

# PHILIP C. DIAMOND, CFA®

This brochure provides supplemental information about Philip C. Diamond (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Philip C. Diamond at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

October 24, 2011

NORTHWEST ASSET MANAGEMENT  
7110 SW FIR LOOP DRIVE, SUITE 215, PORTLAND, OREGON 97223  
PHILDIAMOND@NWASSET.COM  
(503) 747-3837



## TABLE OF CONTENTS

|  |   |
|--|---|
| Item 2 - Educational Background and Business Experience..... | 3 |
| Item 3 - Disciplinary Information .....                      | 4 |
| Item 4 - Other Business Activities.....                      | 4 |
| Item 5 - Additional Compensation.....                        | 4 |
| Item 6 – Supervision.....                                    | 4 |

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Philip C. Diamond**

Year Born: 1949

**Educational Background:**

Bachelor of Science, Economics – Portland State University (1981)

**Business Background:**

10/2011 – Present: *Investment Advisor Representative*, NWAM, LLC dba Northwest Asset Management

11/2006 – Present: *Advisor*, abcInvesting.com

01/2001 – 10/2011: *Chief Investment Officer*, The H Group/Focus Point Solutions

**Professional Designation:**

Chartered Financial Analyst (CFA<sup>®</sup>)

**Explanation of Designation:**

CFA<sup>®</sup>: (Chartered Financial Analyst)

To earn this designation, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. The major areas of study are Ethics, Quantitative Analysis, Economics, Accounting, Security Analysis, and Portfolio Management.

In addition to producing an array of industry-supported events, educational opportunities and publications, the CFA Institute has devised a volunteer-based education program that recommends that members complete a minimum of 20 hours of continued education (CE) activities, including a minimum of two hours of standards, ethics and regulatory education each calendar year. Members have autonomy over the activities they engage in as long as they follow two broad requirements: The activity should be educational in nature and geared toward increasing the knowledge, skills and abilities of an investment professional and the educational content should relate to one or more of the Topics for Investment Professionals (TIPs) or a topic that a member deems individually relevant for his or her unique professional responsibilities.

---

---

Members receive credit for their continuing education by keeping a CE journal and then receive recognition for meeting annual milestones in their programs. The CFA Institute recognizes these milestones by providing certificates signifying program completion and by publishing the names of CE members in CFA publications.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Philip C. Diamond has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business of Northwest Asset Management is that of a registered investment advisor and provider of financial planning services. Mr. Diamond additionally works five hours or less a month at [abcinvesting.com](http://abcinvesting.com), LLC, an investment related publishing company.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Philip C. Diamond receives no additional compensation related to outside business activities.

### **ITEM 6 – SUPERVISION**

Philip C. Diamond is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.