

Brochure Supplement

November 2, 2011

Gurpreet S. Chandhoke

100 Pine Street, Suite 500
San Francisco, CA 94111

(415) 727-8756

This Brochure Supplement provides information about Gurpreet S. Chandhoke that supplements the Disclosure Brochure of VII Peaks Capital, LLC (hereinafter "VII Peaks Capital"), a copy of which you should have received. Please contact our Chief Compliance Officer if you did not receive VII Peaks Capital, LLC's Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Gurpreet S. Chandhoke is available on the SEC's website at www.adviserinfo.sec.gov.

VII Peaks Capital, LLC, a Registered Investment Adviser

100 Pine Street, Suite 500 | (415) 727-8756
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Item 2. Educational Background and Business Experience

Born 1974

Post-Secondary Education:

University of Pennsylvania, Wharton School of Business – 2005, MBA, Finance and Entrepreneurship

University of Minnesota – 1998, MS, Electrical Engineering

University of Minnesota – 1998, MS, Mechanical Engineering

University of Pune, Government College of Engg – 1995, BE, Electrical Engineering

Recent Business Background:

VII Peaks Capital, LLC, Managing Partner and Chief Investment Officer, April 2009 – Present

White Pacific Securities, Registered Representative, June 2011 - Present

Jesup & Lamont Securities Corp., Registered Representative, October 2009 – June 2010

Deutsche Bank, Senior Vice President, August 2006 – February 2009

UBS Investment Bank, Associate Director, June 2004 – August 2004; August 2005 – August 2006

Terawave Communications, Senior Asic Engineer, July 2000 – July 2003

Item 3. Disciplinary Information

VII Peaks Capital is required to disclose the facts of any legal or disciplinary events that are material to a client's evaluation of Gurpreet S. Chandhoke. VII Peaks Capital does not have any required disclosures to this Item.

Item 4. Other Business Activities

VII Peaks Capital is required to disclose if Gurpreet S. Chandhoke is engaged in any investment-related business or occupation, including whether the relationship creates a material conflict of interest or if Gurpreet S. Chandhoke receives compensation from the sale of securities products. VII Peaks Capital is also required to disclose if Gurpreet S. Chandhoke is actively engaged in any other business that

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provides a substantial source of Gurpreet S. Chandhoke's income or involves a substantial amount of his time. Information in response to this Item is as follows:

Registered Representative of Broker Dealer

In the event the client desires, the client can engage Gurpreet S. Chandhoke to render securities brokerage services under a commission arrangement. Under this arrangement, the client may implement securities transactions through Gurpreet S. Chandhoke, in his individual capacity as a registered representative of White Pacific Securities, Inc. ("WPS"), an SEC registered broker-dealer and member of the FINRA. Brokerage commissions may be charged by WPS to effect these securities transactions and thereafter, a portion of these commissions may be paid by WPS to such Gurpreet S. Chandhoke. Prior to effecting any transactions, the client is required to enter into a new account agreement with WPS. The brokerage commissions charged by WPS may be higher or lower than those charged by other broker-dealers. In addition, Gurpreet S. Chandhoke, may also receive additional ongoing 12b-1 fees for mutual fund purchases from the mutual fund company during the period that the client maintains the mutual fund investment.

A conflict of interest exists to the extent that Gurpreet S. Chandhoke recommends the purchase of securities where he receives commissions or other additional compensation as a result of any recommendation. VII Peaks Capital has procedures in place to ensure that any recommendations made by Gurpreet S. Chandhoke are in the best interest of clients regardless of any additional compensation earned.

For accounts covered by ERISA (and such others that VII Peaks Capital, in its sole discretion deems appropriate), VII Peaks Capital provides its investment advisory services on a fee-offset basis. In this scenario, VII Peaks Capital may offset its fees by an amount equal to the aggregate commissions and 12b-1 fees earned by Gurpreet S. Chandhoke in his individual capacity as a registered representative of WPS.

VII Peaks Capital's *Advisory Affiliates* currently devote less than five percent (<5%) of their time to commission securities brokerage business.

Item 5. Additional Compensation

VII Peaks Capital is required to disclose if Gurpreet S. Chandhoke receives an economic benefit outside of his regular compensation (i.e., salary plus regular bonus) for providing advisory services (e.g. additional bonus for referrals). VII Peaks Capital has no disclosures related to this Item.

Item 6. Supervision

Stephen F. Shea, managing partner, is responsible for supervising Gurpreet S. Chandhoke's advisory activities on behalf of VII Peaks Capital. Stephen F. Shea's phone number is (415) 727-8755.

VII Peaks Capital supervises its personnel and the investments made in client accounts. VII Peaks Capital monitors the investments recommended by Gurpreet S. Chandhoke to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance as well as any restrictions requested by the client.

VII Peaks Capital periodically reviews the advisory activities of Gurpreet S. Chandhoke, which may include reviewing individual client accounts and correspondence (including emails) sent to and received by Gurpreet S. Chandhoke.

Item 7. Requirements for State-Registered Advisers

This section requires VII Peaks Capital to make certain additional disclosures regarding Gurpreet S. Chandhoke. This would include any arbitrations, certain court proceedings or bankruptcy. VII Peaks Capital has no additional disclosures related to this Item.