

## FORM ADV PART 2B BROCHURE SUPPLEMENT

Richard McGinness, Jr

### **Item 1 – Cover Page**

Richard McGinness, Jr  
Bazis & Young Investment Group, LLC  
1065 North 115th Street  
Omaha, Nebraska 68136  
(402) 965-3334

<http://bazisyounginvestmentgroup.com/>

Date of Supplement: July 2016

**This brochure supplement provides information about Richard McGinness, Jr that supplements the Bazis & Young Investment Group, LLC (“BYIG”) disclosure brochure. You should have received a copy of that brochure. Please contact Duane Bazis at (402) 965-3334 or at [duane@bazisyoung.com](mailto:duane@bazisyoung.com) if you did not receive BYIG’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Richard McGinness, Jr is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 – Educational Background and Business Experience**

#### **Richard McGinness, Jr**

Born 1962, CRD # 6316315

#### ***Post-Secondary Educational Background:***

Joliet JR College , Studied Occupational Safety & Hygiene, Chemistry, Psychology , Dates Attended: 08/1980 to 05/1981

Chapman College , Studied Calculus, Physics , Dates Attended: 11/1982 to 12/1983

Community College of the Air Force , Military Training : 1983

Metropolitan Community College, Associates in Applied Science Business Management – Generalist and a Certificate of Achievement Business Management – Financial Planning with Honors: 06/2014 to 05/2016

#### ***Business Background:***

BYIG, Investment Advisor Representative , 05/2014 to Present;

Hewlett-Packard Corporation , Reseller Sales Account Representative, 02/1993 to 01/2014

**Item 3 – Disciplinary Information**

Richard McGinness, Jr has no legal or disciplinary events to report.

**Item 4 – Other Business Activities**

Richard McGinness, Jr. has no other business activities to report.

**Item 5 – Additional Compensation**

Other than the advisory fees detailed in the Bazis and Young ADV Part 2A Disclosure Brochure, Richard McGinness, Jr. receives no other compensation related to advisory services provided to clients.

**Item 6 – Supervision**

Duane Bazis is the Chief Compliance Officer of BYIG. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Richard McGinness, Jr. Duane Bazis can be contacted at 402-965-3565.

**Item 7 – Requirements for State-Registered Advisers**

Richard McGinness, Jr has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.

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