

*This brochure supplement provides information about Richard Lee Alt that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Richard Lee Alt is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Richard Lee Alt**

Personal CRD Number: 1381648

Investment Adviser Representative

Carnegie Investment Counsel  
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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** Richard Lee Alt

**Born:** 07/23/1963

### **Education Background and Professional Designations:**

#### **Education:**

B.S. Finance, University of Dayton

#### **Business Background:**

2009 – Present	Chief Investment Officer Carnegie Investment Counsel
2008 – 2009	Portfolio Manager Carnegie Capital Asset Management Co.
2004 – 2008	Chief Investment Officer/Portfolio Manager Greenleaf Capital Management

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Richard Lee Alt is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Richard Lee Alt does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a co-owner/representative of Carnegie Investment Counsel, Richard Lee Alt works closely with many clients, and as the firm's Chief Investment Officer, is not directly supervised by another individual.

*This brochure supplement provides information about William Robert Anderson that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about William Robert Anderson is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**William Robert Anderson**

Personal CRD Number: 4518862

Investment Adviser Representative

Carnegie Investment Counsel  
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[info@ccamc.com](mailto:info@ccamc.com)

UPDATED: 04/15/2011

## Item 2: Educational Background and Business Experience

**Name:** William Robert Anderson

**Born:** 1960

### Education Background and Professional Designations:

#### Education:

Kent State University, Master of Business Administration (Investment Theory and Real Estate) (1983).

Kent State University, Bachelor of Business Administration (1982)

#### Business Background:

2011 – Present	Wealth Advisor & Portfolio Manager Carnegie Investment Counsel
2008 – 2011	CCO and Portfolio Manager Tower Wealth Management, LLC
2005 – 2008	Portfolio Manager Greenleaf Capital Management

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

William Robert Anderson is not engaged in any investment-related business or occupation (other than this advisory firm).

## Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, William Robert Anderson does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## Item 6: Supervision

As a representative of Carnegie Investment Counsel, William Robert Anderson works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. William Robert Anderson's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Mark Bander that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Mark Bander is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Mark Bander**

Personal CRD Number: 1892887

Investment Adviser Representative

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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** Mark Bander

**Born:** 1960

### **Education Background and Professional Designations:**

#### **Education:**

B.B.A. Accounting - Pace University

M.B.A. Finance - Pace University

#### **Business Background:**

2009 - Present

Chief Technical Analyst/Portfolio Manager  
Carnegie Investment Counsel

1999 - 2009

CIO  
Bander Capital Management

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Mark Bander is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Mark Bander not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Mark Bander works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Mark Bander's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Winifred Coleman that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Winifred Coleman is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Winifred Coleman**

Personal CRD Number: 4010231

Investment Adviser Representative

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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

Name: Winifred Coleman

Born: 1947

### **Education Background and Professional Designations:**

#### **Education:**

BA University of Wisconsin (1971)

#### **Business Background:**

2010 - Present	VP/Portfolio Manager Carnegie Investment Counsel
2007 - 2010	Financial Advisor Stifel Nicolaus
2006 - 2007	Financial Advisor Ameriprise Financial

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Winifred Coleman is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Winifred Coleman not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Winifred Coleman works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Winifred Coleman's contact information is on the cover page of this disclosure document.



*This brochure supplement provides information about Ensign Jay Cowell that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Ensign Jay Cowell is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Ensign Jay Cowell**

Personal CRD Number: 4518862

Investment Adviser Representative

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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** Ensign Jay Cowell

**Born:** 1941

### **Education Background and Professional Designations:**

#### **Education:**

Master of Business Administration (Finance/Marketing), University of Virginia (1965)

A.B. in Economics, Dartmouth University (1963)

#### **Business Background:**

2011 – Present                      Director and Senior Wealth Manager  
Carnegie Investment Counsel

2008 – Present                     Managing Principal  
Tower Wealth Management, LLC

1980 – 2008                        Principal & Director  
Greenleaf Capital Management

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Ensign Jay Cowell is the sole member of Tower Wealth Management, LLC, a State of Ohio Registered Investment Advisor.

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Ensign Jay Cowell does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Ensign Jay Cowell works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Ensign Jay Cowell's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Patrick John Kennedy that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Patrick John Kennedy is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Patrick John Kennedy**

Personal CRD Number: 4134821

Investment Adviser Representative

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UPDATED: 05/01/2012

## **Item 2: Educational Background and Business Experience**

Name: Patrick John Kennedy

Born: 1970

### **Education Background and Professional Designations:**

#### **Education:**

Villanova University, B.A. Finance (1992)

#### **Business Background:**

2012 – Present	Portfolio Manager Carnegie Investment Counsel
2007 – 2010	Managing Director FirstPoint Advisors
2000 – 2005	Institutional Wholesaler Naccity Investments, Inc.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Patrick John Kennedy is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Patrick John Kennedy does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Patrick John Kennedy works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Patrick John Kennedy's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Mark David Kindberg that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Mark David Kindberg is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Mark David Kindberg**

Personal CRD Number: 2111297

Investment Adviser Representative

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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

Name: Mark David Kindberg

Born: 1967

### **Education Background and Professional Designations:**

#### **Education:**

B.A. Business Administration - Grove City College

#### **Business Background:**

2010 - Present

VP/Portfolio Advisor  
Carnegie Investment Counsel

2007 - 2009

Director of Sales  
Mennonite Mutual Aid

2006 - 2007

AVP/Client Sales Management Representative  
JP Morgan/Chase

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Mark David Kindberg is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Mark David Kindberg not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Mark David Kindberg works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Mark David Kindberg's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about George Redfern Mateyo that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about George Redfern Mateyo is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**George Redfern Mateyo**

