFUND.COM](http://WWW.MVALUEFUND.COM)

This brochure supplement provides information about Frank Parks that supplements Tamar Securities, LLC's Form ADV Part 2A brochure(s). You should have received a copy of that brochure. Please contact Amit Stavinsky, Chief Compliance Officer, if you either did not receive this brochure or if you have any questions regarding the contents of this supplement.

Additional information about Frank Parks is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2**  
**Educational Background and Business Experience**

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**FRANKLIN PARKS**

Senior Financial Associate

Frank Parks, a native of Washington, D.C., grew up in Maryland. He attended the University of Maryland, graduating with a degree in Communications from the College Parks campus in 1981. After he moved to Los Angeles, he joined Dean Witter in 1987. Subsequently, Frank worked at Morgan Stanley. In 2003, he joined Oppenheimer where he became associated with Amit Stravinsky.

Frank holds the General Securities Representative License (Series 7) as well as the Uniform Securities Agent State Law Exam (Series 63). He is also licensed as an Agent for Life Insurance in the state of California.

**Item 3**  
**Disciplinary Information**

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If there are legal or disciplinary events material to your evaluation of Mr. Parks, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

**Item 4**  
**Other Business Activities**

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A. If Mr. Parks is actively engaged in any investment-related business or occupation, including if Mr. Parks is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If Mr. Parks receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds,

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Parks to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Parks to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Parks receives. We must explain that this practice gives Mr. Parks an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Parks is a registered representative with Purshe Kaplan Sterling Investments, Inc. ("PKS"), a registered broker-dealer and Member FINRA/SIPC. Our firm is not affiliated with PKS. In order to comply with FINRA Conduct Rule 3040, PKS as an unaffiliated broker-dealer may periodically review the investment advisory transactions of our firm. This information will be viewed by PKS' compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance.

- B. If Mr. Parks is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Parks' income or involve a substantial amount of Mr. Parks' time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Parks' time and income, we may presume that they are not substantial.

Franklin Parks is a licensed insurance agent with various insurance companies in the state of California. In his individual capacity as licensed insurance agents, he may sell insurance products to our investment advisory clients. A conflict of interest exists to the extent that our firm recommends the purchase of insurance products where our firm's Advisory Affiliates receive insurance commissions or other additional compensation.

## **Item 5**

### **Additional Compensation**

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If someone who is not a client provides an economic benefit to Mr. Parks for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Parks' regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6**

### **Supervision**

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We are required to explain how we supervise Mr. Parks, including how we monitor the advice Mr. Parks provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Parks' advisory activities on behalf of our firm.

Mr. Stavinsky, Chief Compliance Officer of Tamar Securities, LLC, supervises and monitors Mr. Parks' activities on a regular basis. Please contact Mr. Stavinsky if you have any questions regarding Mr. Parks' brochure supplement at Tamar Securities, LLC's main office number; 818-914-7460.



**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED: JANUARY 2016**



**DOMINICK SAVO**

TAMAR SECURITIES, LLC  
21031 VENTURA BOULEVARD, SUITE 1101  
WOODLAND HILLS, CA 91364

FIRM CONTACT:  
AMIT STAVINSKY,  
CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS:

[WWW.TAMARSECURITIES.COM](http://WWW.TAMARSECURITIES.COM)  
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[WWW.TASSETFUND.COM](http://WWW.TASSETFUND.COM)  
[WWW.MVALUEFUND.COM](http://WWW.MVALUEFUND.COM)

**This brochure supplement provides information about Dominick Savo that supplements Tamar Securities, LLC's Form ADV Part 2A brochure(s). You should have received a copy of that brochure. Please contact Amit Stavinsky, Chief Compliance Officer, if you either did not receive this brochure or if you have any questions regarding the contents of this supplement.**

**Additional information about Dominick Savo is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2

### Educational Background and Business Experience

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#### **Dominick Savo**

Senior Financial Advisor

Dominick Savo has an extensive educational background, initially receiving his Bachelors of Science in Chemical Engineering from Tri State University in 1960 and going on to obtain a Masters in Physical Chemistry from Loyola University in 1968, as well as a Masters in Management from Northwestern University in 1980.

