

Part 2A of Form ADV: *Firm Brochure*

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This brochure provides information about the qualifications and business practices of International Assets Investment Management, LLC (hereinafter “IAIM” or “firm” or “we”). If you have any questions about the contents of this brochure, please contact us at (407) 254-1516 or at amoore@iaac.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about IAIM is available on the SEC’s website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for IAIM is 144426.

Item 2. Summary of Material Changes

On July 21, 2010, the U. S. Securities and Exchange Commission (the "SEC") unanimously adopted changes to Form ADV, Part II. All fifty states have also adopted the new format, with some additional state-specific disclosures mandated. The new Part 2, also known as the "Brochure" has 18 separate items that our firm must address (19 for state-registered advisers), each of which requires disclosure on a distinct topic, and answers must be presented in the order of the items in the form, using the headings in the form. Our goal is to provide you with easy-to-understand "plain-English disclosure," using an easy-to-read format and definite, concrete, everyday words.

Our current (updated) Form ADV, Part 2 will be available to our existing and prospective clients 24 hours a day through the Investment Adviser Public Disclosure website. Additionally, we will annually and within 120 days of the end of our fiscal year, provide you either: (i) a copy of our Form ADV, Part 2 that includes or is accompanied by a summary of material changes; or (ii) a summary of material changes that includes an offer to provide a copy of the current Form ADV, Part 2. We urge you to carefully review all subsequent summaries of material changes, as they will contain important information about any significant changes to our advisory services, fee structure, business practices, conflicts of interest, and disciplinary history.

The Brochure dated January 2015 has been updated to replace the version from January 2014. The following material changes have occurred since the last update:

Item 5 "Fees and Compensation" the fee schedule has been expanded to include fees maybe negotiable in the sole discretion of the Adviser and generally range between .50 and 3.0% of assets under management. Fee descriptions for financial planning and consulting services and Performance Fees have also been expanded and clarified. Please review Item 6 for details of the changes to the brochure.

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Item 4. Advisory Business

IAIM is a fee-based SEC-registered investment adviser with its principal place of business located in Orlando, Florida. We have been in business since 2007. Our firm's sole direct owner is Pecunia Management, LLC. Our firm's indirect majority owner is Edward Richard Cofrancesco.

Discretionary assets under our firm's management were \$93,714,809 as of December 31, 2014. Non-discretionary assets under our firm's management were \$31,266,362 as of December 31, 2014.

Currently, we offer the following advisory services to clients:

Portfolio Management Services

Individual Asset Selection Program (IAS)

Under this program, our firm provides continuous advice to a client regarding the investment of client funds based on the individual needs of the client. Through personal discussions in which goals and objectives based on a client's particular circumstances are established, we develop a client's personal investment policy statement ("IPS") and create and manage a portfolio based on that policy. During our data-gathering process, we determine the client's individual objectives, time horizons, risk tolerance, and liquidity needs. We may also review and discuss a client's prior investment history, as well as family composition and background.

We will manage advisory accounts on a discretionary or non-discretionary basis, as agreed with each client. Account supervision is guided by the stated objectives of the client (i.e., maximum capital appreciation, growth, income, or growth and income), as well as tax considerations. Clients may impose reasonable restrictions on investing in certain securities, types of securities, or industry sectors. For discretionary accounts, we will implement transactions without seeking prior client consent. For non-discretionary accounts, we will seek prior client consent for every contemplated transaction. Therefore, clients with non-discretionary accounts should understand that any delay in obtaining consent may result in less favorable transaction terms, including higher security price and/or higher commissions and/or limited availability of the securities sought.

Account supervision is guided by the stated objectives of the client (i.e., maximum capital appreciation, growth, income, or growth and income), as well as tax considerations. Clients may impose reasonable restrictions on investing in certain securities, types of securities, or industry sectors.

World Class Money Management Strategy (WCMM)

Our firm also provides portfolio management services to clients using model asset allocation portfolios. Each model portfolio is designed to meet a particular investment goal. We offer models that range from conservative to aggressive.

Through personal discussions with the client in which the client's goals and objectives are established, we will determine if and which model portfolio is suitable to the client's circumstances. Once the suitability of the portfolio has been determined, the portfolio will be managed based on the portfolio's goal, rather than on each client's individual needs. Clients, nevertheless, will have the opportunity to place reasonable restrictions on the types of investments to be held in the client's account and account supervision will be guided by the stated objectives of the client (i.e., maximum capital appreciation, growth, income, or growth and income), as well as tax considerations. Clients will retain individual ownership of all securities.

In order to ensure that our initial determination of an appropriate portfolio continues to be suitable and that the client's account continues to be managed in a manner suitable to the client's financial circumstances, we will maintain client suitability information in the client's file. On a quarterly basis, we will notify clients in writing to request updated information regarding the client's financial situation and investment objectives and whether the client wishes to impose or modify existing investment restrictions. In addition, we will contact clients at least annually to determine whether there have been any changes in the client's financial situation and whether the client wishes to impose investment restrictions or modify existing restrictions.

Financial Planning Services

Financial planning is a comprehensive evaluation of a client's current and future financial state by using currently known variables to predict future cash flows, asset values and withdrawal plans. The key defining aspect of financial planning is that through the financial planning process, all questions, information and analysis will be considered as they impact and are impacted by the entire financial and life situation of the client. Clients purchasing this service will receive a written report, providing the client with a detailed financial plan designed to achieve his or her stated financial goals and objectives.

In general, the financial plan will address any or all of the following areas of concern:

- Personal: Family records, budgeting, personal liability, estate information and financial goals;
- Tax & Cash Flow: Income tax and spending analysis and planning for past, current and future years. We will illustrate the impact of various investments on a client's current income tax and future tax liability;
- Death & Disability: Cash needs at death, income needs of surviving dependents, estate planning and disability income analysis;
- Retirement: Analysis of current strategies and investment plans to help the client achieve his or her retirement goals;
- Investments: Analysis of investment alternatives and their effect on a client's portfolio;

- Estate: Analysis of financial issues with respect to living trusts, wills, estate tax, powers of attorney, asset protection plans, nursing homes, Medicaid and elder law; and
- Insurance: Review of existing policies to ensure proper coverage for life, health, disability, long-term care, liability, home and automobile.

We gather required information through in-depth personal interviews. Information gathered includes a client's current financial status, tax status, future goals, returns objectives and attitudes towards risk. We carefully review documents supplied by the client, including a questionnaire completed by the client, and prepare a written report. Should a client choose to implement the recommendations contained in the plan, we suggest the client work closely with his/her attorney, accountant, insurance agent, and/or stockbroker. Implementation of financial plan recommendations is entirely at the client's discretion.

Typically the financial plan will be presented to the client within ninety days of the contract date, provided that all information needed to prepare the financial plan has been promptly provided by the client.

Our investment and financial planning recommendations are not limited to any specific product or service offered by any broker dealer or insurance company, including our affiliates, and will generally involve the following instruments:

- Domestic and international equity securities
- Domestic and international fixed income securities
- No-load, load-waived and load-bearing mutual funds
- Variable annuities
- Exchange Traded Funds (ETFs)

Occasionally, we may also recommend these instruments:

- Commercial paper
- Warrants
- Certificates of deposit
- Municipal securities
- United States government securities
- Options
- Structured Products
- Closed End Funds
- Interests in partnerships investing in real estate and oil and gas interests and other alternative investments

Manager Selection Program

We may also, when appropriate, sub-advise certain portions of a client portfolio to independent third-party managers or recommend direct investment with independent third-party managers, typically when those managers demonstrate knowledge and expertise in a particular investment strategy.

As part of this service, we perform management searches of various unaffiliated registered investment advisers. Based on a client's individual circumstances and needs (as exhibited in

the client's IPS) we will determine which selected registered investment adviser's portfolio management style is appropriate for that client. Factors considered in making this determination include account size, risk tolerance, the opinion of each client and the investment philosophy of the selected registered investment adviser. We encourage clients to review each third-party manager's disclosure document regarding the particular characteristics of any program and managers selected by us.

