



Item 1 - Cover Page

FORM ADV PART 2B\*

## Brochure Supplement:

Professional Background of  
**Brian T. Corley**

**July 2012**

126 Clock Tower Place, No. 101  
Carmel, California 93923

[www.asterafg.com](http://www.asterafg.com)

Tel: 831.625.5800

Fax: 831.621.0605

\*This brochure supplement provides information about the qualifications of Brian T. Corley, the Firm's Managing Member. This is a supplement to the Aster Part 2A brochure which you should have received previously. Please contact the Firm's Chief Compliance Officer, Daniel Grover, if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about Aster is available on the internet at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 - Educational Background and Business Experience**

### **BRIAN T. CORLEY**

#### Born

1971

#### Education

California State University, B.A., Economics (1994)

Golden Gate University, M.S., Finance, Certificate in Financial Planning (2004)

#### Business Background

2008 - Present	Astera Financial Group, LLC, Principal and Managing Member
2012 - Present	First Republic Investment Management, Inc., Managing Director
2012 - Present	First Republic Securities Company, LLC, Registered Representative
2011 - Present	M Holdings Securities, Inc.; Registered Representative
2010 - 2011	Emerson Equity, LLC; Registered Representative
2002 - 2008	Wells Fargo Private Client Services, Private Client Advisor
2002 - 2002	Pacific Coast Securities, LLC; Registered Representative

#### Professional and Community Activities

Mr. Corley is a member of the Financial Planning Association (FPA) and Investment Management Consultants Association and serves on the Investment Policy Committee for the Diocese of Monterey. Brian has lived on the Central Coast with his wife, Zephra, and four children since 1996.

## **Item 3 - Disciplinary Information**

Mr. Corley has no legal or disciplinary events or disclosures.

## **Item 4 - Other Business Activities**

Mr. Corley is an investment advisor representative of First Republic Investment Management Inc., a third party investment advisor registered with the U.S. Securities and Exchange Commission ("SEC"). First Republic Investment Management Inc. is a wholly-owned subsidiary of First Republic Bank, a California bank. First Republic Bank has an affiliated broker-dealer called First Republic Securities Company, LLC, which is a broker-dealer registered by the SEC and member of the Financial Industry Regulatory Authority ("FINRA") and the Securities Investors Protection Corporation ("SIPC"). Mr. Corley also is a registered representative for

First Republic Securities Company, LLC. (These affiliated First Republic Bank entities are collectively referred to as “First Republic”.)

First Republic is otherwise independent from and unaffiliated with Astera. Mr. Corley only acts in his advisor representative and registered representative capacities in connection with his investment advisory duties for his First Republic separately managed accounts and not in connection with Astera’s investment management services to Astera’s client Partnership.

In connection with his investment advisory services to separately managed accounts at First Republic, Mr. Corley also is a registered representative of M Holdings Securities, Inc. (“M Securities”), a broker-dealer registered by the SEC and members of FINRA and SIPC. Again, Mr. Corley only acts in his registered representative capacity in connection with his investment advisory duties for First Republic and not in connection with Astera’s investment management services to the Partnership.

First Republic and M Securities are independent from and unaffiliated with Astera. Neither controls nor otherwise supervises the investment advisory activities of Astera in connection with Astera’s management of the Partnership. Equally, Astera does not control or otherwise supervise First Republic’s or M Securities’ brokerage activities on behalf of the separately managed accounts at First Republic, previously managed by Astera or otherwise.

#### **Item 5 - Additional Compensation**

Other than in connection with his employment by First Republic as described above, and as disclosed in Item 12 of the Firm’s Form ADV Part 2A related to the Firm’s receipt of unsolicited discounts on software or brokerage services from the Partnership’s custodian Schwab, Mr. Corley does not receive any economic benefit from any non-client for the provision of investment advisory services.

#### **Item 6 - Supervision**

Mr. Corley’s trading and portfolio management activities are supervised by Mr. Daniel J. Grover, the Firm’s Chief Compliance Officer and Mr. Ross Gaudoin, the Firm’s Chief Financial Officer. Supervision is ongoing and includes account reviews, trade supervision, annual compliance reviews including the forensic testing of Firm systems, staff meetings and employee reviews.

#### **Item 7 - Requirements for State-Registered Advisors**

Mr. Corley has not been the subject of a bankruptcy filing. Nor has he ever been liable for any claim alleging damages in excess of \$2,500, or found liable in any proceeding involving claims of investment-related business activity, fraud, false statements or omissions, theft,

embezzlement, other wrongful taking of property, bribery, forgery, counterfeiting, extortion, or dishonest, unfair or unethical practices.