Dominick began his career in the financial industry as an Independent Financial Representative with Primerica Financial Services in 1998 where he remained until August of 2013 in addition to serving as President and CEO of Janco Greenhouses. Dominick joined Tamar Securities in August of 2013.

Dominick holds the Investment Company Products/Variable Contracts Representative License (Series 6), the Investment Company Products/Variable Contracts License (Series 26) as well as the Uniform Agent State Law Examination (series 63) and the Uniform Investment Adviser Law Examination (series 65). He is also licensed as an Agent for Life and Health Insurance in the state of California.

## Item 3

### Disciplinary Information

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If there are legal or disciplinary events material to your evaluation of Mr. Savo, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## Item 4

### Other Business Activities

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- A. If Mr. Savo is actively engaged in any investment-related business or occupation, including if Mr. Savo is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Savo to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Savo to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

1. If Mr. Savo receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Savo receives. We must explain that this practice gives Mr. Savo an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Savo is a registered representative with Purshe Kaplan Sterling Investments, Inc. ("PKS"), a registered broker-dealer and Member FINRA/SIPC. Our firm is not affiliated with PKS. In order to comply with FINRA Conduct Rule 3040, PKS as an unaffiliated broker-dealer may periodically review the investment advisory transactions of our firm. This information will be viewed by PKS' compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance.

- B. If Mr. Savo is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Savo's income or involve a substantial amount of Mr. Savo's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Savo's time and income, we may presume that they are not substantial.

Dominick Savo is a licensed insurance agent with various insurance companies in the state of California. In his individual capacity as licensed insurance agents, he may sell insurance products to our investment advisory clients. A conflict of interest exists to the extent that our firm recommends the purchase of insurance products where our firm's Advisory Affiliates receive insurance commissions or other additional compensation.

## **Item 5**

### **Additional Compensation**

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If someone who is not a client provides an economic benefit to Mr. Savo for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Savo's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6**

### **Supervision**

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We are required to explain how we supervise Mr. Savo, including how we monitor Mr. Savo's contact with clients. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Savo's advisory activities on behalf of our firm.

Mr. Stavinsky, Chief Compliance Officer of Tamar Securities, LLC, supervises and monitors Mr. Savo's activities on a regular basis. Please contact Mr. Stavinsky if you have any questions regarding Mr. Savo's brochure supplement at Tamar Securities, LLC's main office number; 818-914-7460.

**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED: JANUARY 2016**



**YANIV GRINBERG**

TAMAR SECURITIES, LLC  
21031 VENTURA BOULEVARD, SUITE 1101  
WOODLAND HILLS, CA 91364

FIRM CONTACT:  
AMIT STAVINSKY,  
CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS:

[WWW.TAMARSECURITIES.COM](http://WWW.TAMARSECURITIES.COM)  
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**This brochure supplement provides information about Yaniv Grinberg that supplements Tamar Securities, LLC's Form ADV Part 2A brochure(s). You should have received a copy of that brochure. Please contact Amit Stavinsky, Chief Compliance Officer, if you either did not receive this brochure or if you have any questions regarding the contents of this supplement.**

**Additional information about Yaniv Grinberg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2**  
**Educational Background and Business Experience**

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**Yaniv Grinberg**  
Client Associate

Yaniv Grinberg, a native of Los Angeles, California, born in Woodland Hills. He was raised in Israel until he turned eight when his family moved back to Los Angeles. Living in Los Angeles, Yaniv graduated from Taft High School where he was an active member of the Debate Team. He has been a student for the past five years and is currently pursuing a degree in Finance from Cal State University Northridge.

Yaniv holds the General Securities Representatives License (Series 7) as well as the Uniform Securities Agent State Law Exam (Series 63) and the Uniform Investment Adviser Law Exam (Series 65).