Once we determine which selected registered investment adviser(s) are most appropriate for the client, we will provide the selected registered investment adviser(s) with the client's IPS. The selected registered investment adviser(s) will then create and manage the client's portfolio based upon the client's individual needs as exhibited in the client's IPS.

We will regularly and continuously monitor the performance of the selected registered investment adviser(s). If we determine that a particular selected registered investment adviser(s) are not providing sufficient management services to the client, or are not managing the client's portfolio in a manner consistent with the client's IPS, we will remove the client's assets from that selected registered investment adviser(s) and place the client's assets with another registered investment adviser(s) at our discretion and without prior consent from the client.

Our firm will conduct appropriate due diligence on all independent third-party managers, making reasonable inquiries into their performance calculations, policies and procedures, Code of Ethics, and other operational and compliance matters deemed important to account performance and risk management.

We may also recommend to clients participation in a "wrap fee" programs administered by a third-party manager. Our firm has therefore sought out and entered into an agreement with National Financial Services, LLC, an entity unaffiliated with our firm, to act as a sponsor of the Envestnet "wrap fee" program. Clients should be aware that a part of the "wrap fee" they pay to a third-party manager is, in turn, paid to our firm for co-advisory services we provide. Please refer to additional important disclosures regarding "wrap fee" programs in Item 5 of this brochure.

Services in General

We tailor all of our portfolio management and financial planning recommendations to the individual needs of each client. All such recommendations are tailored based on information gathered through client questionnaires, telephone and in-person discussions.

Item 5. Fees and Compensation

Portfolio Management Services

Our fees for the IAS and WCOMM programs are based upon a percentage of assets under management. Fees generally range between .50% and 3.0% of assets under management, but such fees are negotiable in the sole discretion of the Adviser.

The annual fee may vary depending upon the market value of the assets under management and the type of investment management services to be rendered. IAIM and the client may agree upon a fee to be calculated at a specified percentage of assets under management without regard to increases in asset levels.

The Adviser, in its sole discretion, may negotiate to charge a lesser management fee based upon certain criteria (i.e., anticipated future earning capacity, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, preexisting client, account retention, *pro bono* activities, etc.). If a client has more than one portfolio under the professional services of the Adviser, the Adviser may elect at its sole discretion to aggregate client portfolios for the purpose of computing management fees.

Performance- Based Fees

In some cases, IAIM has entered into performance fee arrangements with qualified clients: such fees are subject to individualized negotiation with each such client. IAIM will structure any performance or incentive fee arrangement subject to Section 205(a)(1) of the Investment Advisors Act of 1940 (The Advisors Act) in accordance with the available exemptions there under, including the exemption set forth in Rule 205-3. In measuring clients' assets for the calculation of performance-based fees, IAIM shall include realized and unrealized capital gains and losses.

For qualified clients, we may also charge an incentive allocation of up to 20%. The performance-based fee is calculated based on the total return of the account during a 12-month period or return above the S&P 500 index benchmark during a 12-month period.

We directly debit or invoice our portfolio management fees, as agreed with each client, monthly, in advance, based upon the market value of the account at the beginning of each calendar month.

Financial Planning

We charge Financial Planning clients either an hourly fees of \$250/hour, a one time Flat Fee, or an Annual Flat Fee for financial planning and consulting services. The Adviser's financial planning and consulting fees are negotiable, but generally range from \$1,000 to \$10,000 on a fixed fee basis or from \$200 to \$500 on an hourly rate basis. The fee is based on upon the level and scope of the services provided and the professional rendering the financial planning. If a client engages IAIM for additional investment advisory services, the Adviser may, in its discretion, offset all or a portion of its financial planning fees for those services based upon the amount paid for the financial planning and or consulting services.

Prior to engaging IAIM to provide financial planning services, the client will generally be required to enter into a written agreement with the Adviser setting forth the terms and conditions of the engagement and describing the scope of the services to be provided and the portion of the fee that is due from the client prior to the Adviser commencing service. Generally 50% of the fee may be due upon signing the financial planning agreement, with the balance due upon presentation of the plan or other work product to the client.

Either party may terminate the agreement by written notice to the other as provided in the agreement.

In addition to financial planning fees charged to the client, the Adviser may earn advisory fees should a client choose to implement all or a portion of the plan through IAIM.

Similarly, IAIM representatives may earn commissions and/or other fees should a client choose to implement all or a portion of the plan through (International Assets Advisory, LLC an affiliated broker-dealer).

Manager Selection Program

In each third-party adviser program, the program sponsor deducts the advisory fee from the client's account, and then will forward a portion of the fee to our firm. We urge our clients to refer to selected third-party adviser's and/or program sponsor's disclosure documents for exact fees and expenses charged by each such third-party program, as well as minimum account requirements, refund and termination provisions. All refunds of fees paid under these third-party advisory programs must be obtained directly from the adviser and/or sponsor, not from our firm. A complete description of each program can be found in disclosure materials prepared by the program sponsors, which we will provide to the client at the time we recommend the program.

Fees we receive from each program sponsor will vary depending on the program, the instruments invested in within a program, the amount of assets invested and specific fee negotiated between our firm and each program sponsor. Generally, the total program fee will range from 0.75% to 3.00% of client's assets under management with the third-party adviser(s). Typically, our firm's share of the total fee charged to the client by the third-party adviser will range from 0.50% to 2.00% of client's assets under management with the third-party adviser(s).

Clients participating in a third-party advisory program that invests in mutual funds or securities offered by other registered investment companies should be aware that the investment companies pay investment advisory or management fees to investment advisers and others, and pay marketing or service fees (including without limitation so-called "12b-1 fees") to broker-dealers and others (including, in some cases, the third-party adviser or its affiliates) who provide services to or for the fund or its shareholders. These fees constitute indirect expenses ultimately borne by the client, and are in addition to the investment advisory fees paid by the client to the third-party adviser.

Information Regarding "Wrap Fee" Programs

As mentioned in Item 4 of this Brochure, some of the third-party advisory programs we recommend are considered "wrap programs," in which the fee paid to the program sponsor includes the program sponsor's investment management fee, our advisory fee, the advisory fees of independent managers selected within the programs, the execution of the client's portfolio transactions without commission charge, and/or custodial services for the client's assets. The disclosure brochure for each program will disclose if it is a "wrap fee" program.

In evaluating "wrap fee" programs, a client should recognize that transactions are usually effected "net," i.e., without commission. A portion of the wrap fee is generally considered as being in lieu of commissions. Trades are generally expected to be executed only with the broker-dealer with which the client has entered into the wrap fee arrangement, so that the investment managers in the program may not be free to seek best price and execution by placing transactions with other broker dealers. No assurance can be given that the broker-dealers will be able to obtain best execution with respect to transactions effected for such programs.

Accordingly, the client may wish to satisfy him/herself that the broker-dealer offering the “wrap fee” arrangement can provide adequate price and execution of most or all transactions. The client should also consider that, depending upon the level of the wrap fee charged by the broker-dealer, the amount of portfolio activity in the client's account, the value of custodial and other services which are provided under the arrangement, and other factors, the wrap fee may or may not exceed the aggregate cost of such services if they were to be provided separately.

Fees in General

Fees and account minimums for all services are negotiable based upon certain criteria (i.e. anticipated future earning capacity, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, negotiations with client, etc.). Discounts, not generally available to our advisory clients, may be offered to family members.

We may group certain related client accounts for the purposes of determining the account size and/or annualized fee.

Certain legacy client agreements may be governed by fee schedules different from those listed above.

Under no circumstances will we earn fees in excess of \$1,200 more than six months in advance of services rendered.

We may, at our sole discretion, reduce our advisory fees by the amount of commissions, mark-ups, and/or 12b-1 fees received by our employees in their separate capacities as registered representatives.

