

This brochure supplement provides information about Benjamin Conrad Roberts that supplements the Bergin, Roberts & Co. LLC brochure. You should have received a copy of that brochure. Please contact Benjamin Conrad Roberts if you did not receive Bergin, Roberts & Co. LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Benjamin Conrad Roberts is also available on the SEC's website at www.adviserinfo.sec.gov.

Bergin, Roberts & Co. LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Benjamin Conrad Roberts

Personal CRD Number: 3252010

Investment Adviser Representative

Bergin, Roberts & Co. LLC
925 Harvest Drive Suite 190
Blue Bell, PA 19422
(215) 793-0111
broberts@berginroberts.com

UPDATED: 01/21/2014

Item 2: Educational Background and Business Experience

Name: Benjamin Conrad Roberts **Born:** 1979

Educational Background and Professional Designations:

Education:

Masters in Business Administration Finance, University of Pennsylvania - Wharton School - 2006

Bachelor of Science in Economics Finance and Management, University of Pennsylvania - Wharton School - 2001

Business Background:

03/2011 - Present	Managing Partner Bergin, Roberts & Co. LLC
05/2007 - 12/2009	Senior Associate Millennium
06/2006 - 03/2007	Investment Analyst Amaranth Advisors LLC
06/2004 - 06/2006	Equity Research Analyst Merrill Lynch
07/2002 - 06/2004	Senior Analyst Morgan Stanley Capital Partners IV
07/2001 - 07/2002	Analyst UBS Warburg

Item 3: Disciplinary Information

On December 11, 2013, Benjamin Roberts entered into a Stipulation and Consent Agreement with the State of Florida, Office of Financial Regulation. The Stipulation and Consent Agreement and administrative fine of \$18,750 was the result of an

inadvertent violation of state licensing requirements caused by a misplaced reliance on the State's de minimis business rule during a period of preparation for the firm moving from state to SEC registration.

Item 4: Other Business Activities

Benjamin Conrad Roberts is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Benjamin Conrad Roberts does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Bergin, Roberts & Co. LLC.

Item 6: Supervision

Benjamin Conrad Roberts is a co-owner and co-supervisor of Bergin, Roberts & Co. LLC, and works closely with co-supervisor Sean A Bergin. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Sean Bergin can be reached at (215) 793-0111.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Benjamin Conrad Roberts has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Benjamin Conrad Roberts has NOT been the subject of a bankruptcy petition in the past ten years.