

Part 2B of Form ADV: *Brochure Supplement*

Item 1 Cover Page

January 25, 2013

The Caring Advisor, Inc.
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This brochure supplement provides information about Joseph P. Walsh, Joseph P. Walsh III, Michael J. Walsh, Elizabeth Brickman that supplements The Caring Advisor, Inc. brochure. You should have received a copy of that brochure. Please contact service center or Joseph P. Walsh if you did not receive The Caring Advisor Inc's brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph P. Walsh is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational Background and Business Experience

Joseph P. Walsh Jr.

Experience:

- CEO and Founder of Walsh & Associates, Ltd, a Registered Investment Advisor, 1986 to present
- Over 26 years in the financial services industry

Education:

- 1974- B.S. Management - Northern Illinois University
- 1986- MBA/Finance - Northern Illinois University
- 1986- CFP (Certified Financial Planning) College for Financial Planning
- 1990- CFA (Chartered Financial Analyst) Assoc. for Invest Management Research
- 1999- CRPC (Chartered Retirement Planning Counselor) College for Financial Planning
- 2007- Advanced Trust School- Cannon Financial
- 2009- Divorce Planning

Professional Credentials, Licensing and Certification:

- Certified Financial Planner (CFP), College for Financial Planning, Denver, CO
- Chartered Financial Analyst (CFA), CFA Institute, Charlottesville, VA
- Registered Representative, Series 7
- Registered Securities Principal, Series 24
- Registered Investment Advisor Representative, Series 65
- Securities licenses Series 7, 24 and 65 registered in AR, AZ, CA, CO, CT, FL, GA, IA, IL, IN, KS, MA, MD, MI, MN, MO, NC, NE, NH, NJ, NV, NY, OH, OK, PA, TN, TX, VA, VT, WA, WI
- Health, Life and Annuities licenses in CA, FL, GA, IL, MD, NC, TX, VA

Professional Activities:

- Adjunct Teacher – College for Financial Planning,
- Adult Education Teacher, Kishwaukee College, Elgin, Community College, Anne Arundel Community College, College of DuPage, Sarasota SCTI - ACE
- Past President and Board Member - Financial Planning Association, Chicago O'Hare Council
- Past President and Board Member – DeKalb Estate Planning Council
- Former Continuing Education Facilitator for Certified Public Accountants and Attorneys
- Member – Financial Planners Association
- Member – Chartered Financial Analysts Society

Joseph P. Walsh, III*Experience:*

- Wealth Advisor for Walsh & Associates, Ltd, a Registered Investment Advisor, 2002 to present

Education:

- 2002 BS in Finance, University of Florida
- 2005-2007 DePaul University, Certified Financial Planning coursework

Professional Credentials, Licensing and Certification:

- Registered Representative, NASD Series 7
- NASD Series 66 Uniform Combined Registered Investment Advisor

Professional Activities:

- Member – Sarasota Chamber of Commerce
- Member – Lido Key Chamber of Commerce

Michael J. Walsh*Experience:*

- Chief Compliance Officer for Walsh & Associates, Ltd, a Registered Investment Advisor, 2006 to present

Education:

- 2006 BS in Finance, University of Florida, cum laude
- 2006-2007 Kaplan University, Certified Financial Planner coursework

Professional Credentials, Licensing and Certification:

- Registered Representative, NASD Series 7
- NASD Series 66 Uniform Combined Registered Investment Advisor

Professional Activities:

- Member – Sarasota Chamber of Commerce
- Member – Lido Key Chamber of Commerce

Elizabeth Brickman*Experience:*

- President and Founder of The Caring Advisor Inc. 1992 to present, formerly name Advantage Financial Planning, Inc.
- Over 20 years experience in financial services
- Former tax advisor
- President and Founder of Tax Facts USA, established 1988, sold 1994
- Certified Financial Planner 1993
- Investment Management for 20 years

Broker Dealers Affiliations:

- LPL Financial, 9/2009 to Present
- Mutual Service Corporations, 7/1992 to 9/2009

Education:

- Kinder Institute Life Planning, Boston, MA 2010
- College for Financial Planning, Denver, CA 1994
- Continuing education in variety of programs and courses on financial topics

Item 3 Disciplinary Information

Joseph P. Walsh, Jr., Joseph P. Walsh, III, Michael J. Walsh and Elizabeth Brickman has never been involved in any legal, criminal, Administrative proceedings, Self-regulatory (SRO) proceedings or disciplinary events material to a *client's* or prospective *client's* evaluation. This can be verified by checking FINRA The BrokerCheck at www.finra.org/brokercheck

Item 4 Other Business Activities

Joseph P. Walsh, Jr., Joseph P. Walsh, III, Michael J. Walsh and Elizabeth Brickman are licensed to sell securities through LPL Financial, a securities broker/dealer registered with the Securities and Exchange Commission and member FINRA and SIPC. In this capacity she is involved in the sale of securities of various types, including but not limited to, stocks, bonds, and mutual funds.

Commissions or fees on investment advisory service may be shared with LPL Financial for their supervisory responsibilities.

Additionally, Joseph P. Walsh, Jr. and Elizabeth Brickman are licensed insurance agents involved in the sale of various types of insurance products on a limited basis. Upon request from a client, assistance may be provided in purchasing life insurance, disability insurance or long term care insurance.

Elizabeth Brickman is secretary/treasurer of her husband's State Farm Insurance Agency. Elizabeth devotes no time and has no duties in her husband's business. Her ownership constitutes a marital asset only.

Elizabeth Brickman is the owner and managing member of The Trusted Author, LLC. She authors inspirational and educational books which The Trusted Author, LLC distributes online via e-book and powerpoint webinars.

Item 5 Additional Compensation

Joseph P. Walsh, Jr., receives no additional compensation of any kind and has no arrangements of any kind other than the regular fees stated in ADV and Part 2 of ADV form.

Item 6 Supervision

Michael J. Walsh 941-952-1188, is the person responsible for supervising the advisory activities on behalf of the firm.

Item 7 Requirements for State-Registered Advisers

Joseph P. Walsh, Jr., Joseph P. Walsh, III, Michael J. Walsh and Elizabeth Brickman have never had or been involved in any of the events listed below:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.

Joseph P. Walsh, Jr., Joseph P. Walsh, III, Michael J. Walsh and Elizabeth Brickman have never been the subject of a bankruptcy petition.