Account Termination

Clients will have a period of five (5) business days from the date of signing the agreement to unconditionally rescind the agreement and receive a full refund of all fees. Thereafter, the client may terminate the agreement by providing us a 30-day written notice at our principal place of business. Upon termination of any account, any prepaid, unearned fees will be promptly refunded, and any earned, unpaid fees will be due and payable. However, if a portfolio management account is terminated prior to the end of a billing month; no rebate will be issued for unused service in the effective termination month.

Mutual Fund and ETF Fees and Expenses

All fees paid to our firm for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds and ETFs to their shareholders. These fees and expenses are described in each fund's prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. A client could invest in a mutual fund or and ETF directly, without the services of our firm. In that case, the client would not receive the services provided by us which are designed, among other things, to assist the client in determining which mutual fund or funds or ETFs are most appropriate to each client's financial condition and objectives. Accordingly, the client should review both the fees charged by the funds and ETFs and

the fees charged by us to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.

Certain mutual funds charge “front-end loads” or “back-end loads” which are paid to investment intermediaries as sales commissions. As such, these sales charges are not part of a mutual fund's operating expenses and are deducted from the investment amount, thus lowering the size of the investment. Certain mutual funds also charge annual marketing or distribution fees. These 12b-1 fees are considered an operational expense and, as such, are included in a fund's expense ratio.

Clients should note that because we have a related broker dealer, 12b-1 fees and “loads” paid to this related entity as a result of its brokerage services would inure to the benefit of the officers and owners of our advisory firm. Please see detailed disclosure regarding the resulting conflicts of interests in Items 10 and 12 of this Brochure. We will generally limit our recommendations to “no-load” or “load-waived” mutual funds.

Brokerage and Custodial Fees

In addition to advisory fees paid to our firm, clients will also be responsible for all transaction, brokerage, and custodial fees incurred as part of their account management, unless they have selected to participate in a “wrap fee” program offered by a third-party sponsor(s). Please see Item 12 of this Brochure for important disclosures regarding our brokerage practices.

Additional Compensation Received by Us

Our principals and employees are registered securities representatives with International Assets Advisory LLC (hereinafter, “Related Broker”), a FINRA-registered broker-dealer, related to our firm by virtue of common ownership and control. In these capacities, these individuals may recommend securities, insurance, or other products, and receive normal securities and/or insurance transactions commissions, 12b-1 fees, markups, and load sales charges if products are purchased through the Related Broker. Thus, a conflict of interest exists between the interests of our firm and these individuals and those of the advisory clients, creating an incentive for them to recommend investment and/or insurance products based on the compensation received, rather than on a client's needs. However, clients are under no obligation to act upon any recommendations of these individuals or to effect any transactions through them if they decide to follow the recommendations. These individuals do not limit their investment or financial planning recommendations to products or services offered by Related Broker and ensure that all recommendations are appropriate for a client's specific needs. Clients have the option to purchase investment and insurance products recommended through other brokers and insurance companies not affiliated with our firm. Please refer to Item 10 of this Brochure for a more detailed explanation of how our firm handles and mitigates these conflicts of interest.

Item 6. Performance-Based Fees and Side-By-Side Management

As we disclosed in Item 5 of this Brochure, our firm accepts performance-based fees from certain qualified clients. Such a performance-based fee is calculated based on a share of capital gains on or capital appreciation of client account assets. To qualify for a performance-based fee arrangement, a client must either demonstrate a net worth of at least

\$1,500,000 or must have at least \$750,000 under management immediately after entering into a management agreement with us.

Clients should be aware that a performance-based fee arrangement may create an incentive for us to recommend investments which may be riskier or more speculative than those which would be recommended under a different fee arrangement. Furthermore, since we also have clients who do not pay performance-based fees, we have an incentive to favor accounts that do pay such fees because compensation we receive from these clients is more directly tied to the performance of their accounts. Since we endeavor at all times to put the interest of our clients first as part of our fiduciary duty as a registered investment adviser, we take the following steps to address these conflicts:

1. We disclose to clients the existence of all material conflicts of interest, including the potential for our firm and its employees to earn more compensation from advisory clients who pay performance-based fees;
2. We collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
3. Our management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
4. We have implemented policies and procedures for fair and consistent allocation of investment opportunities among all client accounts;
5. We periodically compare holdings and performance of all accounts with similar strategies to identify significant performance disparities indicative of possible favorable treatment;
6. We periodically review trading frequency and portfolio turnover rates to identify possible patterns of "window dressing," "portfolio churning," or any intent to manipulate trading to boost performance near the reporting period; and
7. We educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients and equitable treatment of all clients, regardless of the fee arrangement.

We will only charge performance-based fees in accordance with the provisions of Rule 205-3 of the Investment Advisers Act of 1940 and/or applicable state regulations. These fees will not be offered to any client residing in a state in which such fees are prohibited.

The client must understand the performance-based fee method of compensation and its risks prior to entering into a management contract with us.

Item 7. Types of Clients

Our firm generally provides advisory services to individuals, trusts, estates, charitable organizations, corporations, and other business entities.

The minimum dollar value of assets for starting or maintaining a portfolio management account is \$50,000.

Item 8. Methods of Analysis, Investment Strategies and Risk of Loss

Our firm employs the following types of analysis to formulate client recommendations:

Fundamental Analysis: Fundamental analysis of a business involves analyzing its income statement, financial statements and health, its management and competitive advantages, and its competitors and markets. Fundamental analysis school of thought maintains that markets may mis-price a security in the short run but that the "correct" price will eventually be reached. Profits can be made by trading the mis-priced security and then waiting for the market to recognize its "mistake" and re-price the security. However, fundamental analysis does not attempt to anticipate market movements. This presents a potential risk, as the price of a security can move up or down along with the overall market regardless of the economic and financial factors considered in evaluating the stock. Therefore, unforeseen market conditions and/or company developments may result in significant price fluctuations that can lead to investor losses.

Mutual fund and/or ETF analysis: We look at the experience and track record of the manager of the mutual fund or ETF in an attempt to determine if that manager has demonstrated an ability to invest over a period of time and in different economic conditions. We also look at the underlying assets in a mutual fund or ETF in an attempt to determine if there is significant overlap in the underlying investments held in other funds in the client's portfolio. We also monitor the funds or ETFs in an attempt to determine if they are continuing to follow their stated investment strategy.

A risk of mutual fund and/or ETF analysis is that, as in all securities investments, past performance does not guarantee future results. A manager who has been successful may not be able to replicate that success in the future. In addition, as we do not control the underlying investments in a fund or ETF, managers of different funds held by the client may purchase the same security, increasing the risk to the client if that security were to fall in value. There is also a risk that a manager may deviate from the stated investment mandate or strategy of the fund or ETF, which could make the fund or ETF less suitable of the client's portfolio.

Technical analysis. We analyze past market movements and apply that analysis to the present in an attempt to recognize recurring patterns of investor behavior and to potentially predict future price movement.

Cyclical analysis: In this type of technical analysis, we measure the movements of a particular stock against the overall market in an attempt to predict the price movement of the security.

Charting: In this type of technical analysis, we review charts of market and security activity in an attempt to identify when the market is moving up or down and to predict when how long the trend may last and when that trend might reverse.

Technical analysis does not consider the underlying financial condition of a company. This presents a risk in that a poorly-managed or financially unsound company may underperform regardless of market movement.

Asset Allocation: Rather than focusing primarily on securities selection, we attempt to identify an appropriate ratio of securities, fixed income, and cash suitable to the client's investment goals and risk tolerance.

A risk of asset allocation is that the client may not participate in sharp increases in a particular security, industry or market sector. Another risk is that the ratio of securities, fixed income, and cash will change over time due to stock and market movements and, if not corrected, will no longer be appropriate for the client's goals.

