

Item 1. Cover Page

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5001 Louise Drive
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Mechanicsburg, Pennsylvania 17055**

**Phone: 717-791-3300
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November 25, 2014

FORM ADV PART 2A

FIRM BROCHURE

This brochure provides information about the qualifications and business practices of Wienken Advisors, Ltd. (the “Firm”). If you have any questions about the contents of this brochure, please contact us at 717-791-3300. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Wienken Advisors, Ltd. is also available on the SEC’s website at www.adviserinfo.sec.gov. The searchable IARD/CRD number for Wienken Advisors, Ltd. is 148335.

Wienken Advisors, Ltd. is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

Item 2. Material Changes - During October 2014, Wienken Advisors, Ltd. undertook steps to change its investment adviser registration from SEC to Pennsylvania. This change is regulatory in nature, in that it changes the primary regulator of the Firm from the U.S. Securities and Exchange Commission to the Pennsylvania Department of Banking and Securities. This change is because the multi-state adviser status for SEC registration is no longer available to the Firm. This change, however, does not materially alter the operation of the Firm, the services it provides to its clients, or how it interacts with its clients. The Firm expects this registration change to become final before the end of 2014.

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Item 4. – Advisory Business

Wienken Advisors, Ltd. is an investment adviser is registered with the Pennsylvania Department of Banking and Securities. The firm has been operating as an investment adviser since 2008. Christopher J. Lenz is President and Chief Compliance Officer of the Firm. Mr. Lenz owns one hundred (100%) percent of the equity of the Firm. The Firm is not publicly owned or traded. There are no indirect owners of the firm or intermediaries which have any ownership interest in the firm. The Firm does not sponsor any wrap programs.

Wienken Advisors, Ltd. provides financial advice on securities and non-securities matters through educational seminars. The firm does not issue publications relating to securities on a subscription basis; does not prepare or issue client statements or analyses relating to securities; and does not provide investment advice not described herein. The educational seminars cover a range of topics delivered through educational institutions, as well as employer and/or Wienken Advisors, Ltd. sponsored seminars.

Item 5. – Advisory Fees and Compensation

Seminar fees are negotiable and range from \$10 to \$500 per person for materials and registration, due by the start of the seminar. A \$500 maximum retainer or one-half of the fee payable is due upon signing the client agreement. The balance of the fee is due upon delivery of the selected advisory services. Fees are not directly debited from client accounts.

A client agreement may be cancelled at any time, by either party, for any reason upon receipt of 30 days written notice. Upon termination of any agreement, any prepaid, unearned fees will be promptly refunded, and any earned, unpaid fees will be due and payable. The client has the right to terminate an agreement without penalty within five business days after entering into the agreement.

All fees paid to the Firm for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds and brokerage providers. Firm associates provide general investment advice about the merits and risks of several investment alternatives.

Item 6. – Performance Based Fees and Side by Side Management

The Firm does not receive any performance based fees or side by side compensation.

Item 7. – Types of Clients

The Firm's clients consist of the individuals and Federal, State and Municipal governmental agencies. The Firm also markets its services to business entities, trusts, estates, educational and charitable institutions, individuals qualified to participate in 403(b), IRA, Keogh, pension plans, profit sharing plans, and unions. Clients are free to seek independent advice about the appropriateness of any investment or investment program change.

Wienken Advisors, Ltd limits its advisory services to conducting educational seminars for clients. The Firm does not manage client accounts or portfolios. Neither the Firm nor its associates have custody of client securities or funds and they do not have discretionary authority, or make investment decisions for clients.

Item 8. – Methods of Analysis, Investment Strategies and Risk of Loss

The Firm's seminars are designed to empower clients to financially protect family and estate, diversify assets with an appropriate asset allocation, and use all assets as efficiently as possible. Wienken Advisors, Ltd. uses various sources of information including research prepared by independent sources such as Morningstar, Emerald Publishing, FMT Solutions, Inc., Kettley, CDA, Wiesenberger, S&P, Value Line, and other financial publications.

Item 9. – Disciplinary Information

There are no material legal or disciplinary events that are required to be disclosed in this Item.

Item 10. – Other Financial Industry Activities and Affiliations

The officers and associated persons of Wienken Advisors, Ltd. provide investment brokerage services and insurance services through the marketing name Wienken & Associates Financial Services, a division of uFinancial. uFinancial is the marketing name for the full range of services offered by the professionals associated with Matthew J. Dobbie, a person associated with MML Investors Services, LLC ("MMLIS"), a FINRA registered broker/dealer.

