

FORM ADV**Uniform Application for Investment Adviser Registration****Part II – Page 1**

Name of Investment Adviser:				
Blaylock Robert Van LLC				
Address: (Number and Street)		(City)	(State)	(Zip Code)
350 Frank H. Ogawa Plaza, 10 th Flr, Oakland, CA		64612	(510) 208-6101	

This part of Form ADV gives information about the investment adviser and its business for the use of clients.
This information has not been approved or verified by any governmental authority.

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number.

FORM ADV**Part II - Page 2**

Applicant:

Blaylock Robert Van LLC

SEC File Number:

801-

Date:

02/02/2009

Definitions for Part II

Related person - Any officer, director or partner of applicant or any person directly or indirectly controlling, controlled by, or under common control with the applicant, including any non-clerical, non-ministerial employee.

Investment Supervisory Services - Giving continuous investment advice to a client (or making investments for the client) based on the individual needs of the client. Individual needs include, for example, the nature of other client assets and the client's personal and family obligations.

1. **A. Advisory Services and Fees.** (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service.

(See instruction below.)

Applicant:

- | | | | |
|-------------------------------------|-----|---|-----|
| <input checked="" type="checkbox"/> | (1) | Provides investment supervisory services | 90% |
| <input type="checkbox"/> | (2) | Manages investment advisory accounts not involving investment supervisory services | % |
| <input checked="" type="checkbox"/> | (3) | Furnishes investment advice through consultations not included in either service described above | 10% |
| <input type="checkbox"/> | (4) | Issues periodicals about securities by subscription | % |
| <input type="checkbox"/> | (5) | Issues special reports about securities not included in any service described above | % |
| <input type="checkbox"/> | (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities | % |
| <input type="checkbox"/> | (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities | % |
| <input type="checkbox"/> | (8) | Provides a timing service | % |
| <input type="checkbox"/> | (9) | Furnishes advice about securities in any manner not described above | % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

- | | | | |
|----|---|---|-----------------------------|
| B. | Does the applicant call any of the services it checked above financial planning or some similar term? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
|----|---|---|-----------------------------|

C. Applicant offers investment advisory services for: (check all that apply):

- | | | | | | |
|-------------------------------------|-----|--|--------------------------|-----|-------------------|
| <input checked="" type="checkbox"/> | (1) | A percentage of assets under management | <input type="checkbox"/> | (4) | Subscription fees |
| <input checked="" type="checkbox"/> | (2) | Hourly charges | <input type="checkbox"/> | (5) | Commissions |
| <input type="checkbox"/> | (3) | Fixed fees (not including subscription fees) | <input type="checkbox"/> | (6) | Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. **Types of Clients** - Applicant generally provides investment advice to: (check those that apply)

- | | | | | | |
|-------------------------------------|----|----------------------------------|-------------------------------------|----|---|
| <input checked="" type="checkbox"/> | A. | Individuals | <input checked="" type="checkbox"/> | E. | Trusts, estates, or charitable organizations |
| <input type="checkbox"/> | B. | Banks or thrift institutions | <input checked="" type="checkbox"/> | F. | Corporations or business entities other than those listed above |
| <input type="checkbox"/> | C. | Investment companies | <input type="checkbox"/> | G. | Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> | D. | Pension and profit sharing plans | | | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

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3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|---|
| <input checked="" type="checkbox"/> A. Equity Securities | <input type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (3) foreign issues | <input type="checkbox"/> (1) securities |
| | <input type="checkbox"/> (2) commodities |
| <input type="checkbox"/> B. Warrants | |
| <input type="checkbox"/> C. Corporate debt securities | <input type="checkbox"/> J. Futures contracts on: |
| (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| | <input type="checkbox"/> (2) intangibles |
| <input type="checkbox"/> D. Commercial paper | |
| <input type="checkbox"/> E. Certificates of deposit | <input type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input type="checkbox"/> (1) real estate |
| | <input type="checkbox"/> (2) oil and gas interests |
| <input type="checkbox"/> G. Investment company securities | <input type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (1) variable life insurance | <input type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (2) variable annuities | |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.**A.** Applicant's security analysis methods include: (check those that apply)

- | | |
|---|---|
| (1) <input type="checkbox"/> Charting | (4) <input type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|--|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input checked="" type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input checked="" type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

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Blaylock Robert Van LLC

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5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients?

Yes No
☐ ☒

(If yes, describe these standards on Schedule F.)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- | | |
|-----------------|--|
| • name | • formal education after high school |
| • year of birth | • business background for the preceding five years |

7. Other Business Activities. (check those that apply)

- ☐ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☒ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☒ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input checked="" type="checkbox"/> (3) other investment adviser | <input checked="" type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?

