

# RITA R. FERNANDEZ AGUILAR

This brochure provides supplemental information about Rita Fernandez Aguilar (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Rita Fernandez Aguilar at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

March 1, 2011

NORTHWEST ASSET MANAGEMENT  
7900 SE 28<sup>TH</sup> STREET, SUITE 412, MERCER ISLAND, WASHINGTON 98040  
RITA@NWASSET.COM  
(206) 838-3680



## TABLE OF CONTENTS

Item 2 - Educational Background and Business Experience.....	3
Item 3 - Disciplinary Information .....	4
Item 4 - Other Business Activities.....	5
Item 5 - Additional Compensation.....	6
Item 6 – Supervision.....	7

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Rita Fernandez Aguilar**

Year Born: 1957

### **Educational Background:**

BA, Political Science – Western Washington University (1979)

### **Business Background:**

11/01/2010 – Present: *Sr. Partner*, Northwest Asset Management

06/02/2003 – 10/28/2010: *Principal*, IMS Capital Management

03/17/1995 – 06/02/2003: *Investment Associate*, RBC Dain Rauscher Inc.

### **Professional Qualifications:**

Series 7, General Securities Representative Examination (1984)

Series 63, Uniform Securities Agent Laws (1984)

Series 65, Uniform Investment Adviser Law Examination (2003)



### **ITEM 3 - DISCIPLINARY INFORMATION**

Rita Fernandez Aguilar has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.



## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business is that of a Registered Investment Advisor (RIA) and provider of financial planning services.



## **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Rita Fernandez Aguilar receives no additional compensation related to outside business activities.

## **ITEM 6 – SUPERVISION**

Rita Fernandez Aguilar is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.