

*This brochure supplement provides information about Clark G. Frese that supplements the Asset Strategy Retirement Plan Consultants, LLC brochure. You should have received a copy of that brochure. Please contact Clark G. Frese, Principal if you did not receive Asset Strategy Retirement Plan Consultants, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Clark G. Frese is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Asset Strategy Retirement Plan Consultants, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Clark G. Frese**

Investment Adviser Representative

Asset Strategy Retirement Plan Consultants, LLC  
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Mechanicsburg, Pennsylvania 17055  
(717) 602-1010  
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## Item 2: Educational Background and Business Experience

**Name:** Clark G. Frese

**Born:** 1949

### Education Background and Professional Designations:

#### Education:

BS/BA Business Administration, Villanova University - 1972

#### Business Background:

2009 - Present	Investment Adviser Representative Asset Strategy Retirement Plan Consultants, LLC
02/2008 - 09/2008	Registered Representative Triad Advisors, Inc.
2007 - Present	Principal Asset Strategy Retirement Plan Consultants, LLC
2008 - Present	Investment Adviser Representative Asset Strategy Consultants LLC
2006 - 2008	Investment Adviser Representative Asset Strategy Retirement Consultants, LLC
2006 - Present	President Charles River Retirement Consultants, Inc.
2004 - 2007	Principal Asset Strategy Retirement Consultants, LLC
07/2003 - 02/2008	Registered Representative NFP Securities, Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Clark G. Frese is a pension consultant for Asset Strategy Consultants LLC. From time to time, he will offer clients advice or products from those activities. ASRPC always acts in the best interest of the client. Clients are in no way required to implement the plan through any representative of ASRPC in their capacity as a pension consultant.

Clark G. Frese is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. ASRPC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of ASRPC in such individual's outside capacities.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Clark G. Frese does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Asset Strategy Retirement Plan Consultants, LLC.

### **Item 6: Supervision**

As the only owner of Asset Strategy Retirement Plan Consultants, LLC, Clark G. Frese supervises all duties and activities of the firm. Clark G. Frese's contact information is on the cover page of this disclosure document. Clark G. Frese adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Pennsylvania securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Clark G. Frese has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Clark G. Frese has NOT been the subject of a bankruptcy petition at any time.