

**Virginia Financial Innovation Corporation**

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Brochure Supplement

**This brochure supplement provides information about John C. Schweingrouber that supplements the Virginia Financial Innovation Corporation brochure. You should have received a copy of that brochure. Please contact John C. Schweingrouber, Vice President of Investments if you did not receive Virginia Financial Innovation Corporation's brochure or if you have any questions about the contents of this supplement.**

**Additional information about John C. Schweingrouber is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Part 2B of Form ADV: Brochure Supplement**

### **Item 2 Educational Background and Business Experience**

John C. Schweingrouber, born in 1974, currently serves as Vice-President of Investments for Virginia Financial Innovation Corporation since its inception in 2007. Schweingrouber has a business background in the investment industry going back to 1999. Prior to joining Virginia Financial Innovation Corporation, he held various positions with Fidelity Investments in Cincinnati, Ohio going back to June of 2000. At Fidelity Investments he held positions as an Investment Specialist and as Fixed Income Specialist before leaving to join Virginia Financial Innovation Corporation. Prior to that, he operated an Edward Jones Investments office in Radford, Virginia for a short period holding the position of Investment Representative.

Schweingrouber is a graduate of Richlands High School in 1992, and a graduate of the University of Virginia's College at Wise in Wise, Virginia in 1996 where he received his B.S. in Economics.

Schweingrouber also holds a Series 6, Series 7, and Series 66 license to conduct business in the securities industry.

Disclose the *supervised person's* name, age (or year of birth), formal education after high school, and business background (including an identification of the specific positions held) for the preceding five years. If the *supervised person* has no high school education, no formal education after high school, or no business background, disclose this fact. You may list any professional designations held by the *supervised person*, but if you do so, you must provide a sufficient explanation of the minimum qualifications required for each designation to allow *clients* to understand the value of the designation.

### **Item 3 Disciplinary Information**

Neither Virginia Financial Innovation Corporation or John C. Schweingrouber, or any other officer, has any legal, disciplinary, or material events to disclose. In the event that there are events to disclose, it will be provided in the necessary regulatory filings for clients or prospective clients to review. Furthermore, clients and prospective clients can monitor for any material changes by visiting FINRA's BrokerCheck system at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). Details of such disclosures can also be found at the IAPD link [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

#### **Item 4 Other Business Activities**

John C. Schweingrouber, the supervised individual, is not actively engaged in any business or occupation that is considered to be a conflict of interest nor does he derive any significant income from other business activities. There are no business related activities, investment related or otherwise, that creates a material conflict of interest with clients. He does not derive any income based on commissions or service fees, also known as “trail” fees from the sale of mutual funds.

#### **Item 5 Additional Compensation**

Mr. Schweingrouber does not receive any economic benefit from anyone who is not a client. There are no outside sources that provide economic motivation in the form of any bonus based on assets, sales, client referrals, new accounts opened, or any other measure.

#### **Item 6 Supervision**

Virginia Financial Innovation Corporation employs a program for overall compliance that includes monitoring and evaluating the activities of the supervised individual, John C. Schweingrouber. The compliance program is administered by Dr. David K. Kendall, Chief Compliance Officer of Virginia Financial Innovation Corporation. In the event of concerns about conflicts of interest, Dr. Kendall can be contacted at (276) \_\_\_\_ - \_\_\_\_.

**If you are registered or are registering with one or more *state securities authorities*, you must respond to the following additional Item.**

#### **Item 7 Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if the *supervised person* has been *involved* in one of the events listed below, disclose all material facts regarding the event.

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. If the *supervised person* has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.