**Item 3**  
**Disciplinary Information**

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If there are legal or disciplinary events material to your evaluation of Mr. Grinberg, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

**Item 4**  
**Other Business Activities**

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- A. If Mr. Grinberg is actively engaged in any investment-related business or occupation, including if Mr. Grinberg is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.
1. If Mr. Grinberg receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Grinberg receives. We must explain that this practice gives Mr. Grinberg an incentive to recommend investment products based on the compensation received, rather than on your needs.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Grinberg to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Grinberg to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Mr. Grinberg is a registered representative with Purshe Kaplan Sterling Investments, Inc. ("PKS"), a registered broker-dealer and Member FINRA/SIPC. Our firm is not affiliated with PKS. In order to comply with FINRA Conduct Rule 3040, PKS as an unaffiliated broker-dealer may periodically review the investment advisory transactions of our firm. This information will be viewed by PKS' compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance.

- B. If Mr. Grinberg is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Grinberg's income or involve a substantial amount of Mr. Grinberg's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Grinberg's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5**

#### **Additional Compensation**

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If someone who is not a client provides an economic benefit to Mr. Grinberg for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Grinberg's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

#### **Item 6**

#### **Supervision**

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We are required to explain how we supervise Mr. Grinberg, including how we monitor the advice Mr. Grinberg provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Grinberg's advisory activities on behalf of our firm.

Mr. Stavinsky, Chief Compliance Officer of Tamar Securities, LLC, supervises and monitors Mr. Grinberg's activities on a regular basis. Please contact Mr. Stavinsky if you have any questions regarding Mr. Grinberg's brochure supplement at Tamar Securities, LLC's main office number; 818-914-7460.

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DATED: JANUARY 2016**



**TOBIE RODRIGUEZ**

TAMAR SECURITIES, LLC  
21031 VENTURA BOULEVARD, SUITE 1101  
WOODLAND HILLS, CA 91364

FIRM CONTACT:  
AMIT STAVINSKY,  
CHIEF COMPLIANCE OFFICER

FIRM WEBSITE ADDRESS:

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**This brochure supplement provides information about Tobie Rodriguez that supplements Tamar Securities, LLC's Form ADV Part 2A brochure(s). You should have received a copy of that brochure. Please contact Amit Stavinsky, Chief Compliance Officer, if you either did not receive this brochure or if you have any questions regarding the contents of this supplement.**

**Additional information about Tobie Rodriguez is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



**Item 2**  
**Educational Background and Business Experience**

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**Tobie Rodriguez**  
Financial Advisor

Tobie Rodriguez, is a native of Los Angeles, California, born in West Covina. Tobie graduated from Moorpark College in 2003.

Tobie holds the Company/Variable Contracts Limited Representative Exam (Series 6) the Uniform Securities Agent State Law Exam (Series 63) and the Uniform Registered Investment Advisor Exam (Series 65).

**Item 3**  
**Disciplinary Information**

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If there are legal or disciplinary events material to your evaluation of Mr. Rodriguez, we are required to disclose all material facts regarding those events.<sup>1</sup>

Mr. Rodriguez was involved in a customer dispute which was settled on August 16, 2007. Additional information can be found at <http://www.adviserinfo.sec.gov/IAPD/> by searching CRD#4855127.

**Item 4**  
**Other Business Activities**

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- A. If Mr. Rodriguez is actively engaged in any investment-related business or occupation, including if Mr. Rodriguez is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.
1. If Mr. Rodriguez receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Rodriguez receives. We must explain that this practice gives Mr. Rodriguez an incentive to recommend investment products based on the compensation received, rather than on your needs.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Rodriguez to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Rodriguez to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

We have nothing to disclose in this regard

- B. If Mr. Rodriguez is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Rodriguez's income or involve a substantial amount of Mr. Rodriguez's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Rodriguez's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5**

#### **Additional Compensation**

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If someone who is not a client provides an economic benefit to Mr. Rodriguez for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Rodriguez's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

#### **Item 6**

#### **Supervision**

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We are required to explain how we supervise Mr. Rodriguez, including how we monitor the advice Mr. Rodriguez provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Rodriguez's advisory activities on behalf of our firm.

Mr. Stavinsky, Chief Compliance Officer of Tamar Securities, LLC, supervises and monitors Mr. Rodriguez's activities on a regular basis. Please contact Mr. Stavinsky if you have any questions regarding Mr. Rodriguez's brochure supplement at Tamar Securities, LLC's main office number; 818-914-7460.