

**Part 2B of Form ADV: *Brochure Supplement***

**ROBERT LEWIS LEE**

Retirement Money Management  
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This brochure supplement provides information about ROBERT LEWIS LEE that supplements the Retirement Money Management Firm Brochure. You should have received a copy of that brochure. Please contact Robert Lee if you did not receive Retirement Money Management's Firm Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about ROBERT LEWIS LEE is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Item 2 Educational Background and Business Experience

**Full Legal Name:** ROBERT LEWIS LEE

**Born:** 1962

### Education

- St. Petersburg College; AA, Business; 1984
- Wharton School of Business; CIMA\*; 1991
- Florida Institute of Technology; CFP\*; 1998
- American College; ChFC\*; 2005

**\*Robert Lee's CIMA, CFP and ChFC designations are no longer current with the granting authorities.**

### **Certified Investment Management Analyst (CIMA)**

This designation focuses on asset allocation, ethics, due diligence, risk measurement, investment policy and performance measurement. Only individuals who are investment consultants with at least three years of professional experience are eligible to try to obtain this certification, which signifies a high level of consulting expertise. The Investment Management Consultants Association offers the CIMA courses. Individuals who hold CIMA designations are required to prove their expertise through continual recertification, which requires CIMA designees to complete at least 40 hours of continuing education every two years.

### **CERTIFIED FINANCIAL PLANNER™ (CFP®)**

The CERTIFIED FINANCIAL PLANNER™ (CFP®) program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### **Chartered Financial Consultant® (ChFC®)**

The ChFC program is administered by the American College, Bryn Mawr, Pennsylvania. This designation has the same core curriculum as the CFP® designation, plus two or three additional elective courses that focus on various areas of personal financial planning. In addition to successful completion of an exam on areas of financial planning, including income tax, insurance, investment and estate planning, candidates are required to have a minimum of three (3) years experience in a financial industry position.

## **Business Experience**

- LEE FINANCIAL & RETIREMENT SERVICES; VICE PRESIDENT; from 1/1985 to 7/2007
- RETIREMENT MONEY MANAGEMENT; President and CEO from 1/2007 to 12/2008
- REGISTERED INVESTMENT ADVISOR; from 1/1985 to 12/2008
- RAYMOND JAMES FINANCIAL SERVICES, INC.; REGISTERED REPRESENTATIVE; from 6/1983 to 5/2007
- LEE & LEE PARTNERSHIP; PARTNER; from 1/1985 to 7/2007
- LEE FINANCIAL OF SARASOTA, INC.; PRESIDENT; from 7/2007 to 7/2013
- REGISTERED INVESTMENT ADVISOR; ADVISOR; from 6/2005 to Present
- RETIREMENT MONEY MANAGEMENT OF SARASOTA; PRESIDENT; from 12/2008 to Present
- GIRARD SECURITIES, INC.; REGISTERED REPRESENTATIVE; from 5/2007 to 11/2017
- CETERA ADVISOR NETWORKS LLC; REGISTERED REPRESENTATIVE; from 10/2017 to Present
- SUCCESSFUL MONEY MANAGEMENT OF SARASOTA; PRESIDENT; from 7/2007 to Present

## **Item 3 Disciplinary Information**

ROBERT LEWIS LEE has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

ROBERT LEWIS LEE is also engaged in the following investment-related activities:

## **Registered representative of a broker-dealer**

Robert Lee is a registered representative with Cetera Advisor Networks LLC ("Cetera"), a registered broker-dealer and member of FINRA. In such capacity, Mr. Lee sells securities through Cetera and receives normal and customary commissions and other types of compensation, for example, mutual fund 12b-1 fees or variable annuity trails. The potential for receipt of commissions and other compensation when Mr. Lee acts as a registered representative creates an incentive to recommend investment products based on the compensation received, rather than the client's needs. However, Mr. Lee may only recommend securities and insurance products that he believes are suitable for clients.

## **B. Non Investment-Related Activities**

ROBERT LEWIS LEE is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

## **Item 5 Additional Compensation**

ROBERT LEWIS LEE does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** Jay LaBarre  
**Title:** Financial Planner/Principal  
**Phone Number:** 941-955-2099

Jay LaBarre is responsible for the supervision and monitoring of investment advice offered to advisory clients by Robert Lee. Jay LaBarre will review all employee personal securities transactions on a quarterly basis, oversee all material investment policy changes, and conduct periodic testing to ensure that client objectives and mandates are being met.

## **Item 7 Requirements for State-Registered Advisers**

ROBERT LEWIS LEE has never been the subject of a bankruptcy petition.

In 2004, Mr. Lee was involved in customer complaint that went to arbitration (NASD #04-04419) and was settled. The claims against Mr. Lee were dismissed as part of the settlement.