Yes No
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 5**

Applicant:

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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☒ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

- 10. Conditions for Managing Accounts.** Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other conditions for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F.)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Securities positions will be monitored on a continuous basis. Client portfolios will be reviewed at least quarterly or on an as needed basis which may be triggered by large market movements, issuer significant events or a change in client investment objectives. Positions and portfolios will be reviewed by the Portfolio Manager responsible for the client account. In addition, accounts will be reviewed periodically by an investment committee comprised of portfolio managers and/or officers of the Registrant to monitor adherence to client and firm investment parameters.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Clients will receive confirmations of each trade in their account and monthly statements on the account from the custodian of each account. In addition, clients will receive a quarterly statement from the Registrant showing account performance. The performance statement will have positions and balance reconciled versus the custodian's statement.

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

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Blaylock Robert Van LLC

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Date:

02/02/2009

12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | Yes | No |
|---|-------------------------------------|--------------------------|
| (1) securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (2) amount of the securities to be bought or sold ? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (3) broker or dealer to be used ? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (4) commission rates paid? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients?

Yes	No
<input checked="" type="checkbox"/>	<input type="checkbox"/>

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|---------------------------------|---|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes
<input type="checkbox"/> | No
<input checked="" type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes
<input type="checkbox"/> | No
<input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities; or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

	Yes	No
Has applicant provided a Schedule G balance sheet?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**
 Registrant:
 Blaylock Robert Van LLC

SEC File Number:

801-

Date:

2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

 ADV Part II
 Item 1(D);
 Item 7(B) (C);
 Item 8(A), (C);
 Item 9 (B).
Investment Management

Blaylock Robert Van LLC ("Registrant") is an investment adviser registered in the State of California that provides investment advice and management services to separately managed accounts. The Registrant provides investment advice on a discretionary basis to all of its clients. Portfolios are constructed primarily of domestic equities and ADRs, mutual funds and other securities that assist in the diversification of the portfolio and help achieve client investment objectives. The portfolio management team uses fundamental, technical and some quantitative applications in order to identify opportunities for client portfolios.

Fees for the separately managed accounts of the Registrant are based on the following schedule:

Account Asset Level	Annual Fee
Less Than \$100,000	1.5%
\$100,000 - \$1 Million	1.0%
Greater than \$1 Million	0.75%

All fees may be negotiated by the Registrant based on account size, account type and trading strategy and other qualitative factors of an account at the discretion of the Registrant. Fees are billed quarterly (calendar) in advance based on the managed account valuation as of the end of each quarter preceding the one being billed. Accounts that are initiated at a time other than calendar quarter beginning shall be billed a pro-rata fee for the remaining portion of the calendar quarter and then billed quarterly thereafter.

Clients or the Registrant may terminate the investment management contract effective immediately upon written notice. Fees paid but unearned by the Registrant will be reimbursed to the client upon notification of termination.

Financial Planning

The Registrant offers financial planning services for both its investment management clients and for those not under an investment management contract. For its services in the preparation of financial plans, the Registrant may charge a flat fee of \$450 or may offer an hourly rate that is typically based on a charge of \$150 per hour. The Registrant charges a fixed fee minimum of \$450 unless the financial planning exceeds 3 hours of work at which time the client is billed hourly at a rate of \$150/hr for all time

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Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**Registrant:
Blaylock Robert Van LLC

SEC File Number:

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2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II
Item 1(D);
Item 7(B) (C);
Item 8(A), (C)
Item 9 (B)
(cont.)

in excess of 3 hours. Fees are negotiable and billed monthly as completed based upon the time the Registrant spends in the analysis and preparation of the report(s). In the preparation of a financial plan, the Registrant may recommend the use of the Registrant's investment advisory services but the use of the Registrants investment advisory services is not required. The Registrant has a conflict of interest in that it may receive compensation for the preparation of the financial plan and for recommended investment advisory services. Implementation of the financial plan is at the discretion of the client, and may be implemented with a provider not related to the Registrant.

The Registrant does not make any representation that the financial plan is done at the lowest cost available and clients and investors may be able to obtain a financial or estate plan at a more favorable rate from other providers. The clients or the Registrant may terminate financial planning services immediately upon written or verbal notice.

Broker Dealer Services

Blaylock Robert Van LLC is a registered broker dealer with the Financial Industry Regulatory Authority (FINRA) and the Securities Exchange Commission (SEC). Several of the associated persons with the Registrant are also registered representatives of the broker dealer as well. Clients may, but are not required to use the services of the affiliated broker dealer. For any trading activity on behalf of the Registrant for its investment advisory accounts, the broker dealer will only charge the cost to execute the transaction and will not receive any additional compensation.

