

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
September 2013**

**CHARLES BRADLEY DANIEL**

**Daniel Wealth Advisors  
1959 Port Cardiff  
Newport Beach, CA 92660**

**Firm Contact:  
Charles Bradley Daniel, Chief Compliance Officer**

**Firm Website Address:  
[www.danielwa.com](http://www.danielwa.com)**

**This brochure supplement provides information about Charles Bradley Daniel that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Daniel if you did not receive Daniel Wealth Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Charles Bradley Daniel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2: Educational Background & Business Experience**

### **Charles Bradley Daniel**

**Year of Birth:** 1969

**Educational Background:**

- 1992; Santa Clara University; BS in Economics

**Business Background:**

- 06/2009 - Present, DW Advisors LLC dba Daniel Wealth Advisors, Managing Member & Chief Compliance Officer
- 09/2009 – 01/2013, DWR Wealth Management, Investment Adviser Representative
- 06/2009 – 04/2012, Purshe Kaplan Sterling Investments, Registered Representative
- 11/2006 – 06/2009, Morgan Stanley, Financial Advisor
- 07/2003 – 11/2006, Wachovia Securities, Financial Advisor
- 09/1996 – 07/2003, Prudential Equity Group, Financial Advisor

**Exams, Licenses & Other Professional Designations:**

- 1995 – Series 65

## **Item 3: Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mr. Daniel, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

## **Item 4: Other Business Activities**

A. If Mr. Daniel is actively engaged in any investment-related business or occupation, including if Mr. Daniel is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mr. Daniel's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Mr. Daniel is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn and may not necessarily be in the best interests of the client.



2. If Mr. Daniel receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Daniel receives. We must explain that this practice gives Mr. Daniel an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Mr. Daniel is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Daniel's income or involve a substantial amount of Mr. Daniel's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Daniel's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5: Additional Compensation**

If someone who is not a client provides an economic benefit to Mr. Daniel for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Daniel's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

### **Item 6: Supervision**

We are required to explain how we supervise Mr. Daniel, including how we monitor the advice Mr. Daniel provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Daniel's advisory activities on behalf of our firm.

Mr. Daniel is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.