

ITEM 1. COVER PAGE
PART 2A OF FORM ADV: FIRM BROCHURE

March 2016

HCM Consulting

CRD# 150808

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San Diego, CA 92122
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Firm Contact:
Kyle Harrington
Chief Compliance Officer

This brochure provides information about the qualifications and business practices of Harrington Capital Management, LLC dba HCM Consulting. If you have any questions about the contents of this brochure, please contact by telephone at (415) 260-5897 or email at kelli@hc-mgmt.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any State Securities Authority. Additional information about Harrington Capital Management, LLC dba HCM Consulting also is available on the SEC's website at www.adviserinfo.sec.gov.

Please note that the use of the term "registered investment adviser" and description of Harrington Capital Management, LLC dba HCM Consulting and/or our associates as "registered" does not imply a certain level of skill or training. You are encouraged to review this Brochure and Brochure Supplements for our firms' associates who advise you for more information on the qualifications of our firm and its employees.

ITEM 2. MATERIAL CHANGES

Harrington Capital Management, LLC dba HCM Consulting is required to advise you of any material changes to our Firm Brochure ("Brochure") from our last annual update, identify those changes on the cover page of our Brochure or on the page immediately following the cover page, or in a separate communication accompanying our Brochure. We must state clearly that we are discussing only material changes since the last annual update of our Brochure, and we must provide the date of the last annual update of our Brochure.

We do not have to provide this information to a client or prospective client who has not received a previous version of our brochure.

Last Annual Amendment filing date: **03/30/2015**

Since our last annual amendment, we have made the following changes:

- Our firm has moved to 8895 Towne Center Dr. Suite 105, San Diego CA 92122.
- We are also in the process of switching from SEC to State registration.
- Kyle Harrington is now 100% owner of our firm.

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ITEM 4. ADVISORY BUSINESS

Harrington Capital Management, LLC dba HCM Consulting is dedicated to providing individuals and other types of clients with a wide array of investment advisory services. We specialize in financial planning and consultations. Our firm is a limited liability company formed in the State of California. Our firm has been in business as an investment adviser since 2009 and is wholly owned by Kyle Patrick Harrington. Kelli Harrington is Chief Operating Officer of our firm.

All material conflicts of interest under CCR Section 260.238 (k) are disclosed below regarding our firm, our representatives or our employees, which could be reasonably expected to impair the rendering of unbiased and objective advice. To comply with CCR Section 260.238(j), we disclose that lower fees for comparable services may be available from other sources.

Description of the Types of Advisory Services We Offer

Financial Planning and Consultations:

We provide a variety of financial planning and consultation services to individuals, families and other clients regarding the management of their financial resources based upon an analysis of client's current situation, goals, and objectives. Generally, such financial planning services will involve preparing a financial plan or rendering a financial consultation for clients based on the client's financial goals and objectives. This planning or consulting may encompass one or more of the following areas: Investment Planning, Retirement Planning, Estate Planning, Charitable Planning, Education Planning, Corporate and Personal Tax Planning, Cost Segregation Study, Corporate Structure, Real Estate Analysis, Mortgage/Debt Analysis, Insurance Analysis, Lines of Credit Evaluation, Business and Personal Financial Planning.

Our written financial plans or financial consultations rendered to clients usually include general recommendations for a course of activity or specific actions to be taken by the clients. For example, recommendations may be made that the clients begin or revise investment programs, create or revise wills or trusts, obtain or revise insurance coverage, commence or alter retirement savings, or establish education or charitable giving programs. It should also be noted that we refer clients to an accountant, attorney or other specialist, as necessary for non-advisory related services. For written financial planning engagements, we provide our clients with a written summary of their financial situation, observations, and recommendations. For financial consulting engagements, we usually do not provide our clients with a written summary of our observations and recommendations as the process is less formal than our planning service. Plans or consultations are typically completed within six (6) months of the client signing a contract with us, assuming that all the information and documents we request from the client are provided to us promptly. Implementation of the recommendations will be at the discretion of the client.

CCR Section 260.235.2 requires that we disclose to our financial planning clients that a conflict of interest exists between us and our clients. The client is under no obligation to act upon the investment adviser's recommendation. If the client elects to act on our recommendations, the client is under no obligation to effect the transaction through us.

Tailoring of Advisory Services

We offer general investment advice to clients utilizing our firm's Financial Planning and Consultations.

Participation in Wrap Fee Programs

We do not offer wrap fee programs

Regulatory Assets Under Management

Our firm only provides financial planning services. As such, we do not have any regulatory assets under management to report.

ITEM 5. FEES AND COMPENSATION

We are required to describe our brokerage, custody, fees and fund expenses so you will know how much you are charged and by whom for our advisory services provided to you. Our fees are negotiable in certain circumstances.