Clients of the Registrant may elect to use the services of the affiliated broker dealer to execute transactions outside of those for its investment advisory account. In this circumstance, these transactions will be subject to the broker dealers standard commission schedule. A potential conflict of interest exists when deciding if a proposed security transaction is to be considered as part of or outside of the investment advisory contract. However, all affiliated personnel of the Registrant are subject to the Registrants Code of Ethics and the Registrant will monitor transactions for proper trade execution in order fullfill its fiduciary responsibilities to clients.

Other Services

Insurance - Certain associated persons of the Registrant possess a license to sell insurance. The clients who purchase insurance related product are informed that the associated persons will be compensated for a fee or commission at the time that the product is accepted. A conflict of interest exists in that associated persons may sell insurance products to clients of the Registrant and earn a commission on the sale of

Complete amended pages in full, circle amended items and file with execution page (page 1).

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Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**Registrant:
Blaylock Robert Van LLC

SEC File Number:

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Date:

2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II
Item 1(D);
Item 7(B) (C);
Item 8(A), (C)
Item 9 (B)
(cont.)

that product in addition to receiving compensation for providing investment management or financial planning services. The Registrant's financial plans may include recommendations for clients to purchase various insurance products which may be purchased from associated persons of the Registrant at the client's discretion. It is not mandatory that the client purchase insurance products, nor is it mandatory that products be purchased from the Registrant or its affiliated personnel.

Commissions for insurance products are due at the time of payment of premium and are negotiable but are typically based on a percentage of the first year's premium as dictated by the insurance policy provider. The Registrant makes no assertion that the commissions and fees for these products and services the lowest available and may be obtained on more favorable terms.

Affiliated Investment Advisers

The Registrant is affiliated with two other investment advisers by virtue of common ownership of controlling interests in each of the advisers. The Registrant or affiliated personnel of the Registrant own Robert Van Securities LLC ("RVS"), an investment adviser a state registered investment adviser. In addition, the Registrant or affiliated personnel of the Registrant own approximately 55% of SPI Strategies LLC ("SPI") which is also a state registered investment adviser. Both RVS and SPI provide investment advisory services to separately managed client accounts. The client accounts for both advisers may invest in issuers and/or securities that also are included in client portfolio accounts of the Registrant. There is a potential conflict of interest in the allocation of common securities between the accounts of each adviser regarding the price and number of securities accounts of the different advisers may realize. Client accounts of RVS and SPI may receive the same security at different prices or with more favorable terms due to the difference between the Registrant's and the other Adviser's operations and current trading objectives. The Registrant (as are RVS and SPI) holds foremost its fiduciary responsibilities to treat all clients fairly when determining price and quantity executions of common securities between advisers.

Other Fees

The Registrant may include mutual funds, variable annuity products and other managed products or partnerships in its clients' portfolios. Clients will likely be charged for the services by the providers/managers of these products in addition to the fees paid to Registrant for investment advisory services. The fees and expenses charged by the product providers are separate and distinct from the fees and expenses those charged by the Registrant. These fees and expenses are described in each mutual fund's or underlying annuity fund's prospectuses or in the offering memorandums of a

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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II Item 4(A)	<p>partnership. These fees will generally include a management fee, other fund expenses and a possible distribution fee. If the fund also imposes sales charges, a client may pay an initial or deferred sales charge. A client could invest in a mutual fund or variable annuity or investment partnership directly, without the services of Registrant. Accordingly, the client should review both the fees charged by the funds and the fees charged by Registrant to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.</p>
	<p>In addition to using traditional fundamental and technical analysis, the Registrant employs a proprietary quantitative model called ELROI Electronic Analyst ® to identify attractive equity investments for client portfolios. The model highlights potentially attractive issuer/securities which the Registrant augments with further technical and fundamental analysis to determine if the issuer/security is a candidate for client portfolios.</p>
ADV Part II Item 6	<p><u>Steve Singleton</u>, born 1961 Senior Portfolio Manager, Director, Quantitative Strategies</p> <p><u>Education</u></p> <p>Claremont McKenna College (formerly Claremont Men's College) – Bachelor of Arts-Mathematics/Economics</p> <p><u>Business Background</u></p> <p>Steve brings over 20 years experience developing fundamental, technical and quantitative models to understand the various factors that affect stock price and equity portfolio performance and is the creator of ELROI. He is a Registered Representative, Registered Investment Advisor and Registered Research Analyst.</p> <p><u>Carlton Martin</u>, born 1948 CFA, Chief Investment Officer</p> <p><u>Education</u></p> <p>Howard University – Bachelor of Arts American University – MBA</p>

