

*This brochure supplement provides information about Phillip Ernest Cook that supplements the Mogul Wealth Management, Inc. brochure. You should have received a copy of that brochure. Please contact Phillip Ernest Cook, President if you did not receive Mogul Wealth Management, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Phillip Ernest Cook is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Mogul Wealth Management, Inc.**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Phillip Ernest Cook**  
Investment Adviser Representative

Mogul Wealth Management, Inc.  
818 Manhattan Beach Boulevard, Suite 200  
Manhattan Beach, California 90266  
(310) 545-6700  
[www.cookandassoc.com](http://www.cookandassoc.com)  
[phil@cookandassoc.com](mailto:phil@cookandassoc.com)

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## Item 2: Educational Background and Business Experience

**Name:** Phillip Ernest Cook

**Born:** 1947

### Education Background and Professional Designations:

#### Education:

BA, Theatre Arts, California State University, 1971

#### Designations:

##### CFP® - Certified Financial Planner

##### CFP® MINIMUM QUALIFICATIONS:

- Bachelor's degree or its equivalent, in any discipline, from an accredited university
- Minimum 15-hour curriculum necessary to prepare for the CFP exam (you may challenge the educational requirements if you are a licensed attorney or are hold any of the following certifications or degrees: Certified Public Accountant, Chartered Financial Analyst, Chartered Financial Consultant, Chartered Life Underwriter, Doctor of Business Administration or PhD in business or economics).
- Apply for and achieve a passing score on the Certified Financial Planner exam.
- Possess at least 3 years of work experience in the financial planning industry - teaching, assisting, supervising or delivering financial planning services to a client base for a minimum of 3 years prior to certification.
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

#### Business Background:

2007 - Present	President Mogul Wealth Management, Inc.
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2007- Present	Registered Representative Girard Securities, Inc.
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1975 - Present	Owner Cook and Associates
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2005 - 2007	Registered Representative/IAR Cambridge Investment Research
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### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Phillip Ernest Cook is a registered representative and a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. MWM always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of MWM in their capacity as a registered representative or insurance agent.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, and the commissions he receives in his role as a registered representative and insurance agent, Phillip Ernest Cook does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Mogul Wealth Management, Inc..

### **Item 6: Supervision**

As the only owner and representative of Mogul Wealth Management, Inc., Phillip Ernest Cook supervises all duties and activities. Phillip Ernest Cook's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Phillip Ernest Cook has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Phillip Ernest Cook has **NOT** been the subject of a bankruptcy petition at any time.