

# Global X Management Company LLC

---

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **Form ADV, Part 2B Brochure Supplement**

### **Professional Backgrounds of:**

Bruno del Ama, CFA (Chief Executive Officer)  
Jose C. Gonzalez (Chairman)

Luis Berruga  
James D. Glownia  
Sherry L. Hughes  
Jonathan Jacobs  
Chang Kim, CFA  
Greg King, CFA  
Guillermo Trias  
Justin Young  
James T. Ziniel

**June, 2014**

This brochure supplement provides information about the qualifications of the above named Global X Management Company LLC investment advisory professionals. This is a supplement to the Global X Management Company LLC brochure (Form ADV, Part 2A). Please contact us via telephone at: (212) 644-6440 or by email at: [tlynch@globalxfunds.com](mailto:tlynch@globalxfunds.com) if you did not receive Global X Management Company LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about the firm's personnel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Global X Management Company LLC

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **Bruno del Ama, CFA**

### *Chief Executive Officer, Portfolio Manager*

#### Business Experience:

- Co-Founder of Global X Management Company LLC

#### Educational Background:

- The Wharton School, University of Pennsylvania (2007) M.B.A.
- Universidad Pontificia de Comillas (1998) B.S.
- Year of Birth: 1976

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. del Ama's activities are monitored by the compliance team at Global X Management Company LLC.

## **Jose C. Gonzalez**

### *Chairman, Portfolio Manager*

#### Business Experience:

- Co-Founder of Global X Management Company LLC
- Chief Operating Officer of Global X Management Company LLC (2008-2013)
- Owner (since 2006) and President (2006-4/2014) of GWM Group, Inc.

#### Educational Background:

- Universidad Autonoma de Madrid (1998), B.A.
- Year of Birth: 1976

Disciplinary Information: None

Other Business Activities: Mr. Gonzalez is sole owner of GWM Group, Inc., a registered broker-dealer. Until April 2014, GWM Group, Inc shared office space with Global X Management Company LLC. GWM Group, Inc does not provide services or products to clients of Global X Management Company LLC.

Additional Compensation: Mr. Gonzalez receives profit distributions from GWM Group, Inc.

Supervision: Mr. Gonzalez's activities are monitored by the compliance team at Global X Management Company LLC.

## **Luis Berruga**

### *Chief Operating Officer, Portfolio Manager*

#### Business Experience:

- Joined Global X Management Company in 2014
- Investment Banker at Jefferies Financial Institutions Group (2012-2014)
- Regional Product Specialist at Morgan Stanley (2008-2014)

#### Educational Background:

- Kellogg School of Management, Northwestern University (2012), M.B.A.
- Universidad Politecnica de Madrid (2002), B.S.
- Year of Birth: 1977

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Berruga's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Bruno del Ama, Chief Executive Officer. Mr. del Ama can be reached at (212) 644-6110.

# Global X Management Company LLC

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **Chang Kim, CFA**

### *Vice President, Portfolio Manager*

#### Business Experience:

- Joined Global X Management Company LLC in 2009

#### Educational Background:

- Yale University (2009), B.S.
- Year of Birth: 1984

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Kim's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Luis Berruga, the Chief Operating Officer. Mr. Berruga can be reached at (646) 757-5400.

## **Gregory D. King**

### *Executive Vice President, Head of Product Development & Strategic Ventures*

#### Business Experience:

- Joined Global X Management Company LLC in 2014
- Head of Exchange Traded Products, Credit Suisse (2011-2014)
- CEO, VelocityShares (2009-2011)
- Head of iPath, Barclays (2002-2009)

#### Educational Background:

- University of California, Davis (2002), M.B.A.
- Pacific Union College (1996), B.A.
- Year of Birth: 1974

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. King's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Bruno del Ama, Chief Executive Officer. Mr. del Ama can be reached at (212) 644-6110.

## **Jonathan (Jay) Jacobs**

### *Vice President, Research Analyst*

#### Business Experience:

- Joined Global X Management Company LLC in 2013
- Business Analyst, NYSE Euronext (2011-2013)

#### Educational Background:

- Emory University (2011), B.S.
- Year of Birth: 1989

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Jacob's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Luis Berruga, the Chief Operating Officer. Mr. Berruga can be reached at (646) 757-5400.

# Global X Management Company LLC

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **Justin Young**

### *Vice President, Head of Capital Markets*

#### Business Experience:

- Joined Global X Management Company LLC in 2011
- Associate, NYSE Euronext (2009-2011)

#### Educational Background:

- Georgetown University (2009), B.S.
- Year of Birth: 1986

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Young's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Bruno del Ama, Chief Executive Officer. Mr. del Ama can be reached at (212) 644-6110.

## **Guillermo Trias**

### *Executive Vice President, Head of Investment Consulting Group (ICG)*

#### Business Experience:

- Joined Global X Management Company LLC in 2012
- Managing Partner, MC Capital Advisors LLC (2010-2012)
- CEO, Solex Partners LLC (2004-2009)

#### Educational Background:

- Kellogg School of Management, Northwestern University (2004), M.B.A.
- Colegio Universitario De Estudios Financieros (CUNEF) (1998), B.S.
- Year of Birth: 1976

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Trias's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Bruno del Ama, Chief Executive Officer. Mr. del Ama can be reached at (212) 644-6110.

## **James T. Ziniel**

### *Regional Director*

#### Business Experience:

- Joined Global X Management Company LLC in 2013
- Vice President/Consultant, SIP America (2011-2013)
- Regional Vice President, Cornerstone Real Estate Funds (2010-2013)
- Regional VP-GK Development Real Estate (2009-2010)
- Vice President-ICON Capital (2007-2009)

#### Educational Background:

- DePaul University (1997), B.A.
- Year of Birth: 1973

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Ziniel's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Guillermo Trias, Executive Vice President of Global X Management Company LLC. Mr. Trias can be reached at (646) 545-2201.

# Global X Management Company LLC

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **Sherry L. Hughes**

### *Regional Director*

#### Business Experience:

- Joined Global X Management Company LLC in 2013
- Regional Vice President, Advisors Asset Management (2013)
- Investment Consultant, SIP America (2010-2013)
- Regional Director, Harborside Sales Group (2009-2010)
- Vice President, First Trust Portfolios (2008-2009)

#### Educational Background:

- University of Maryland (1998), B.S.
- Year of Birth: 1976

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Ms. Hughes's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Guillermo Trias, Executive Vice President of Global X Management Company LLC. Mr. Trias can be reached at (646) 545-2201.

## **James (Jim) D. Glownia**

### *Senior Investment Consultant*

#### Business Experience:

- Joined Global X Management Company LLC in 2013
- Derivatives Trader, Andrie Trading LLC (2011-2013)
- Operations Analyst, Citigroup (2010-2011)

#### Educational Background:

- Saint John Fisher College (2010), B.S.
- Year of Birth: 1988

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Mr. Glownia's activities are monitored by the compliance team at Global X Management Company LLC and supervised by Guillermo Trias, Executive Vice President of Global X Management Company LLC. Mr. Trias can be reached at (646) 545-2201.

# Global X Management Company LLC

---

623 Fifth Avenue, 15th Floor ▪ New York, New York 10022 ▪ (646) 545-2201 FAX (646) 514-5968

## **PROFESSIONAL DESIGNATION**

### **CFA: Chartered Financial Analyst**

A Chartered Financial analyst is a professional designation issued by the CFA Institute which measures the qualifications and trustworthiness of financial professionals. A CFA candidate must pass three course exams in the following areas: portfolio management, accounting ethics, money management and security analysis. Licensed CFAs are subject to rigorous ethics rules.