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1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II Item 6 (cont.)	<u>Business Background</u>
	Carlton brings over 30 years experience as both an institutional research analyst and portfolio manager, specializing in fundamental research and management. He pioneered the TIAA-CREF Family of Growth and Income Mutual Funds with combined assets of over \$1 billion and co-managed an \$8.5 billion Global Equities Pension Fund. While at TIAA-CREF Carlton was an ELROI subscriber
	<u>Eric Standifer, born 1955</u> Chief Administrative Officer
	<u>Education</u> Manhattan College – Bachelors of Science - Accounting
	<u>Business Background</u>
	Founded Robert Van Securities, Inc. in 1991. Mr. Standifer has over 25 years of experience in trading and managing various products and services in the brokerage business. Prior to forming Robert Van Securities, Inc., he worked as a broker for Merrill Lynch and Sutro & Co. from 1981 to 1991. Mr. Standifer is a Certified Public Accountant, a General Securities Principal, Registered Municipal Principal, Registered Options Principal, Registered Investment Advisor, Registered Representative, and licensed Life Agent.
	<u>Richard Hanley, born 1958</u> Chief Compliance Officer
	<u>Education</u> Suffolk Community College – Associates Degree in Business
	<u>Business Background</u>
	Mr. Hanley has over 28 years experience as a compliance officer. He has served as Director of Compliance for NYFIX Millenium, Laid Law Adams & Peck, Direct Brokerage, Ladenburg, Thalmann & Company as well as on the floor of the New York Stock Exchange. Mr. Hanley holds several licenses, including the NYSE Series 14 Compliance Official; NYSE/NASD Series 8 (9/10) Branch Office Manager; NASD Series 24 General Principal; NYSE/NASD Series 4 Registered Option Principal

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1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II Item 9(E)	(SROP/CROP); NYSE/NASD Series 7 Registered Representative; Series 63 Agent; SIA Compliance & Legal Division; National Society of Compliance Professionals.
	<p><u>Code of Ethics</u></p> <p>The Registrant has adopted a Code of Ethics in compliance with Rule 204A-1 under the Investment Advisers Act of 1940, as amended, which establishes standards of conduct for Registrant's supervised persons. The Code of Ethics includes general requirements that such supervised persons comply with their fiduciary obligations to clients and applicable securities laws, and specific requirements relating to, among other things, personal trading, insider trading, conflicts of interest and confidentiality of client information. It requires supervised persons to report their personal securities transactions and holdings quarterly to the Registrant's Compliance Officer, and requires the Compliance Officer to review those reports. It also requires supervised persons to report any violations of the Code of Ethics promptly to the Registrant's Compliance Officer. Each supervised person of the Registrant receives a copy of the Code of Ethics and any amendments to it and must acknowledge in writing having received the materials. Annually, each supervised person must certify that he or she complied with the Code of Ethics during that year. Clients and prospective clients may obtain a copy of the Registrant's Code of Ethics by contacting the Compliance Officer of the Registrant.</p> <p>Under the Registrant's Code of Ethics, The Registrant and its managers, members, officers and employees may invest personally in securities of the same classes as are purchased for clients and may own securities of the issuers whose securities are subsequently purchased for clients. Except as described below in Items 12 and 13 regarding aggregating securities transactions, if an issue is purchased or sold for clients and any of the Advisor, managers, members, officers and employees on the same day purchase or sell the same security, either</p> <p>the clients and the Advisor, managers, members, officers or employees shall receive or pay the same price or the clients shall receive a more favorable price. The Advisor and its managers, members, officers and employee may also buy or sell specific securities for their own accounts based on personal investment considerations, which the Advisor does not deem appropriate to buy or sell for clients.</p> <p><u>Privacy Policy</u></p> <p>Below is a summary of the of the Registrant's Privacy Policy regarding client personal information. A complete version of the Privacy Policy may be obtained by contacting the Compliance Officer of the Registrant.</p>
ADV Part II Item 9(E) (cont.)	

Complete amended pages in full, circle amended items and file with execution page (page 1).