Third-Party Manager Analysis: We examine the experience, expertise, investment philosophies, and past performance of independent third-party investment managers in an attempt to determine if that manager has demonstrated an ability to invest over a period of time and in different economic conditions. We monitor the manager's underlying holdings, strategies, concentrations and leverage as part of our overall periodic risk assessment. Additionally, as part of our due-diligence process, we survey the manager's compliance and business enterprise risks.

A risk of investing with a third-party manager who has been successful in the past is that he/she may not be able to replicate that success in the future. In addition, as we do not control the underlying investments in a third-party manager's portfolio, there is also a risk that a manager may deviate from the stated investment mandate or strategy of the portfolio, making it a less suitable investment for our clients. Moreover, as we do not control the manager's daily business and compliance operations, it is possible for us to miss the absence of internal controls necessary to prevent business, regulatory or reputational deficiencies.

Risks for all forms of analysis: Our securities analysis method relies on the assumption that the companies whose securities we purchase and sell, the rating agencies that review these securities, and other publicly-available sources of information about these securities, are providing accurate and unbiased data. While we are alert to indications that data may be incorrect, there is always a risk that our analysis may be compromised by inaccurate or misleading information.

Our firm employs the following investment strategies to implement investment advice given to clients:

Long-term purchases: We mostly purchase securities with the idea of holding them in the clients account for a year or longer. We may do this because we believe the securities to be currently undervalued. We may do this because we want exposure to a particular asset class over time, regardless of the current projection for this class.

A risk in a long-term purchase strategy is that, by holding the security for this length of time, we may not take advantages of short-term gains that could be profitable to a client. Moreover, if our predictions are incorrect, a security may decline sharply in value before we make the decision to sell.

Short-term purchases: At times, we may also purchase securities with the idea of selling them within a relatively short time (typically a year or less). We do this in an attempt to take advantage of conditions that we believe will soon result in a price swing in the securities we purchase.

A risk in a short-term purchase strategy is that, should the anticipated price swing not materialize, we are left with the option of having a long-term investment in a security that was designed to be a short-term purchase, or potentially taking a loss. In addition, this strategy involves more frequent trading than does a longer-term strategy, and will result in increased brokerage and other transaction-related costs, as well as less favorable tax treatment of short-term capital gains.

Trading: We purchase securities with the idea of selling them very quickly (typically within 30 days or less). We do this in an attempt to take advantage of our predictions of brief price swings.

A risk in a short-term purchase is the potential for sudden losses if the anticipated price swing does not materialize. Moreover, should the anticipated price swing not materialize, we are left with the option of having a long-term investment in a security that was designed to be a short-term purchase, or potentially taking a loss. In addition, this strategy involves more frequent trading than does a longer-term strategy, and will result in increased brokerage and other transaction-related costs, as well as less favorable tax treatment of short-term capital gains.

Short sales: We borrow shares of a stock for your portfolio from someone who owns the stock on a promise to replace the shares on a future date at a certain price. We then sell the shares we have borrowed. On the agreed-upon future date, we buy the same stock and return the shares to the original owner. We engage in short selling on based on our determination that the stock will go down in price after we have borrowed the shares. If the stock has gone down since we purchased the shares from the original owner, we keep the difference.

One risk in selling short is that losses are theoretically unlimited; we are obligated to repurchase the stock no matter how much the price has climbed. In addition, even if we are correct in determining that the price of a stock will decline, we run the risk of incorrectly determining when the decline will take place. Short selling may not be appropriate in times of inflation, as prices may adjust upwards regardless of the value of the stock.

Margin transactions: We will purchase stocks for your portfolio with money borrowed from your brokerage account. This allows you to purchase more stock than you would be able to with your available cash, and allows us to purchase stock without selling other holdings.

A risk in margin trading is that, in volatile markets, securities prices can fall very quickly. If the value of the securities in your account minus what you owe the broker falls below a certain level, the broker will issue a “margin call”, and you will be required to sell your position in the security purchased on margin or add more cash to the account. In some circumstances, you may lose more money than you originally invested.

Option writing: We may use options as an investment strategy. An option is a contract that gives the buyer the right, but not the obligation, to buy or sell an asset (such as a share of stock) at a specific price on or before a certain date. An option, just like a stock or bond, is

a security. An option is also a derivative, because it derives its value from an underlying asset.

The two types of options are calls and puts:

A call gives us the right to buy an asset at a certain price within a specific period of time. We will buy a call if we have determined that the stock will increase substantially before the option expires.

A put gives us the holder the right to sell an asset at a certain price within a specific period of time. We will buy a put if we have determined that the price of the stock will fall before the option expires.

We will use options to speculate on the possibility of a sharp price swing. We will also use options to “hedge” a purchase of the underlying security; in other words, we will use an option purchase to limit the potential upside and downside of a security we have purchased for your portfolio.

We use “covered calls”, in which we sell an option on security you own. In this strategy, you receive a fee for making the option available, and the person purchasing the option has the right to buy the security from you at an agreed-upon price.

A risk of covered calls is that the option buyer does not have to exercise the option, so that if we want to sell the stock prior to the end of the option agreement, we have to buy the option back from the option buyer, for a possible loss.

We use a “spreading strategy”, in which we purchase two or more option contracts (for example, a call option that you buy and a call option that you sell) for the same underlying security. This effectively puts you on both sides of the market, but with the ability to vary price, time and other factors.

A risk of spreading strategies is that the ability to fully profit from a price swing is limited.

Clients should understand that investing in any securities, including mutual funds and ETFs, involves a risk of loss of both income and principal.

Item 9. Disciplinary Information

Neither our firm nor our management persons have any reportable disciplinary events to disclose.

Item 10. Other Financial Industry Activities and Affiliations

As is disclosed in Item 5 of this Brochure, our principals and employees are registered securities representatives with Related Broker. Please refer to Items 5 and 12 of this Brochure for a detailed explanation of these relationships, our brokerage practices, and important conflict of interest disclosures.

Clients should be aware that the recommendation of Related Broker for trade execution as well as receipt of additional compensation by our firm and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these

individuals when making advisory and brokerage recommendations. Potential conflicts of interest also arise to the extent that these non-IAIM activities may require a significant time commitment from some of our staff, thus limiting the amount of time they can dedicate to management of advisory client accounts. Since we endeavor at all times to put the interest of our clients first as part of our fiduciary duty as a registered investment adviser, we take the following steps to address this conflict:

1. We disclose to clients the existence of all material conflicts of interest, including the potential for our firm and its employees to earn compensation from advisory clients in addition to our advisory fees;
2. We may reduce our advisory fees by the amount of brokerage and insurance commissions received by our employees as a courtesy to our advisory clients;
3. We disclose to clients that they are not obligated to purchase recommended investment products or services from our employees;
4. We collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
5. We periodically review the execution capabilities and overall market competitiveness of Related Broker using quantitative and qualitative criteria;
6. Our management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
7. We require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
8. We periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
9. We educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 11. Code of Ethics, Participation in Client Transactions and Personal Trading

Code of Ethics Disclosure

Our firm has adopted a Code of Ethics which sets forth high ethical standards of business conduct that we require of our employees, including compliance with applicable federal securities laws. Our Code of Ethics includes policies and procedures for the review of quarterly securities transactions reports as well as initial and annual securities holdings reports that must be submitted by the firm's access persons. Among other things, our Code of Ethics also requires the prior approval of any acquisition of securities in a limited offering (e.g., private placement) or an initial public offering. Our code provides for

oversight, enforcement and recordkeeping provisions. A copy of our Code of Ethics is available to our advisory clients and prospective clients upon request to Ann Moore, Chief Compliance Officer, at the firm's principal office address.

Our firm or individuals associated with our firm may buy or sell securities identical to those recommended to or purchased for customers for their personal accounts. In addition, any related person(s) may have an interest or position in a certain security(ies) which may also be recommended to a client. This practice results in a potential conflict of interest, as we may have an incentive to manipulate the timing of such purchases to obtain a better price or more favorable allocation in rare cases of limited availability.

