

*This brochure supplement provides information about Mark Stuart Friedenthal that supplements the Friedenthal Financial, LLC brochure. You should have received a copy of that brochure. Please contact Mark Stuart Friedenthal, President if you did not receive Friedenthal Financial, LLC's brochure or if you have any questions about the contents of this supplement.*

**Friedenthal Financial, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Mark Stuart Friedenthal**  
Investment Adviser Representative

Friedenthal Financial, LLC  
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## **Item 2: Educational Background and Business Experience**

**Name:** Mark Stuart Friedenthal

**Born:** 1973

### **Education Background and Professional Designations:**

Education: BBA Finance/Management, Emory University 1995

### **Business Background:**

2009 - Present	President Friedenthal Financial, LLC
2005 - 2009	Vice President Citigroup
1999 - 2005	Vice President PHH Corp.
1997 - 1999	Pricing Manager GE Capital
1996 - 1997	Analyst Federal Reserve Bank of Atlanta
1995 - 1996	Asst. Portfolio Manager SRI Group

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Mark Stuart Friedenthal is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Mark Stuart Friedenthal does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Friedenthal Financial, LLC.

### **Item 6: Supervision**

As the only owner and representative of Friedenthal Financial, LLC, Mark Stuart Friedenthal supervises all duties and activities. Mark Stuart Friedenthal's contact information is on the cover page of this disclosure document.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by New Jersey securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Mark Stuart Friedenthal has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Mark Stuart Friedenthal has **NOT** been the subject of a bankruptcy petition at any time.