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Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**Registrant:
Blaylock Robert Van LLC

SEC File Number:

801-

Date:

2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

	<p>Blaylock Robert Van LLC:</p> <p>(a) Collects non-public personal information about its clients from the following sources:</p> <ul style="list-style-type: none">• Information received from clients on applications or other forms;• Information about clients' transactions with the Registrant, its affiliates and others;• Consumer reporting agencies. <p>(b) Does not disclose any non-public personal information about its clients or former clients to anyone, except as permitted by law or regulation.</p> <p>(c) Restricts access to non-public personal information about its clients to its employees and employees of others who need to know that information to provide services to clients.</p> <p>(d) Maintains physical, electronic and procedural safeguards that comply with federal standards to guard clients' and investors' personal information.</p>
ADV Part II Item 10	<p>For individually managed accounts the Registrant generally requires a minimum of \$50,000 to open such account. Subject to the discretion of Registrant, the minimum investment of \$50,000 may be waived on a case-by-case basis</p>
ADV Part II Item 12	<p>Registrant has the authority over the selection and amount of securities to be bought or sold without obtaining specific client consent. However, The Registrant does not vote proxies on behalf of its clients.</p> <p>The Registrant may aggregate orders for a particular security from several client accounts and execute a block or bunched trade. Aggregation, or "bunched" orders, shall be transacted under the following circumstances:</p>
ADV Part II Item 12 (cont.)	<ul style="list-style-type: none">• The Registrant shall ensure that its authority for each account included in the aggregated order allows for aggregation.• The Registrant shall ensure that adequate and full disclosure of its allocation and bunching practices has been made prior to the transaction.• All clients/investors, accounts or funds participating in the aggregated order shall receive an average share price with all other transaction costs shared on a pro-rata basis.• Aggregate transactions must not be executed unless the intended and resultant• Aggregation is consistent with its duty to seek best execution and any terms found in the Registrant's written agreements.

Complete amended pages in full, circle amended items and file with execution page (page 1).

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Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**Registrant:
Blaylock Robert Van LLC

SEC File Number:

801-

Date:

2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

ADV Part II Item 12 (cont.)	<ul style="list-style-type: none">• Aggregated orders filled in their entirety shall be allocated among clients/investors, accounts or funds in accordance with an allocation statement created prior to the execution of the transaction(s); partially filled orders shall be allocated pro-rata based on the allocation statement. Client/Investor funds held collectively for the purpose of completing the transaction will not be held in this commingled manner for any longer than is practical to settle the transaction.• Each client/investor, account or fund that participates in an aggregated order will participate at the average share price for all the Registrant's transactions in that security on a given business day, with transaction costs shared pro-rata based on each client/investor's, account's or fund's participation in the transaction.• Investments resulting from any aggregated order shall be consistent with the specific investment objective(s) of each client/investor, account or fund as detailed in any written agreements.• No additional compensation shall result from the proposed allocation.• No client/investor, account or fund will be favored over any other client/investor, account or fund as a result of the allocation.• Pre-allocation statement(s) specifying the participating client/investor accounts and the proposed method to allocate the order among the clients/investors, accounts or funds are required prior to any allocated order. Should the actual allocation differ from the allocation statement, such trade may only be settled with the approval of the CCO or another appropriately qualified and authorized principal of the Registrant. <p>Registrant also has the authority over the selection of the broker to be used and the commission rates to be paid without obtaining specific client consent. In the event that a client directs the Registrant to use a particular broker or dealer, the Registrant may not be authorized under those circumstances to negotiate commissions and may not be able to obtain volume discounts or best execution. In addition, under these circumstances a disparity in commission charges may exist between the commissions charged to clients who direct the Registrant to use a particular broker or dealer and other clients who do not direct Registrant to</p> <p>use a particular broker or dealer.</p> <p>In selecting brokers or dealers to execute transactions, Registrant will seek to achieve the best execution possible but this does not require it to solicit competitive bids and does not have an obligation to seek the lowest available commission cost. Registrant is not required to negotiate "execution only" commission rates, however at this time the Registrant does not</p>
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Schedule F of**Form ADV****Continuation Sheet for Form ADV Part II**Registrant:
Blaylock Robert Van LLC

SEC File Number:

801-

Date:

2/2/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Registrant exactly as stated in Item 1A of Part I of Form ADV: Blaylock Robert Van LLC		IRS Empl. Ident. No.: 20-8718270
Item of Form (identify)	Answer	

	employ commissions to pay for research and related services (i.e., "soft dollars"). In negotiating commission rates, Registrant will take into account the financial stability and reputation of brokerage firms. Registrant has no obligation to deal with any broker or group of brokers in executing transactions in portfolio securities. Registrant will regularly review the commission rates paid by its advisory clients to determine that they are competitive with commissions paid by clients of investment Registrants that provide services similar to Registrant.
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Complete amended pages in full, circle amended items and file with execution page (page 1).

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