To mitigate these potential conflicts of interest and ensure the fulfillment of our fiduciary responsibilities, we have established the following restrictions:

1. No principal or employee of our firm may buy or sell securities for their personal portfolio(s) where their decision is substantially derived, in whole or in part, by reason of his or her employment unless the information is also available to the investing public on reasonable inquiry. No principal or employee of our firm may prefer his or her own interest to that of the advisory client;
2. It is the expressed policy of our firm that no person employed by us may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, and therefore, preventing such employees from benefiting from transactions placed on behalf of advisory accounts;
3. We do not aggregate employee trades with client trades. All client trades have implementation and execution priority;
4. We maintain a list of all securities holdings for our firm and anyone associated with this advisory practice with access to advisory recommendations;
5. We emphasize the unrestricted right of the client to decline to implement any advice rendered, except in situations where our firm is granted discretionary authority;
6. All of our principals and employees must act in accordance with all applicable Federal and State regulations governing registered investment advisory practices; and
7. Any individual not in observance of the above may be subject to disciplinary action or termination.

Item 12. Brokerage Practices

We do not have any formal soft-dollar arrangements and do not contract with any broker dealer to receive soft-dollar benefits. This means that we do not receive research or gain access to industry analysts or conferences in return for paying higher commissions for client trades to a particular broker dealer.

We do not request or accept the discretionary authority to determine the broker dealer to be used for client accounts. This means that we will not survey or shop the brokerage market place for best execution on a transaction-by-transaction basis. Clients must direct us as to

the broker dealer to be used for all client securities transactions. In directing the use of a particular broker or dealer, it should be understood that we will not have authority to negotiate commissions among various brokers, and best execution may not be achieved, resulting in higher transaction costs for clients. *Not all advisers require their clients to direct brokerage.*

For clients in need of brokerage or custodial services, and depending on client circumstances and needs, we will generally recommend the services of Related Broker. Related Broker clears its securities transactions through National Financial Services, LLC, an entity unaffiliated with our firm or with Related Broker. Our clients must evaluate this broker before opening an account. The factors considered by our firm when making this recommendation are the broker's ability to provide professional services, our experience with the broker, the broker's reputation, and the broker's quality of execution services and costs of such services, among other factors. However, our recommendation of Related Broker creates a significant conflict of interest because the receipt or the possibility of receiving additional compensation creates a strong incentive for our firm to continue recommending this broker. Please refer to Items 5 and 10 of this Brochure for a more detailed description of our relationship with Related Broker and the policies implemented by our firm to monitor and mitigate the existing conflicts of interest.

Clients are not under any obligation to effect trades through any recommended broker. Clients may direct us to place trades through another broker. However, we reserve the right to decline acceptance of any client account for which the client directs the use of a broker if we believe that this choice would hinder our fiduciary duty to the client and/or our ability to service the account.

Trade Aggregation

As a matter of policy and practice, our firm does not generally block client trades and, therefore, implements client transactions separately for each account. Due to this practice, certain client trades may be executed before others, at a different price and/or commission rate. Additionally, our clients may not receive volume discounts available to advisers who block client trades. If we determine that aggregation of trades in a certain situation will be beneficial to our clients, transactions will be averaged as to price and will be allocated among our clients in proportion to the purchase and sale orders placed from each client account on any given day. Clients should carefully review the disclosure documents of selected third-party managers and/or program sponsor(s) for detailed information about their best execution, aggregation and allocation practices.

Item 13. Review of Accounts

Each Investment Adviser Representative registered with our firm is responsible for reviewing accounts assigned to him or her. Supervisory account reviews are conducted by Edward Cofrancesco, President, and Ann Moore, Chief Compliance Officer.

Portfolio Management Services

Reviews:

Individual Asset Selection Program

While the underlying securities within these accounts are continuously monitored, these accounts are reviewed at least monthly by the above-listed individuals. Accounts are reviewed for consistency with client investment strategy, asset allocation, risk tolerance and performance relative to the appropriate benchmark. More frequent reviews may be triggered by changes in an account holder's personal, tax or financial status. Geopolitical and macroeconomic events may also trigger reviews.

World Class Money Management Strategy

While the underlying securities within these accounts are continuously monitored, these accounts are reviewed at least monthly by the above-listed individuals. These accounts will be reviewed in the context of the investment objectives and guidelines of the model portfolio as well as any investment restrictions provided by the client. Geopolitical and macroeconomic events may also trigger asset allocation reviews of a model portfolio.

Reports: Clients will receive at least quarterly statements from their selected custodian. We may provide additional reports upon client request or as agreed upon at the inception of the relationship.

Financial Planning Services

Reviews: These client accounts will be reviewed as contracted for at the inception of the advisory relationship.

Reports: Financial Planning clients will receive a completed financial plan. Otherwise, we will not provide any ongoing reviews or reports beyond those specifically outlined in the advisory agreement(s).

Manager Selection Program

Reviews: We will continuously monitor the performance of the registered investment adviser(s) selected to manage client portfolios within the programs and formally review these accounts at least quarterly. More frequent reviews may be triggered by changes in an account holder's personal, tax or financial status. Geopolitical and macroeconomic specific events may also trigger reviews.

Reports: In addition to the monthly or quarterly statements and confirmations of transactions that clients receive from their broker dealer, third-party managers and/or program sponsor(s) may provide quarterly reports detailing the current market value of the client's account, dividend and interest income, performance for the quarter and year-to-date, realized and unrealized capital gains and losses. We may provide additional reports upon client request or as agreed upon at the inception of the relationship.

Item 14. Client Referrals and Other Compensation

Other than that already described in this Brochure, our firm does not receive any additional compensation from third parties for providing investment advice to its clients and does not compensate anyone for client referrals.

Item 15. Custody

Custody is defined as any legal or actual ability by our firm to access client funds or securities. Since all client funds and securities are maintained with a qualified custodian, we don't take physical possession of client assets. However, under the current SEC rules, our firm is deemed to have constructive custody of client assets because we directly debit client fees from their custodial accounts. Therefore, we urge all of our management clients to carefully review and compare their quarterly reviews of account holdings and/or performance results received from us to those they receive from their custodian. Should you notice any discrepancies, please notify us and/or your custodian as soon as possible.

Item 16. Investment Discretion

For clients granting us discretionary authority to determine which securities and the amounts of securities that are to be bought or sold for their account(s), we request that such authority be granted in writing, typically in the executed investment management agreement.

Should the client wish to impose reasonable limitations on this discretionary authority, such limitations shall be included in this written authority statement. Clients may change/amend these limitations as desired. Such amendments must be submitted to us by the client in writing.

Should the client wish to impose reasonable limitations on third-party manager(s)' discretionary authority, such limitations should also be included in a written authority statement. Clients should refer to third-party manager(s)' disclosure documents to understand how these limitations can be changed or amended.

Item 17. Voting Client Securities

Advisory clients may elect to delegate their proxy voting authority to us. Alternatively, clients may, at their election, choose to receive proxies related to their own accounts, in which case we may consult with clients as requested. (With respect to ERISA accounts, we will vote proxies unless the plan documents specifically reserve the plan sponsor's right to vote proxies.) Since proxies are voted in bulk across all advisory accounts, clients cannot instruct, direct, or change our voting decisions.

When we have discretion to vote proxies for our clients, we will vote those proxies in the best interests of its clients and in accordance our established policies and procedures. Our firm will retain all proxy voting books and records for the requisite period of time, including a copy of each proxy statement received, a record of each vote cast, a copy of any document created by us that was material to making a decision how to vote proxies, and a copy of each written client request for information on how the adviser voted proxies. If our firm has a conflict of interest in voting a particular action, we will notify the client of the conflict and retain an independent third-party to cast a vote.

Clients may obtain a copy of our complete proxy voting policies and procedures by

contacting Ann Moore directly. Clients may request, in writing, information on how proxies for his/her shares were voted. If any client requests a copy of our complete proxy policies and procedures or how we voted proxies for his/her account(s), we will promptly provide such information to the client.