How We Are Compensated for Our Advisory Services

Financial Planning and Consultations:

We charge on an hourly or flat fee basis for financial planning and consultation services. The total estimated fee, as well as the ultimate fee that we charge you, is based on the scope and complexity of our engagement with you. Our hourly fees are \$250. Flat fees generally range from \$1,500 to \$5,000. We require a retainer of fifty-percent (50%) of the ultimate financial planning or consultation fee with the remainder of the fee directly billed to you and due to us within thirty (30) days of your financial plan being delivered or consultation rendered to you. In all cases, we will not require a retainer exceeding \$500 when services cannot be rendered within 6 (six) months.

Terminations & Refunds

In the event that you wish to terminate our services, we will refund the unearned portion of our advisory fee to you. You need to contact us in writing and state that you wish to terminate our services. Upon receipt of your letter of termination, we will proceed to close out your account and process a pro-rata refund of unearned advisory fees.

Commissionable Securities Sales

In order to sell securities for a commission, our supervised persons are registered representatives of National Securities Corporation, a member FINRA/SIPC. Our supervised persons may accept compensation for the sale of securities or other investment products, including distribution or service ("trail") fees from the sale of mutual funds. You should be aware that the practice of accepting commissions for the sale of securities:

- 1) Presents a conflict of interest and gives our firm and/or our supervised persons an incentive to recommend investment products based on the compensation received, rather than on your needs. We generally address commissionable sales conflicts that arise:
 - a) when explaining to clients that commissionable securities sales creates an incentive to recommend products based on the compensation we and/or our supervised persons may earn and may not necessarily be in the best interests of the client;
 - b) when recommending commissionable mutual funds, explaining that “no-load” funds are available through our firm if the client wishes to become an investment advisory client.
- 2) In no way prohibits you from purchasing investment products recommended by us through other brokers or agents which are not affiliated with us.
- 3) Does not exceed more than 50% of our revenue.
- 4) Does not reduce your advisory fees to offset the commissions our supervised persons receive.

ITEM 6. PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

We do not accept performance-based fees.

ITEM 7. TYPES OF CLIENTS

We have the following types of clients:

- Individuals and High Net Worth Individuals;
- Corporations, Limited Liability Companies and/or Other Business Types.

Our requirements for opening and maintaining accounts or otherwise engaging us:

- Written financial plans are generally assessed a minimum fee of \$1,500.

ITEM 8. METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

We do not manage assets and our firm has nothing to disclose in this regard.

ITEM 9. DISCIPLINARY INFORMATION

For failing to disclose a personal bankruptcy petition on his Form U-4, Mr. Harrington was suspended from associating with any FINRA member in any capacity from January 22, 2013 to February 20, 2013. On 08/19/2014, Mr. Harrington settled a customer dispute for misrepresentation and omissions regarding a real estate security. Client was awarded \$15,000.

ITEM 10. OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Mr. Harrington is a registered representative of National Securities Corporation, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation advisor and/or our supervised persons may earn and may not necessarily achieve the best result for the client. In order to mitigate any potential conflict of interest Mr. Harrington shall place the interest of his clients before his own or supervised persons.

Mr. Harrington is an investment adviser representative of National Asset Management, Inc., a registered investment adviser and an affiliate of National Securities Corporation. In such capacity, he may offer advisory services through National Asset Management, Inc., details of which are fully described in National Asset Management, Inc.'s Form ADV or relevant schedules. A conflict of interest may arise as he may recommend that a client open an advisory account with National Asset Management, Inc. in which he would receive compensation. Clients are under no obligation to use the services of another investment adviser.

As a licensed insurance agent, Mr. Harrington may recommend to his advisory clients a variety of insurance products, and he may offer commissionable insurance products to our firm's clients, for which he may receive compensation. Clients of our firm are never obligated, but may choose to engage us in these other services, as it may create a conflict of interest for our clients.

Mr. Harrington is also a real estate agent, in which he may provide clients with advice on things such as property listings, preparing forms, etc. Clients are never obligated to engage Mr. Harrington/our firm in providing these additional services, although clients may choose to do so.

ITEM 11. CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

We recognize that the personal investment transactions of members and employees of our firm demand the application of a high Code of Ethics and require that all such transactions be carried out in a way that does not endanger the interest of any client. At the same time, we believe that if investment goals are similar for clients and for members and employees of our firm, it is logical and even desirable that there be common ownership of some securities.

Therefore, in order to prevent conflicts of interest, we have in place a set of procedures (including a pre-clearing procedure) with respect to transactions effected by our members, officers and employees for their personal accounts. In order to monitor compliance with our personal trading policy, we have a quarterly securities transaction reporting system for all of our associates.

