

# ALICE L. BOYTZ

This brochure provides supplemental information about Alice L. Boytz (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Alice L. Boytz at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

March 01, 2011

NORTHWEST ASSET MANAGEMENT  
7900 SE 28<sup>TH</sup> STREET, SUITE 412, MERCER ISLAND, WASHINGTON 98040  
ALICE@NWASSET.COM  
(206) 838-3680



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## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Alice L. Boytz**

Year Born: 1961

### **Educational Background:**

B.A., Art History – Carleton College (1983)

Chartered Advisor in Philanthropy

### **Professional Qualifications:**

Series 7, General Securities Representative Examination (1998)

Series 31, Futures Managed Funds Examination (2003)

Series 63, Uniform Securities Agent Laws (1998)

Series 65, Uniform Investment Adviser Law Examination (1998)

### **Business Background:**

08/2008 – Present: *Sr. Partner, Investment Advisor Representative*, NWAM, LLC dba Northwest Asset Management

08/2008 – Present: *Registered Representative*, Purshe Kaplan Sterling

08/2006 – 08/2008: *Financial Advisor*, UBS Financial Services, Inc.

05/1998 – 08/2008: *Financial Advisor*, Piper Jaffray, Inc.



### **ITEM 3 - DISCIPLINARY INFORMATION**

Alice L. Boytz has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. Ms. Boytz is also a registered representative of a broker dealer. When acting in the capacity of a registered representative, the Advisor may receive the usual and customary commissions or fees on the products the client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory client is free to select any broker dealer the client desires for implementation of Advisor's recommendations.

As well, Ms. Boytz serves on the board of “Facing the Future”, a local nonprofit as well as “Friends of Music” at Hamilton International and is currently completing Seattle's Leadership Tomorrow program.



## **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Alice L. Boytz receives no additional compensation related to outside business activities.



## **ITEM 6 – SUPERVISION**

Alice L. Boytz is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.