

CAPITAL INVESTMENT MANAGEMENT, LLC
Doing Business As



ITEM 1 - COVER PAGE

FORM ADV PART 2B*

Brochure Supplement:

Professional Background of

Allison M. Peterson

January 2014

www.sullivangroup.net

564 North Sunrise Avenue
Roseville, California 95661

Toll-Free: 877-797-0111
Tel: 916.797.8500 - Fax: 888.390.2672

30011 Ivy Glenn Drive, Suite 120
Laguna Niguel, California 92677

Toll Free: 877-797-0111
Tel: 949-388-3440 - Fax: 800-286-7816

*This brochure supplement provides information about the qualifications of Allison M. Peterson. This is a supplement to The Sullivan Group's brochure which you should have received previously. Please contact the Firm's Chief Compliance Officer, Sean A. Lehmann at telephone: 949-388-3440, if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about The Sullivan Group is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

ALLISON M. PETERSON, CDFA

Born 1985

Education

Certified Divorce Financial Analyst** (“CDFA”) - Certificate awarded 2012

FINRA examinations - Series 7, 63 and 65

Business Background

09/2009 - Present	Capital Investment Management, LLC , dba The Sullivan Group, Laguna Nigel, CA Investment Advisor Representative, Financial Advisor
12/2011 - Present	Purshe Kaplan Sterling Investments, Roseville, CA Registered Representative
01/2008 - 07/2009	Morgan Stanley & Co. Incorporated, New York, NY Client Services Associate

Item 3 - Disciplinary Information

Allison Peterson has no reportable legal or disciplinary events or disclosures.

Item 4 - Other Business Activities

Allison Peterson is not involved in any outside business activities.

Item 5 - Additional Compensation

Other than as may have been previously disclosed in the Firm’s Form ADV Part 2A, neither the Firm nor any of its employees receive any economic benefit from any non-client for the provision of investment advisory services. Employees are not paid “sales awards” or other prizes for referring clients to the Firm.

Item 6 - Supervision

All Firm personnel are supervised by Sean A. Lehmann, Managing Member and Chief Compliance Officer, and/or Kurt J. Halverstadt, Managing Member whose supervision is ongoing and includes account reviews, trade supervision, annual compliance reviews including the forensic testing of Firm systems, staff meetings and employee reviews.

Item 7 - Requirements for State-Registered Advisors

Ms. Peterson has not been the subject of a bankruptcy filing. Nor has she ever been liable for any claim alleging damages in excess of \$2,500, or found liable in any proceeding involving claims of investment-related business activity, fraud, false statements or omissions, theft, embezzlement, other wrongful taking of property, bribery, forgery, counterfeiting, extortion, or dishonest, unfair or unethical practices.

*CDFA certificate holders are governed by the Institute for Divorce Financial Analysts (IDFA™) which is dedicated to the certification, education and promotion of the use of financial professionals in the divorce arena. The Institute provides comprehensive training using a variety of knowledge and skill-building techniques. Candidates learn how to help their clients with determinative financial issues related to divorce and receive the Certified Divorce Financial Analyst (CDFA) designation after successfully completing the course and qualifying examinations. Upon course completion, candidates must pass three 2-hour multiple choice examinations and a fourth comprehensive case study examination demonstrating proficiency with the Institute's proprietary software. To retain the CDFA designation, you must also obtain 15 divorce-related hours of continuing education every two years.