Personal CRD Number:

Investment Adviser Representative

Carnegie Investment Counsel  
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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** George Redfern Mateyo

**Born:** 1942

**Education Background and Professional Designations:**

**Education:**

BA Baldwin Wallace College (1965)

**Business Background:**

2009 – Present

Portfolio Consultant  
Carnegie Investment Counsel

04/1991 – 4/2009

Chairman & CEO  
Carnegie Capital Asset Management Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

George Redfern Mateyo is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, George Redfern Mateyo does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, George Redfern Mateyo works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. George Redfern Mateyo's contact information is on the cover page of this disclosure document.



*This brochure supplement provides information about Samuel Sterling McMillan III that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Samuel Sterling McMillan III is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Samuel Sterling McMillan III**

Personal CRD Number: 4347768

Investment Adviser Representative

Carnegie Investment Counsel  
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UPDATED: 05/01/2012

## **Item 2: Educational Background and Business Experience**

**Name:** Samuel Sterling McMillan III

**Born:** 1938

### **Education Background and Professional Designations:**

#### **Education:**

Master of Business Administration (Finance/Planning), Harvard University (1963).

A.B. in Economics, Princeton University (1960)

#### **Business Background:**

2011 - Present	Director and Senior Wealth Manager Carnegie Investment Counsel
2009 - 2011	Senior Principal Tower Wealth Management, LLC
1985 - 2009	Principal and Director Butler, Wick & Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Samuel Sterling McMillan III is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Samuel Sterling McMillan III does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Samuel Sterling McMillan III works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Samuel Sterling McMillan III's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Douglas Seth Pease that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Douglas Seth Pease is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Douglas Seth Pease**

Personal CRD Number: 4343786

Investment Adviser Representative

Carnegie Investment Counsel  
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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

Name: Douglas Seth Pease  
Born: 1976

### **Education Background and Professional Designations:**

#### **Education:**

B.S. Finance, University of Colorado

#### **Business Background:**

2009 - Present	Portfolio Manager Carnegie Investment Counsel
2004 - 2009	Portfolio Manager Carnegie Capital Asset Management Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Douglas Seth Pease is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Douglas Seth Pease does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Douglas Seth Pease works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Douglas Seth Pease's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about James Vilas Pease that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about James Vilas Pease is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**James Vilas Pease**

Personal CRD Number: 359011

Investment Adviser Representative

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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** James Vilas Pease

**Born:** 1939

### **Education Background and Professional Designations:**

#### **Education:**

B.A. Michigan State University

M.B.A. Case Western Reserve University

#### **Business Background:**

2009 – Present

Senior Portfolio Manager  
Carnegie Investment Counsel

1991 – 2009

President & Chief Investment Officer  
Carnegie Capital Asset Management Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

James Vilas Pease is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, James Vilas Pease does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, James Vilas Pease works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. James Vilas Pease's contact information is on the cover page of this disclosure document.

*This brochure supplement provides information about Gary Paul Wagner that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Gary Paul Wagner is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Gary Paul Wagner**

Personal CRD Number: 2075729

Investment Adviser Representative

Carnegie Investment Counsel  
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UPDATED: 04/15/2011

## **Item 2: Educational Background and Business Experience**

**Name:** Gary Paul Wagner

**Born:** 1968

### **Education Background and Professional Designations:**

#### **Education:**

BS Business, Duquesne University- 1990

MBA Business, Kent State University- 2004

#### **Business Background:**

2009 - Present

Chief Compliance and Operating Officer  
Carnegie Investment Counsel

2003 - 2009

Director of Client Relations  
Carnegie Capital Asset Management Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Gary Paul Wagner is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Gary Paul Wagner does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

## **Item 6: Supervision**

As a co-owner/representative of Carnegie Investment Counsel, Gary Paul Wagner works closely with Richard L. Alt, and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Gary Paul Wagner's contact information is on the cover page of this disclosure document.



*This brochure supplement provides information about Samuel Clay Hurley that supplements the Carnegie Investment Counsel brochure. You should have received a copy of that brochure. Please contact Gary Paul Wagner, Chief Compliance Officer if you did not receive Carnegie Investment Counsel's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Samuel Clay Hurley is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Samuel Clay Hurley**

Personal CRD Number: 4367649

Investment Adviser Representative

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[www.carnegie-capital.com](http://www.carnegie-capital.com)  
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## **Item 2: Educational Background and Business Experience**

**Name:** Samuel Clay Hurley

**Born:** 01/25/1936

### **Education Background and Professional Designations:**

#### **Education:**

B.S. Finance, University of Michigan

#### **Business Background:**

2012 - Present

Portfolio Consultant  
Carnegie Investment Counsel

2000 - 2012

President  
Hurley Investment Counsel, Inc.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Samuel Clay Hurley is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Samuel Clay Hurley does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carnegie Investment Counsel.

### **Item 6: Supervision**

As a representative of Carnegie Investment Counsel, Samuel Clay Hurley works closely with Richard L. Alt , and all advice provided to clients is reviewed by Richard L. Alt prior to implementation. Samuel Clay Hurley's contact information is on the cover page of this disclosure document.