

Part 2B of Form ADV: *Brochure Supplement*

Item 1 Cover Page

The supervised persons employed by Haven Wealth Group include the following: Richea K. Powell, Partner, President & CEO, and William C. Calvin, Partner and Executive Vice President. Richea Powell's CRD # is 230 8997. William Calvin's CRD # is 566 4344. Haven Wealth Group's CRD # is 148 099.

Richea K. Powell, CFA

Date of Birth: July 19, 1962

Formal Education: **Chartered Financial Analyst 1997**

University of Houston Clear Lake

Masters of Business Administration 1989

Houston Baptist University

Bachelor of Arts 1983

Houston Baptist University

Double Major – Finance & Mathematics

Business Background:

9/2008 - 9/2013 Haven Wealth Group, LLC President, CEO, and Partner

9/2005 - 7/2008 Wells Fargo Bank, Houston, Texas
Senior VP and Regional Investment Manager

6/2004 – 8/2005 Compass Bank, Houston, Texas
Senior VP and Chief Investment Strategist

2003 – 6/2004 Smith Graham & Company, Houston, Texas
Vice President and Senior Portfolio Manager

1989 – 2003 JP Morgan Fleming Asset Management
(Formerly Chase Bank of Texas) Houston, Texas
Senior VP and Senior Equity Analyst 1999 - 2003

William Charles Calvin

Date of Birth: May 30, 1951

Formal Education: **Series 65 – 2009**
Dearborn Financial Institution

Bachelor of Business Administration 1973
University of Houston
Major – Finance

Business Background:

5/2009 – 9/2013	Haven Wealth Group, LLC Executive Vice President and Partner
5/1985 – 4/2009	Wells Fargo Bank Vice President 1987 – 1989 Manager of Diversified Asset Group Houston, Texas 1990 – 1991 Manager of the Consumer Loan Center for entire State of Texas 1992 – 1996 Manager of Business Banking Group Houston, Texas 1996 – 2009 Senior Relationship Manager Commercial Banking Group Houston, Texas

No supervised person at Haven Wealth Group has incurred any legal or disciplinary event that is material to any client's evaluation of their integrity.

No supervised person at Haven Wealth Group has ever resigned or otherwise relinquished a professional attainment, designation or license in anticipation of it being suspended or revoked.

No supervised persons at Haven Wealth Group participate in any investment related business. Therefore there are no conflicts of interest such participation could create. In addition, no supervised persons at the Firm receive any bonuses or cash compensation based on the sales of securities or other investment products.

One supervised person, Richea Powell, conducts other business activities that require time and from which she receives additional compensation. She is the CEO and Chief Financial Officer of OSR Services, LP for which she draws compensation. She is also Chief Financial Officer of Momentum Petrochemical Services and JAP Management but does not receive compensation from those entities. All three companies are privately held and are engaged in oil and gas service, rental, and real estate industries. These businesses do not have any conflicts of interest with Haven Wealth Group or its clients. However, Momentum Petrochemical Services is an investment management client of Haven Wealth Group.

In addition, Richea K. Powell and William C. Calvin are partners in RPCC Ventures, LLC, a small private company that owns and manages residential investment properties.

As mentioned previously, Richea Powell receives compensation for her services as acting Chief Financial Officer for OSR, Inc. She does not receive any economic benefit for providing any other advisory services.

Crystal Powell is directly responsible for monitoring the advice provided by all supervised persons at Haven Wealth Group. In addition, she helps monitor many of the compliance policies and procedures for the firm. She may be reached at 713-980-8820 or by email at crissypowell@havenwealthgroup.com. Her address is 1111 North Loop West, Suite 1110 Houston, Texas 77008. All questions and concerns regarding client accounts may be directed to Crystal Powell (Crissy).