We will neither advise nor act on behalf of the client in legal proceedings involving companies whose securities are held in the client's account(s), including, but not limited to, the filing of "Proofs of Claim" in class action settlements. If desired, clients may direct us to transmit copies of class action notices to the client or a third party. Upon such direction, we will make commercially reasonable efforts to forward such notices in a timely manner.

Item 18. Financial Information

Under no circumstances will we earn fees in excess of \$1,200 more than six months in advance of services rendered.

Part 2B of Form ADV: *Brochure Supplement*

Edward Cofrancesco
300 South Orange Avenue
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Orlando, FL 32801

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International Assets Investment Management, LLC
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Telephone: (407) 254-1516

02/22/2013

This brochure supplement provides information about Edward Cofrancesco that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Edward Cofrancesco, Sr. Managing Member

Year of Birth: 1962

Education:

Mr. Edward attended Bernard Baruch University and studied Business

Business Background:

Sr. Managing Member, International Assets Investment Management, LLC. from 12/2006 to present

Senior Managing Partner, Registered Representative, International Assets Advisory Corporation from 07/2007 to present

Executive Vice President, International Assets Holding Corporation from 01/2002 to 01/2006

Managing Director and COO, INTLTRADER.COM from 08/2001 to 01/2006

Senior Vice President and Director of Capital Markets, International Assets Advisory Corporation from 12/2000 to 11/2001

Vice President of Institutional Sales, Lehman Brothers, INC from 03/2000 to 12/2000

Vice President, Raymond James & Associates, INC from 08/1993 to 02/2000

Registered Representative, Discount Corporation of New York from 11/1992 to 07/1993

Item 3. Disciplinary Information

Mr. Cofrancesco does not have any history of disciplinary events.

Item 4. Other Business Activities

Mr. Cofrancesco is an indirect majority owner, Senior Managing Partner and registered securities representative with International Assets Advisory, LLC. (hereinafter, "Related Broker"), a registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Cofrancesco is the Senior Managing Partner and is responsible for employee supervision, general business strategy of the firm. Mr. Cofrancesco does not have any Advisory Clients and does not act as an FA for International Assets Investment Management, LLC.

Item 5. Additional Compensation

Other than stated in Item 4 of this Supplement, Mr. Cofrancesco does not receive any additional compensation from third parties for providing investment advice to his clients.

Item 6. Supervision

Edward Cofrancesco, President, head of the investment committee, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Jeffrey Gerald Winn
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International Assets Investment Management, LLC
300 South Orange Avenue
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02/22/2013

This brochure supplement provides information about Jeffrey Winn that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Jeffrey Winn, Managing Member

Year of Birth: 1971

Education:

Mr. Winn graduated from the University of Florida with a B.A. in Business Administration in 1993.

Business Background:

Managing Member, International Assets Investment Management, LLC. from 01/2007 to present

Managing Partner, Registered Representative, International Assets Advisory Corporation from 01/2007 to present

Financial Consultant, Janney Montgomery Scott, LLC from 10/1999 to 03/2002

Financial Consultant, International Assets Advisory Corporation from 07/1993 to 10/1999

Item 3. Disciplinary Information

Mr. Winn does not have any history of disciplinary events.

Item 4. Other Business Activities

Mr. Winn is an indirect minority owner, Managing Member and registered securities representative with International Assets Advisory, LLC. (hereinafter, "Related Broker"), a registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Winn may recommend securities, insurance, advisory, or other products, and receive normal transaction commissions if products are purchased through Related Broker. Thus, a conflict of interest exists between the interests of Mr. Winn and those of the advisory clients, creating an incentive for him to recommend investment products and brokerage services based on the compensation received, rather than on a client's needs. However, clients are under no obligation to act upon any recommendations of Mr. Winn or to effect any transactions through him if they decide to follow his investment or financial planning recommendations. Mr. Winn does not limit his recommendations to products offered by Related Broker, with all advice provided being of a generic nature. Clients have the option to purchase investment products recommended by Mr. Winn through other brokers or agents not affiliated with our firm. However, Mr. Winn will recommend the use of Related Broker for the implementation of client portfolio recommendations.

Potential conflicts of interest also arise to the extent that these non-advisory activities may require a certain time commitment from Mr. Winn thus limiting the amount of time he can dedicate to management of advisory client accounts. Please refer to Item 10 of this Brochure for a detailed explanation of how our firm addresses these conflicts of interest.

Item 5. Additional Compensation

Other than stated in Item 4 of this Supplement, Mr. Winn does not receive any additional compensation from third parties for providing investment advice to his clients.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

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Robert Alvarez
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 800-432-0000

01/26/2015

This brochure supplement provides information about Robert Alvarez that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Robert Alvarez, Investment Advisor Representative

Year of Birth: 1968

Education:

Bachelor of Science, Financial Management, University of Delaware, 1990.

Newark, DE

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 05/21/2014 to present

Investment Advisor Representative, LPL Financial, LLC, From 04/12/2013-05/02/2013

Investment Advisor Representative, Ameriprise Financial Services, Inc. From 06/14/2000
– 04/19/2013

Registered Representative, IDS Life Insurance Co, From 06/14/2000-07/03/2006

Professional Designations

Certified Financial Planner
Chartered Retirement Planning Counselor

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	08/27/2014 <i>Internal Review</i>	09/24/2014
2	04/19/2013 <i>Permitted to resign by Ameriprise Financial Services</i>	04/19/2013
3	05/02/13 <i>Discharged by LPL Financial, LLC</i>	05/02/2013

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Alvarez is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Alvarez may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Alvarez also maintains the following outside business activities:

1. Nola Financial Wealth Management. DBA of financial planning practice that runs through International Assets Advisory, LLC.
2. Rebirth Realty, LLC - Rental property income

Item 5. Additional Compensation

Mr. Alvarez does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Brandon F. Barile
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Telephone: (407) 254-1516

02/04/2015

This brochure supplement provides information about Brandon R. Barile that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Brandon Reed Barile, Investment Advisor Representative

Year of Birth: 1964

Education:

Mr. Barile graduated with a B.S. in Marketing from University of South Florida in 1988.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC,
from July 9, 2012 to present

Registered Representative, International Assets Advisory, LLC, from 7/9/2012 to present

Wealth Management Advisor, Merrill Lynch PF&S, Inc. (bought by & merged with) Banc
of America Investment Services, Inc., from 5/2008 to 6/2012

Financial Advisor, Morgan Keegan & Company, Inc. (merged with) AmSouth Investment
Services, Inc., from 02/2007 to 5/2008

Financial Advisor, AMSouth Investment Management Company, LLC. From 07/2003 to
02/2007.

Registered Representative, AMSouth Investment Services, Inc. From 09/2002 to 02/2007

Financial Advisor, Wachovia Securities, Inc., from 12/1999 to 9/2002

Associate Financial Advisor, Josephthal & Company, Inc., from 1/1998 to 12/1999

Associate Financial Advisor, Abbey Ashford Securities, Inc., from 6/1997 to 1/1998

Associate Financial Advisor, Josephthal Lyon and Ross, Inc., from 08/1996 to 7/1997

Professional Designations:

Mr. Barile has earned the Certified Financial Planner (CFP) designation from the College of Financial Planning. The CFP designation is a professional certification mark for financial planner's conferred by the Certified Financial Planner Board of Standards, Inc. (CFP Board) in the United States. To receive authorization to use the designation, the candidate must meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. To fulfill the education requirement, students are required to complete course training in various topic areas and sit for the ten hour CFP Board Certification Examination. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required to attain CFP certification.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	03/27/2000 <i>Customer Complaint</i>	04/12/2000
2	06/29/2012 <i>Discharged by Merrill Lynch</i>	06/29/2012

For details please refer to Broker Check at www.finra.org

Item 4. Other Business Activities

Mr. Barile is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership

and control. In these capacities, Mr. Barile may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Barile conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Barile does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Joseph F. Beagnyam
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Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Joseph F. Beagnyam that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Joseph F. Beagnyam, Investment Advisor Representative

Year of Birth: 1950

Education:

M.B.A., University of Saint Thomas, Houston, Texas, 1983.