Furthermore, our firm has established a Code of Ethics which applies to all of our associated persons. An investment adviser is considered a fiduciary. As a fiduciary, it is an investment adviser's responsibility to provide fair and full disclosure of all material facts and to act solely in the best interest of each of our clients at all times. We have a fiduciary duty to all clients. Our fiduciary duty is considered the core underlying principle for our Code of Ethics which also includes Insider Trading and Personal Securities Transactions Policies and Procedures. We require all of our supervised persons to conduct business with the highest level of ethical standards and to comply with all federal

and state securities laws at all times. Upon employment or affiliation and at least annually thereafter, all supervised persons will sign an acknowledgement that they have read, understand, and agree to comply with our Code of Ethics. Our firm and supervised persons must conduct business in an honest, ethical, and fair manner and avoid all circumstances that might negatively affect or appear to affect our duty of complete loyalty to all clients. This disclosure is provided to give all clients a summary of our Code of Ethics. However, if a client or a potential client wishes to review our Code of Ethics in its entirety, a copy will be provided promptly upon request.

Since we only offer Financial Planning and Consultations, our related persons do not recommend securities to clients through HCM Consulting. Our related persons will place client interests ahead of their own interests and adhere to our firm's Code of Ethics, a copy of which is available upon request.

Since we only offer Financial Planning and Consultations, our related persons do not recommend securities to clients or buy or sell securities for client accounts through HCM Consulting. Our related persons will place client interests ahead of their own interests and adhere to our firm's Code of Ethics, a copy of which is available upon request.

ITEM 12. BROKERAGE PRACTICES

Since we only offer Financial Planning and Consultations, our firm does not have custodial relationships with broker dealers.

ITEM 13. REVIEW OF ACCOUNTS

Financial planning clients do not receive reviews of their written plans unless they take action to schedule a financial consultation with us. We do not provide ongoing services to financial planning clients, but are willing to meet with such clients upon their request to discuss updates to their plans, changes in their circumstances, etc. Financial planning clients do not receive written or verbal updated reports regarding their financial plans unless they separately contract with us for a post-financial plan meeting or update to their initial written financial plan.

ITEM 14. CLIENT REFERRALS AND OTHER COMPENSATION

We receive no additional economic benefits from outside sources.

ITEM 15. CUSTODY

Since our firm only offers Financial Planning and Consulting, we do not have custody of client funds or securities.

ITEM 16. INVESTMENT DISCRETION

We do not accept discretionary authority to manage securities accounts on behalf of clients.

ITEM 17. VOTING CLIENT SECURITIES

We do not accept the proxy authority to vote client securities.

ITEM 18. FINANCIAL INFORMATION

Our firm is not required to provide financial information in this Brochure because:

- Our firm does not require the prepayment of more than \$500 in fees and six or more months in advance.
- Our firm does not take custody of client funds or securities.
- Our firm does not have a financial condition or commitment that impairs our ability to meet contractual and fiduciary obligations to clients.

In June of 2009, Mr. Harrington filed a Petition for Re-organization of debt. Currently the case is pending.

ITEM 19. REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Kyle P. Harrington

Year of Birth: 1970

Educational Background

- 1998: University of Pennsylvania, Wharton School of Business, M.B.A.
- 1992: Princeton University, B.A.

Business Background

- 02/08 – Present: Harrington Capital Management, LLC dba HCM Consulting; Managing Member & Chief Compliance Officer
- 08/12 – Present: National Asset Management, Inc.; Investment Adviser Representative
- 06/12 – Present: National Securities Corp; Registered Representative
- 02/12 – 06/12: Bannockburn Partners, LLC; Registered Representative
- 12/09 – 11/11: Matrix Capital Group, Inc.; Registered Representative
- 02/08 – 12/09: First Allied Securities, Inc.; Registered Representative
- 10/07 – 11/07: Probabilities Fund Management, LLC; Registered Representative
- 07/07 – 02/08: Robert B. Ausdal & Co., Inc.; Registered Representative & Investment Adviser Representative
- 06/05 – 06/07: Deutsche Bank Securities, Inc.; Managing Director
- 07/02 – 06/05: Wachovia Securities, LLC; Financial Advisor

Exam(s) & Licenses

- 2010 – Series 24 Exam
- 2002 – Series 65 Exam
- 1993 – Series 7 & 63 Exams
- CA Insurance Lic #0E62074
- NY Insurance Lic #LB-1011566
- CA Real Estate Lic #01455112

For failing to disclose a personal bankruptcy petition on his Form U-4, Mr. Harrington was suspended from associating with any FINRA member in any capacity from January 22, 2013 to February 20, 2013.

Please see Item 10 of this Firm Brochure for any other business in which our firm is actively engaged. Our firm does not charged performance based fees. Our firm and management persons have not been involved in any arbitration awards, found liable in any civil, self-regulatory organization or administrative proceedings or have any relationships with issuers or securities apart from what is disclosed above.

Our firm does not have compensation arrangements connected with advisory services which are in addition to our advisory fees. Our management persons and representatives do not engage in other financial industry activities or affiliations. As a fiduciary, our firm always put our Client's interest above our own. Information regarding participation of interest in client transactions can be found in our Code of Ethics as well as Item 11 of this Brochure. Clients may obtain a copy of our Code of Ethics by contacting Mr. Harrington, Chief Compliance Officer at (415) 260-5897.