The professionals who make up Wienken & Associates Financial Services, a division of uFinancial, are registered representatives of MMLIS and insurance agents who may do business through MML Insurance Agency, Inc. ("MMLIAI") or other insurance agencies. MMLIAI (insurance) is a wholly owned subsidiary of MMLIS and offers life, health, and variable annuity products. MMLIS is not affiliated with the investment advisory activities of Wienken Advisors, Ltd. and assumes no responsibility for the investment advisory activities of Wienken Advisors, Ltd.

In their separate capacities as registered representatives of MMLIS and insurance agents of MMLIAI, the associated persons of Wienken Advisors, Ltd. may effect securities transactions and purchase insurance products for clients through MMLIAS and MMLIAI and will receive separate, yet customary, compensation from MMLIS and MMLIAI for effecting any such transactions. No advisory client of the Firm is obligated to use these separate services offered by MMLIS and MMLIAI. All securities transactions are reviewed by a supervising principal affiliated with MMLIS prior to completion to ensure the suitability of the purchase to the client's needs.

Some associated persons of Wienken Advisors, Ltd. are also investment adviser representatives of MMLIS, which is an SEC registered investment adviser, for the exclusive purpose of providing certain advisory services offered through MMLIS. Those advisory services are described in MMLIS's Form ADV and are separate and distinct from the advisory services provided by Wienken Advisors, Ltd.

The officers and associated persons of Wienken Advisors, Ltd. spend approximately 10 to 20 percent of their business time on investment advisory activities.

Item 11. – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

The firm has adopted a written code of ethics in compliance with SEC Rule 204A-1 (“COE”). The COE addresses various elements concerning ethical treatment of clients as well as personal securities transactions. A copy of Wienken Advisors, LTD Code of Ethics is available upon request.

As stated in Item 10, associated persons of the Firm may receive commissions when they act as broker or agent effecting securities transactions. Wienken Advisors, Ltd. does not own, buy or sell either directly or indirectly any securities. A associated persons of the Firm any related person(s) may buy or sell securities for their personal accounts identical to those of its clients in accordance with the COE.

It is the expressed policy of Wienken Advisors, Ltd. that no person employed by Wienken Advisors, Ltd. may purchase or sell any security prior to a transaction(s) for a client being implemented in their separate capacity as registered representative of MMLIS.

As these situations represent a conflict of interest, Wienken Advisors, Ltd. has established the following restrictions in order to safeguard from conflicts of interest:

- A) A Director, officer or employee of Wienken Advisors, Ltd. shall not buy or sell securities for their personal portfolios(s) where their decision is substantially derived, in whole or in part, by reason of his or her employment unless the information is also available to the investing public on a reasonable inquiry. No person of Wienken Advisors, Ltd. shall prefer his or her own interest to that of the client.
- B) Wienken Advisors, Ltd. receives statements of all securities holdings for any associates with this advisory practice. These statements are reviewed on a regular monthly basis by an appropriate officer or supervising principal of Wienken Advisors, Ltd..
- C) All clients are fully informed that certain individuals may receive separate compensation when effecting transactions. Wienken Advisors, Ltd. emphasizes the unrestricted right of the client to decline to implement any advice rendered.
- D) All associates must act in accordance with all applicable Federal and State regulations governing registered investment advisory practices.
- E) Any associated person not in observance of the above may be subject to termination.

Item 12. – Brokerage Practices

Firm Clients may choose any broker-dealer to execute their securities transactions. If a Wienken Advisors, Ltd. associate is selected to assist and process any client’s transactions, the associate can only utilize MMLIS as the broker-dealer and the associate may receive commissions in connection with such transactions. If a client chooses to use a broker-dealer other than MMLIS, the associate may not participate or assist, in any manner, in any purchase, sale or other transaction effected through the other broker-dealer. The Firm’s associates cannot affect commission rates. Mutual fund fees are according to the applicable prospectus. Brokerage fees are according to the MMLIS published fee and commission schedule.

Item 13. – Review of Accounts

This section is not applicable since the Firm's advisory activities are limited to providing educational seminars to its clients and do not involve managing or advising on client investment accounts. If Firm clients have investment accounts with other firms, they will receive periodic account activity statements and performance statements, and/or annual tax reports from the brokerage or fund firms which are not affiliated with the Firm.

Item 14. – Client Referrals and Other Compensation

There are no referral arrangements whereby the Firm or its associated persons receive or pay compensation for referrals of Firm clients.

Item 15. – Custody

Wienken Advisors, Ltd. limits its advisory services to providing educational seminars to its clients. Neither the Firm nor its associated persons hold custody of client securities or funds, and cannot have discretionary authority, or make investment decisions for clients.

Item 16. – Investment Discretion

Wienken Advisors, Ltd. limits its advisory services to providing educational seminars to its clients. Neither the Firm nor its associated persons hold custody of client securities or funds, and cannot have discretionary authority, or make investment decisions for clients.

