

**Brochure Supplement**  
(Part 2B of Form ADV)



**Norm Thomson**

**TMFS-Seattle, LLC**  
**32225 Pacific Highway South**  
**Suite 103**  
**Federal Way, WA 98003**  
**(253) 529-3322**  
**CRD # 2838509**

**[www.MutualFundStore.com](http://www.MutualFundStore.com)**

**This Brochure Supplement provides information about Norm Thomson and supplements the The Mutual Fund Store-Seattle, LLC Brochure (Part 2A of Form ADV). You should have received a copy of that Brochure. Please contact The Mutual Fund Store- Seattle, LLC at the above address, or by telephone, if you did not receive the Brochure or if you have any questions about the contents of this supplement.**

Additional information about Norm Thomson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Don Norris CFS®,  
The Mutual Fund Store-Seattle, LLC  
5031 168<sup>th</sup> St SW  
Suite 110  
Lynnwood, WA 98037  
(425) 918-1414  
CRD # 5029728**

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**This Brochure Supplement provides information about Don Norris and supplements the The Mutual Fund Store-Seattle, LLC Brochure (Part 2A of Form ADV). You should have received a copy of that Brochure. Please contact The Mutual Fund Store-Seattle, LLC at the above address, or by telephone, if you did not receive the Brochure or if you have any questions about the contents of this supplement.**

Additional information about Don Norris is available on the SEC's website at **[www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**.

February 8th, 2013

**This Brochure Supplement includes information on the following items:**

- (1) Educational Background and Business Experience
- (2) Disciplinary Information
- (3) Other Business Activities
- (4) Additional Compensation
- (5) Supervision
- (6) Requirements for State Registered Advisers
- (7) Additional Information

## Item 1: Educational Background and Business Experience

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**Norm S. Thomson**

**Year of Birth:**

1971

**Formal Education after High School:**

- Western Washington University. BA Psychology 1993

**Business Experience:**

- 05/2008-Present: TMFS-Seattle, LLC. Senior Investment Advisor
- 08/2007-05/2008: Paychex, Inc. 401(k) Sales Representative
- 10/2004-08/2007: Symetra Financial. Corporate Retirement Plans Internal Wholesaler
- 09/1998-10/2004: Charles Schwab & Co., Inc. Investment Representative

**Qualifications and Licenses:**

Norm Thomson has successfully completed the Series 65 (Uniform Investment Adviser Law) examination, which permits him to qualify as an investment advisor representative, and register with states where he may provide advisory services and which require registration.

## Item 2: Disciplinary Information

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Norm Thomson does not have any disclosures.

### **Item 3: Other Business Activities**

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Registered investment advisers are required to disclose any outside business activities. Norm Thomson is not involved in any outside business activity.

### **Item 4: Additional Compensation**

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Registered investment advisers are required to disclose additional compensation. Norm Thomson does not receive any additional compensation or economic benefit for investment advisory services, other than his compensation from The Mutual Fund Store- Seattle, LLC.

### **Item 5: Supervision**

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Norm Thomson is supervised by Jeff Roper, who can be reached at (314) 732-8320. Supervision is conducted through face to face meetings, telephone calls and the review of activity reports.

### **Item 6: Requirements for State-Registered Advisers**

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Describe any award or liability for damages in an arbitration case alleging damages in excess of \$2,500, involving any of the following: an investment related business/activity; fraud or false statements; theft or embezzlement; bribery, forgery, counterfeiting or extortion; or, dishonest, unfair or unethical practices. This item is not applicable for Norman Thomson, because such has never occurred.

Describe any award or finding of liability by any civil, self-regulatory organization or administrative proceeding involving any of the following: an investment or investment-related activity; fraud or false statements; theft or embezzlement;

bribery, forgery, counterfeiting or extortion; or, dishonest, unfair or unethical practices. This item is not applicable for Norman Thomson, because such has never occurred.

## **Item 1: Educational Background and Business Experience**

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**Don Norris**

**Year of Birth:**

1958

**Formal Education after High School:**

- None

**Business Experience:**

- 01/2008-Present: TMFS-Seattle, LLC. Senior Investment Advisor
- 09/2007-11/2007: Waddell & Reed. Broker
- 05/2005-07/2007: Edward Jones. Broker

**Professional Designations:**

- Certified Fund Specialist® (CFS®)  
The CFS® program, offered by the Institute of Business & Finance, is the oldest designation in the mutual fund industry, with over 11,000 financial service professionals having completed the training. This renowned credential incorporates extensive studies, theories, and practical applications.

The CFS® consists of six modules designed to be completed within 15 weeks. There are three non-cumulative exams and one written case study. Designees must meet IBF's ongoing competency requirements of continuing education (30 hours of CE every two years), adhere to IBF's Code of Ethics and Standards of Practice, and demonstrate their commitment to ongoing education and professionalism.

**Qualifications and Licenses:**

Don Norris has successfully completed the Series 65 (Uniform Investment Adviser Law) examination, which permits him to qualify as an investment advisor

representative, and register with states where he may provide advisory services and which require registration.

## **Item 2: Disciplinary Information**

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Don Norris does not have any disclosures.

## **Item 3: Other Business Activities**

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## **Item 4: Additional Compensation**

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Registered investment advisers are required to disclose additional compensation. Don Norris does not receive any additional compensation or economic benefit for investment advisory services, other than his compensation from The Mutual Fund Store-Seattle, LLC.

## **Item 5: Supervision**

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Don Norris is supervised by Norm Thomson, Chief Compliance Officer. Norm Thomson can be reached at the Federal Way office at (253) 529-3322.

## Item 6: Requirements for State-Registered Advisers

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Describe any award or liability for damages in an arbitration case alleging damages in excess of \$2,500, involving any of the following: an investment related business/activity; fraud or false statements; theft or embezzlement; bribery, forgery, counterfeiting or extortion; or, dishonest, unfair or unethical practices. This item is not applicable for Don Norris, because such has never occurred.

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## Item 7: Additional Information:

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This location of The Mutual Fund Store is a franchisee in The Mutual Fund Store system. Questions and inquiries about this Store and The Mutual Fund Store system may be directed to the Customer Service contact telephone number for The Mutual Fund Store system. The telephone number is 1-(866) 801-0222.

The mutual funds and asset allocation categories selected by Don Norris and Norm Thomson and recommended to clients are chosen from the listing of mutual funds and asset class categories recommended by The Mutual Fund Research Center, LLC, an SEC registered investment adviser which provides mutual fund, asset category, and allocation recommendations to all registered investment advisers doing business as The Mutual Fund Store in their local geographic region. A copy of The Mutual Fund Research Center, LLC's Brochure (Form ADV) is available upon request and also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).