

# KARON L. PATE

This brochure provides supplemental information about Karon L. Pate. This supplements the Randy Meyer Investment Management, LLC brochure, which should have also been provided to you. Please contact Karon L. Pate at Randy Meyer Investment Management, LLC if you need the Randy Meyer Investment Management, LLC brochure or if you have any questions.

Additional information about Randy Meyer Investment Management, LLC is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under "investment adviser firm" and type in our firm name).

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## TABLE OF CONTENTS

Item 2 - Educational Background and Business Experience.....	3
Item 3 - Disciplinary Information .....	3
Item 4 - Other Business Activities.....	3
Item 5 - Additional Compensation.....	3
Item 6 – Supervision.....	4
Item 7 - Requirements for State-Registered Advisers.....	4

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Karon L. Pate**

Year Born: 1969

### **Business Background:**

08/2009 – Present: *Investment Advisor Representative*, Randy Meyer Investment Management, LLC

01/2007 – 11/2011: *Sales Associate*, Meyer Shaw Financial Group

07/2009 – 10/2009: *Registered Representative*, H.D. Vest Investment

06/2003 – 12/2006: *Office Manager*, Family Physicians

01/2000 – 05/2003: *Front Office*, Dr. Daryl Munzer

### **Professional Qualifications:**

Series 6, Investment Company Products/Variable Contracts Limited Representative Examination (2009)

Series 63, Uniform Securities Agent Laws (2009)

Series 65, Uniform Investment Adviser Law Examination (2010)

### **Professional Designation:**

Notary Public

## ITEM 3 - DISCIPLINARY INFORMATION

Karon L. Pate has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. Karon L. Pate is also a Life Only insurance agent. When acting in the capacity of an insurance agent, Karon L. Pate may receive the usual and customary commissions or fees on the products the client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory client is free to select any insurance company the client desires for implementation of Advisor's recommendations.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Randy Meyer Investment Management, LLC and any disclosures made in Items 2 and 4 above, Karon L. Pate receives no additional compensation related to outside business activities.

## **ITEM 6 – SUPERVISION**

Karon L. Pate is not a supervising authority at Randy Meyer Investment Management, LLC and works closely with and is supervised by the supervising partners of the firm. The supervising partner is Randy C. Meyer and may be contacted at the address or phone number on the cover page of this document.

## **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

*State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.*

Karon L. Pate has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Karon L. Pate has never been the subject of a bankruptcy petition.