Item 17. – Voting Client Securities

The Firm does not have client authority to vote for matters involving proxies or client securities.

Item 18. – Financial Information

The Firm is not required to disclose any financial information in this Item.

Item 19. – Requirements for State Registered Advisers

Please refer to the Firm's Form ADV Part 2B for additional information.

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November 25, 2014

Part 2B of Form ADV

Brochure Supplement

This Brochure Supplement provides information about the qualifications and business and other background of individuals that provide investment advice for clients of Wienken Advisors, Ltd. (hereinafter, “the Firm”). If you have any questions about the contents of this Brochure Supplement, please contact us at 717-790-3300 or clenz@financialguide.com. The information in this Brochure Supplement has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Registration as an investment adviser does not imply any level of skill or training. The oral and written communications of an adviser provide you with information that will assist you in determining to hire or retain an adviser.

Additional information about the Firm is also available on the SEC’s website at www.adviserinfo.sec.gov.

Christopher J. Lenz

Supervised Person's Name and Business Address

Christopher J. Lenz
Wienken Advisors, Ltd.
5001 Louise Dr., Suite 300
Mechanicsburg, PA 17055
Phone: 717-791-3300
Fax: 717-790-9151

The date of this Brochure Supplement is November 25, 2014. This Brochure Supplement provides information about Christopher Lenz that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact clenz@financialguide.com if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

Educational Background

Formal Education After High School
B.A., Moravian College, 1987

Business Experience for Preceding Five Years

President and Chief Compliance Officer of Wienken Advisors, Ltd.
2009-Present

Managing Partner

Wienken & Associates, a division of uFinancial Group
uFinancial Group offers securities and investment advisory services through
MML Investor services, LLC
2009-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to managed account clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

Disciplinary Information

Not applicable.

Other Business Activities

Not applicable.

Additional Compensation

Not applicable.

Supervision

The Firm supervises Mr. Lenz and monitors the advice Mr. Lenz provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment

advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3300.

Requirement for State-Registered Advisers

Not applicable.

Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

George A. Snook

Supervised Person's Name and Business Address

George A. Snook
Wienken Advisors, Ltd.
5001 Louise Dr., Suite 300
Mechanicsburg, PA 17055
Phone: 717-791-3300
Fax: 717-790-9151

The date of this Brochure Supplement is November 25, 2014. This Brochure Supplement provides information about George Snook that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact clenz@financialguide.com if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

Educational Background

Formal Education After High School
Attended Bucknell University, 1984-1987

Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.
2009-Present

Managing Partner

The Snook Group, a division of uFinancial Group
uFinancial Group offers securities and investment advisory services through
MML Investor services, LLC
2009-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to managed account clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

Disciplinary Information

Not applicable.

Other Business Activities

Not applicable.

Additional Compensation

Not applicable.

Supervision

The Firm supervises Mr. Snook and monitors the advice Mr. Snook provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3300.

Requirement for State-Registered Advisers

Not applicable.

Professional Credentials

Chartered Federal Employee Benefit Consultant (ChFEBC).

Brian R. Logan

Supervised Person's Name and Business Address

Brian R. Logan
Wienken Advisors, Ltd.
5001 Louise Dr., Suite 300
Mechanicsburg, PA 17055
Phone: 717-791-3300
Fax: 717-790-9151

The date of this Brochure Supplement is November 25, 2014. This Brochure Supplement provides information about Brian Logan that supplements the Wienken Advisors, Ltd. Brochure. You should have received a copy of that Brochure. Please contact clenz@financialguide.com if you did not receive the Firm's Brochure or if you have any questions about the contents of this Supplement.

Educational Background

Formal Education After High School
M.B.A., University of North Carolina, 1991

Business Experience for Preceding Five Years

Investment Advisor Representative, Wienken Advisors, Ltd.
2009-Present

Managing Partner

Director of Investments and Financial Planning, uFinancial Group
uFinancial Group offers securities and investment advisory services through
MML Investor services, LLC
2009-Present

Although the Firm does not have specific educational or business requirements for its personnel providing investment advice to managed account clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

Disciplinary Information

Not applicable.

Other Business Activities

Not applicable.

Additional Compensation

Not applicable.

Supervision

The Firm supervises Mr. Logan and monitors the advice Mr. Logan provides to his clients through regular reviews of client information, the Firm's marketing and seminar materials and for adherence to the Firm's stated guidelines. Mr. Lenz has overall responsibility for the investment

advice given to clients by advisors employed by the Firm. His telephone number is 717-791-3300.

Requirement for State-Registered Advisers

Not applicable.

Professional Credentials

Accredited Asset Management Specialist (AAMS).