M.S., University of Alabama, 1982

B.S., University of New Orleans, 1979

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 08/2013 to present

Registered Representative, International Assets Advisory, LLC from 08/2013 to Present.

Financial Advisor, Independent Financial Group, LLC, from 06/2011 – 06/2013

Registered Representative, Independent Financial Group, LLC from 06/2011 – 06/2013

Financial Advisor, Ameriprise Financial Services, INC from 06/2002 – 06/2011

Registered Representative, Ameriprise Financial Services, INC from 04/1984 to 05/2011

Registered Representative, IDS Life Insurance Company from 04/1984 – 07/2006

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	08/23/2011 <i>Bankruptcy</i>	08/23/2011
2	09/24/2012 <i>Customer Complaint</i>	11/07/2014
3	06/04/2013 <i>Regulatory Action</i>	06/04/2013
4	05/04/2011 <i>Discharged by Ameriprise Financial Services, Inc</i>	05/04/2011

For details please refer to Broker Check at www.finra.org

Item 4. Other Business Activities

Mr. Beagnyam is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Beagnyam may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Beagnyam uses a DBA name, Beagnyam Financial, in which he runs all International Assets Advisory business through.

Item 5. Additional Compensation

Mr. Beagnyam does not receive any additional compensation other than what is provided in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Edward Francis Caputo
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300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

04/30/2011

This brochure supplement provides information about Edward Francis Caputo that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Edward Francis Caputo, Investment Advisor Representative

Year of Birth: 1958

Education:

Mr. Caputo graduated from St. John's University where he obtained his BA in Business Administration.

Designations:

Mr. Caputo holds the following designations:

AAMS – Accredited Asset Management Specialist
CRPC – Chartered Retirement Planning Counselor

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 09/2007 to present

Registered Representative, International Assets Advisory LLC from 03/2007 to Present.

Financial Consultant, A.G. Edwards & Son, Inc. From 03/2006 – 03/2007

Registered Representative, Peak Securities Corporation from 10/2005 -3/2006

Financial Consultant, A.G. Edwards & Son, Inc. From 7/2005 -9 /2005

Vice President of Operations, INTL Trading, Inc, From 1/2004 – 02/2005

Item 3. Disciplinary Information

Mr. Caputo does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Caputo is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Caputo may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Other than stated in Item 4 Mr. Caputo does not receive any additional compensation

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

David Chin
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Orlando, FL 32801

Telephone: (407) 254-1516

International Assets Investment Management, LLC
300 South Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (800)432-0000

02/05/2015

This brochure supplement provides information about David Chin that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

David Chin, Investment Adviser Representative

Year of Birth: 1964

Education:

B.S. in Economics/Finance, NYC, NY 1986.

Business Background:

Investment Adviser Representative, International Assets Investment Management, LLC.
from 08/2010 to present

Registered Representative, International Assets Advisory LLC from 07/2010 to present

Registered Representative, Financial Advisor, Raymond James Financial Services from
10/2008 to 06/2010

Registered Representative, Financial Advisor, Ameriprise Financial from 02/2004 to
09/2008

Registered Representative, IDS Life Insurance Company from 02/2004 to 07/2006

Professional Designations:

Mr. Chin has earned the Certified Financial Planner (CFP) designation from the College of Financial Planning. The CFP designation is a professional certification mark for financial planners conferred by the Certified Financial Planner Board of Standards, Inc. (CFP Board) in the United States. To receive authorization to use the designation, the candidate must meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. To fulfill the education requirement, students are required to complete course training in various topic areas and sit for the ten hour CFP Board Certification Examination. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required to attain CFP certification.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	04/03/2008 <i>Internal Review</i>	09/26/2008
2	05/12/2010 <i>Regulatory Action</i>	05/12/2010
3	06/04/2010 <i>Permitted to Resign by Raymond James</i>	06/04/2010

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Chin is a registered securities representative with International Assets Advisory, LLC. (hereinafter, "Related Broker"), a registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Chin may recommend securities, insurance, advisory, or other products, and receive normal transaction commissions if products are purchased through Related Broker. Thus, a conflict of interest exists between the interests of Mr. Chin and those of the advisory clients, creating an incentive for him to recommend investment products and brokerage services based on the compensation received, rather than on a client's needs. However, clients are under no obligation to act upon any recommendations of Mr. Chin or to effect any transactions through him if they decide to follow his investment or financial planning recommendations. Mr. Chin does not limit his recommendations to products offered by Related Broker, with all advice provided being of a generic nature. Clients have the option to purchase investment products recommended by Mr. Chin through other brokers or agents not affiliated with our firm. However, Mr. Chin will recommend the use of Related Broker for the implementation of client portfolio recommendations.

Potential conflicts of interest also arise to the extent that these non-advisory activities may require a certain time commitment from Mr. Chin thus limiting the amount of time he can dedicate to management of advisory client accounts. Please refer to Item 10 of this Brochure for a detailed explanation of how our firm addresses these conflicts of interest.

Item 5. Additional Compensation

Mr. Chin does not receive any additional compensation other than what is otherwise listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Robert D'Andria
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300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Robert D'Andria that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Robert D'Andria, Investment Advisor Representative

Year of Birth: 1962

Education:

Bachelor of Arts in Education, Minor in Business Management. University of South Florida, 1986. Tampa, Fl.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 08/03/2010 to present

Investment Advisor Representative, Westminster Financial Advisory Corp. From 03/29/2010 to 07/02/2010.

Registered Representative, Westminster Financial Securities, Inc. From 03/29/2010 to 07/02/2010.

Investment Advisor Representative, GunnAllen Financial, Inc. From 08/18/2003 to 03/26/2010.

Registered Representative, John Hancock Funds, LLC. From 01/16/2001 to 05/16/2001.

Registered Representative, VOYA Financial Partners, LLC. From 08/14/2000 to 09/20/2000.

Registered Representative, Merrill Lynch, Pierce, Fenner & Smith, Inc. From 06/19/1995 to 01/27/2000.

Registered Representative, UBS Financial Services, Inc. From 10/29/1990 to 06/09/1995.

Registered Representative, The Stuart-James Co., Inc. From 12/15/1988 to 10/29/1990.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	01/31/2000 <i>Customer Complaint</i>	02/07/2000
2	08/08/2000 <i>Customer Complaint</i>	03/26/2003
3	01/21/2000 <i>Internal Review</i>	01/27/2000
4	04/29/2003 <i>Regulatory Action</i>	04/29/2003
5	01/27/2001	01/27/2001

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. D’Andria is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. D’Andria may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. D’Andria also maintains SterlingNoble Wealth Management as a DBA company to conduct International Assets Advisory business.

Item 5. Additional Compensation

Mr. D’Andria does not receive any additional compensation in addition to Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm’s Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Shannan Denison
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01/30/2015

This brochure supplement provides information about Shannan Denison that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Shannan Denison, Investment Advisor Representative

Year of Birth: 1972

Education:

Bachelor of Art in Psychology/ French, Grand Valley State University, 1999.
Grand Rapids, MI

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 05/2013 to present

Associate Financial Advisor, Ameriprise Financial Services, Inc. From 02/2011-03/2013
and 06/2001 to 12/2011.

Registered Representative, IDS Life Insurance Co. From 06/2001 – 07/2006

Professional Designations

Chartered Retirement Planning Counselor

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	03/26/2013 <i>Discharge by Ameriprise Financial Services</i>	03/26/2013
2	12/15/2011 <i>Discharged by Ameriprise Financial Services</i>	12/15/2011

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Ms. Denison is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Ms. Denison may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Ms. Dennison also maintains the following outside business activity:

- 1) Denison Financial- DBA name which International Assets Advisory business is conducted.
- 2) Go au pair- Local area representative

3) West Michigan Jazz Society- Non-profit dedicated to promoting live jazz.

