

ARTHUR G. NUNES, JR.

This brochure provides supplemental information about Arthur G. Nunes, Jr. (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Arthur G. Nunes, Jr. at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Arthur G. Nunes, Jr.

Year Born: 1957

Educational Background:

B.S., Aeronautical Engineering – Ohio State University (1979)

Business Background:

11/2010 – Present: *Chief Investment Officer and Investment Advisor Representative*, NWAM, LLC dba Northwest Asset Management

06/2003 – 11/2010: *Principal and Portfolio Manager*, IMS Capital Management

03/1995 – 06/2003: *Registered Representative*, Dain Rauscher Incorporated

Professional Qualifications:

Series 3, National Commodities Futures Examination (1988)

Series 7, General Securities Representative Examination (1983)

Series 63, Uniform Securities Agent Laws (1983)

Series 65, Uniform Investment Adviser Law Examination (1992)

Professional Designation:

Chartered Market Technician (CMT®)

Explanation of Designation:

CMT®: (Chartered Market Technician)

The Chartered Market Technician (CMT®) Program is a certification process in which candidates are required to demonstrate proficiency in a broad range of technical analysis subjects. Administered by the Accreditation Committee of the Market Technicians Association (MTA), Inc., the Program consists of three levels. CMT® Level 1 and CMT® Level 2 are multiple choice exams while CMT® Level 3 is in essay form. One must have been gainfully employed in a professional analytical or investment management capacity for a minimum period of three (3) years and must be regularly engaged in this capacity at the time of successfully passing all three (3) levels of the CMT® Exam.



ITEM 3 - DISCIPLINARY INFORMATION

Arthur G. Nunes, Jr. has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business is that of a Registered Investment Advisor and provider of financial planning services. As indicated above in employment history, Mr. Nunes was affiliated with IMS Capital Management, Inc. During that time, Mr. Nunes acquired 19.67% ownership of the company. Presently, he maintains his ownership interest in IMS Capital Management, Inc. However, his ownership is passive and he has no involvement in any business or investment decisions.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Arthur G. Nunes, Jr. receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

Arthur G. Nunes, Jr. is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.