

# **Energy Opportunities Capital Management, LLC**

---

## **Form ADV: Part 2B**

Uniform Application for Investment Advisor Registration  
March 29, 2018

### **Item 1: Cover Page**

Part 2B of Form ADV: Brochure Supplement  
Submitted March 29, 2018

Energy Opportunities Capital Management, LLC  
6300 Bee Caves Road  
Building Two, Suite 430  
Austin, TX 78746

Telephone: 512-477-0900  
Website: [www.energyocm.com](http://www.energyocm.com)

#### Supervised Persons:

1. L. Farrell Crane, Jr.  
6300 Bee Caves Road  
Building Two, Suite 430  
Austin, TX 78746
2. Scott B. Gill  
6300 Bee Caves Road  
Building Two, Suite 430  
Austin, TX 78746
3. John M. Crain  
6300 Bee Caves Road  
Building Two, Suite 430  
Austin, TX 78746

**This brochure supplement provides information about L. Farrell Crane, Jr. and Scott B. Gill that supplements the Energy Opportunities Capital Management, LLC brochure. You should have received a copy of that brochure. Please contact Sandra Lai at Energy Opportunities Capital Management, LLC if you did not receive Energy Opportunities Capital Management, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about L. Farrell Crane, Jr., Scott B. Gill and John M. Crain is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background and Business Experience**

L. Farrell Crane, Jr. was born in 1962. Farrell holds a Bachelor's degree in Economics from Austin College and a J.D. from the University of Texas. Farrell has seventeen years of investment management experience managing both fixed income and equity allocations, and has served as the portfolio manager of the Energy Opportunities strategies since inception in 2000.

- February 2016- present: Became 20% owner of Energy Opportunities Capital Management, LLC.
- January 2009 – present: Portfolio Manager of the Energy Opportunities strategies and Managing Director / President Energy Opportunities Capital Management, LLC.
- January 2009 – present: Officer/Director/Portfolio Manager and CCO (through 2014) at Orleans Capital Management.
- April 2000 – December 2008: Portfolio Manager of the Energy Opportunities strategies, Co-Portfolio Manager to Core Fixed Strategy, and Co-Portfolio Manager Strategic Dividend Strategy at Orleans Capital Management.

Before this, Mr. Crane spent thirteen years as a corporate lawyer, managing financial restructurings of both publicly and privately held institutions and developing an extensive background in the review and analysis of securities. He was formerly a partner with Kirkendall & Isgur, L.L.P. and Of Counsel with Butler & Binion, L.L.P. and Fulbright & Jaworski L.L.P. in Houston, Texas.

Scott B. Gill was born in 1959. Scott holds a Master's degree in Business Administration from Tulane University and a Bachelor's degree in Mechanical Engineering from Louisiana State University. Scott has worked in the securities and investment management business for the past twenty years.

- February 2016- present: Became 20% owner of Energy Opportunities Capital Management, LLC.
- January 2009 – present: Portfolio Manager of the Energy Opportunities strategies and Managing Director / Executive Vice President Energy Opportunities Capital Management, LLC.
- February 1997 – December 2008: Managing Director and Co-Head of Research for Simmons & Company International.

Between 1991 and 1997, Mr. Gill worked in both corporate and operational management roles at Baker Hughes Incorporated, a large and diversified energy service company with global operations. Prior to joining Baker Hughes Incorporated, Scott worked over nine years for Amoco Production Company (subsequently acquired by BP) in various engineering and managerial positions.

John M. Crain was born in 1987. John has been with the firm since 2010. Mr. Crain started his career as an analyst with EOCM focused primarily in traditional, alternative/renewable and disruptive energy technologies. In 2016, John became 5% owner of Energy Opportunities Capital Management, LLC. Mr. Crain currently serves as senior research analyst and trader and as assistant portfolio manager on the Energy Dynamics Strategy. John holds a Bachelor's degree from Kenyon College in Gambier, Ohio.

Prior to working at EOCM, John participated in the Accelerator Business Internship Program at Vanderbilt University. While in this program, John worked with many well-known companies such as Bridgestone and Lexus presenting solution based presentations. John also worked briefly for a local Houston bank in customer service.

**Item 3: Disciplinary Information**

There are no legal or disciplinary events associated with L. Farrell Crane, Jr., Scott B. Gill, John M. Crain or EOCM.

**Item 4: Other Business Activities**

L. Farrell Crane, Jr., Scott B. Gill, and John M. Crain are dually registered as an investment adviser representative with Orleans Capital Management Corporation and EOCM. Farrell is an Officer, Director, Portfolio Manager with OCM. Scott is a Portfolio Manager with OCM and John is an Assistant Portfolio Manager and Analyst with OCM. When evaluating the needs of a client, individuals who are investment adviser representatives of both EOCM and OCM will disclose to the client that services can be provided through either advisory firm and will work with the client to determine whether the services of EOCM or OCM are most appropriate to the client. As the fees charged by EOCM may be higher or lower than those charged by OCM, clients should be aware that, in this situation, the possibility of receiving greater compensation by recommending one firm over the other presents a potential conflict of interest. The firm monitors the activities of each investment adviser representative to guard against actual conflicts and make certain the client's interests are protected. Scott B. Gill serves as the supervisor for L. Farrell Crane, Jr., and L. Farrell Crane, Jr. serves as the supervisor for Scott B. Gill for all transactions that occur through EOCM. Both Farrell and Scott serve as the supervisor for John M. Crain.

**Item 5: Additional Compensation**

L. Farrell Crane, Jr., Scott B. Gill and John M. Crain are not compensated on the bases of sales, client referrals, or new accounts.

L. Farrell Crane, Jr., Scott B. Gill and John M. Crain receive additional compensation in their positions with Orleans Capital Management Corporation.

**Item 6: Supervision**

L. Farrell Crane, Jr. and Scott B. Gill are the executives of Energy Opportunities Capital Management, LLC as well as the portfolio managers. The two operate under the strict guidelines of the firm's Written Supervisory Procedures Manual. Scott B. Gill serves as the supervisor for L. Farrell Crane, Jr., and L. Farrell Crane, Jr. serves as the supervisor for Scott B. Gill. L. Farrell Crane, Jr. and Scott B. Gill serve as the supervisor for John M. Crain. Contact information can be found in Item 1 above.

**Item 7: Requirements for State-Registered Advisors**

There are no liable claims or bankruptcy petitions associated with L. Farrell Crane, Jr., Scott B. Gill or John M. Crain.

**Other Relationships**

L. Farrell Crane, Jr., Scott B. Gill nor John M. Crain have any relationship with any issuer of securities.