Item 5. Additional Compensation

Ms. Denison does not receive any additional compensation than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Gilbert David Dulberg
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02/05/2015

This brochure supplement provides information about Gilbert Dulberg that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Gilbert David Dulberg, Investment Advisor Representative

Year of Birth: 1955

Education:

Mr. Dulberg graduated from Bernard M. Baruch College in 1983, school of business of the City University of New York where he earned a BBA (Bachelor of Business Administration) degree in Economics. Mr. Dulberg was honored on the National Dean's List.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 09/2007 Present

Registered Representative, International Assets Advisory LLC from 10/1996 to Present.

Investment Advisor Representative, Global Assets Advisors, LLC, from 09/1998 to 10/2005

Registered Representative, Avanti Securities Corporation, From 01/1992 to 11/1992

Regional Director, Development Corporation for Israel, From 12/1986 to 01/1990

Item 3. Disciplinary Information

Mr. Dulberg does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Dulberg is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Dulberg may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Other than stated in Item 4 Mr. Dulberg does not receive additional compensation.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Christopher R. Ekins
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Telephone: 800-432-0000

02/05/2015

This brochure supplement provides information about Christopher R. Ekins that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Christopher R. Ekins, Investment Advisor Representative

Year of Birth: 1943

Education:

Mr. Ekins graduated from the National Physics Laboratory in England as an Engineering Metrologist in 1965.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 11/2011 to present

Registered Representative, International Assets Advisory, LLC from 11/2011 to Present.

Financial Advisor, Anderson Strudwick, INC. from 04/2010 – 10/2011

Financial Advisor, JP Turner and Company Capital Management, LLC from 03/2010 – 04/2010

Financial Advisor, GunnAllen Financial, INC from 10/1999 – 03/2010

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	01/03/2003 <i>Customer Complaint</i>	12/08/2003

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Ekins is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Ekins may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Ekins conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Ekins does not receive any additional compensation

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Richard Engen
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Telephone: 800-432-0000

01/29/2015

This brochure supplement provides information about Richard Engen that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Richard Engen, Investment Advisor Representative

Year of Birth: 1964

Education:

Master of Science in Financial Services, The American College, 2009.
Bryn Mawr, PA
Bachelor of Science in University Studies, North Dakota State University, 2004.
Fargo, ND

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 05/30/2012 to present

Investment Advisor Representative, VSR Financial Services, Inc., 07/22/2010 to
11/08/2010

Investment Advisor Representative, Fintegra, LLC. From 06/01/2000 to 07/22/2010

Registered Representative, Berthel, Fisher & Co. Financial Services, Inc. From 06/24/1999
to 06/01/2000

Registered Representative, Merrill Lynch, Pierce, Fenner & Smith Inc. From 07/01/1996 to
06/01/1999

Registered Representative, Financial Advantage Brokerage Services, Inc. From 04/01/1996
to 07/10/1996

Registered Representative, Manequity, Inc. From 07/28/1994 to 04/01/1996.

Professional Designations

Certified Financial Consultant
Chartered Advisor in Philanthropy
Chartered Life Underwriter

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	05/08/2012 <i>Regulatory Action</i>	10/29/2012

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Engen is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Engen may recommend securities, insurance,

advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Please see his additional activities below:

- 1) 52 Broadway, LLC. Real estate holding company.
- 2) The Silicon Prairie, Inc. Business holding company.
- 3) Dixon Insurance
- 4) Financial Advantage Wealth Management, LLC. DBA company in which International Assets Advisory business is run.
- 5) Fort Noks/SST Holdings
- 6) Woodbury Park Community association. Director of home owners association.
- 7) ID Fusion, LLC. Liaison for technology grants

Item 5. Additional Compensation

Mr. Engen does not receive any additional compensation that is not otherwise listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Francis Freeman
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Telephone: 407-254-1516

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300 S. Orange Avenue
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Orlando, FL 32801

Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Francis Freeman that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Francis Freeman, Investment Advisor Representative

Year of Birth: 1958

Education:

Bachelor of Science in Accounting, Villanova University, 1980.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 01/08/2015 to present

Investment Advisor Representative, CCO Investment Services Corp. From 05/08/2002 to 12/13/2013.

Registered Representative, Dreyfus Investment Services, Co. From 08/01/2001 to 05/08/2002.

Registered Representative, First Union Securities, Inc. From 10/01/1999 to 07/17/2001.

Registered Representative, First Union Capital Markets, Corp. From 01/01/1991 to 10/01/1999.

Registered Representative, Butcher & Singer, Inc. From 10/17/1988 to 01/01/1991.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	08/08/2013 <i>Customer Complaint</i>	11/01/2013
2	12/13/2013 <i>Discharged by Citizens Investment Services</i>	12/13/2013

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Freeman is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Freeman may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Mr. Freeman does not receive any additional compensation

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Nathaniel Goldenberg
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Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Nathaniel Goldenberg that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Nathaniel Goldenberg, Investment Advisor Representative

Year of Birth: 1982

Education:

Bachelor of Arts in Political Science, University of South Florida, 2004. Tampa, FL

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 11/26/2013 to present

Investment Advisor Representative, JP Morgan Securities, LLC. From 10/01/2012 to 10/25/2013.

Registered Representative, Chase Investment Services, Corp. From 07/03/2006 to 10/01/2012.

Investment Advisor Representative, GunnAllen Financial, Inc. From 01/11/2005 to 06/23/2006.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	10/04/2013 <i>Internal Review</i>	12/18/2014
2	10/25/2013 <i>Discharged by JP Morgan Securities</i>	10/25/2013

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Goldenberg is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Goldenberg may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Goldenberg conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Goldenberg does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Albert Haworth
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Orlando, FL 32801

Telephone: 800-432-0000

02/06/2015

This brochure supplement provides information about Albert Haworth that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Albert Haworth, Investment Advisor Representative

Year of Birth: 1954

Education:

San Jose State University, BA Political Science/Economics, 1977

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 01/2014 to present

Registered Representative, International Assets Advisory, LLC. From 01/2014 to present

Registered Representative, Allianz Life Financial Services. From 08/2010 to 01/2012.

Registered Representative, Questar Capital Corp. From 12/2009 to 09/2010.

Registered Representative, RMC Capital Markets Group, Inc. from 08/2007 to 06/2009.

Registered Representative, NNN Capital Crop. from 12/2006 to 03/2007.

Registered Representative, Capital Brokerage Corp. from 01/2005 to 12/2006.

Registered Representative, MPartners Securiites, LLC from 07/2004 to 04/2005.

Registered Representative, Metlife Investors Distribution Company, from 12/2001 to 11/2003.

Registered Representative, Transamerica Capital, Inc. from 08/2000 to 11/2001.

Registered Representative, Equitable Distributors, Inc. from 08/1996 to 08/2000.

Registered Representative, London Pacific Financial & Insurance Services. from 01/1996 to 05/1996.

Registered Representative, San Clemente Securities, Inc. from 11/1994 to 11/1995.

Registered Representative, Pacific Mutual Distributors, Inc. from 10/1993 to 09/1994.

Registered Representative, GT Global, Inc. from 06/1992 to 09/1993.

Registered Representative, NSR Distributors, Inc. from 04/1991 to 03/1992.

Registered Representative, Lepercq Equity Corp. from 03/1990 to 09/1990.

Registered Representative, AGS Securities Corp. from 06/1989 to 03/1990

Registered Representative, PSI Securities Corp. from 06/1987 to 05/1989.

Item 3. Disciplinary Information

Mr. Haworth does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Haworth is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Haworth may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Haworth also maintains the following outside business activities:

- 1) Zinc Management Co. - Auto receivables finance.
- 2) Preferred Apartment Communities- Wholesaler for Non-listed REIT

Item 5. Additional Compensation

Mr. Haworth does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Tadd Killpack
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300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Tadd Killpack that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Tadd Killpack, Investment Advisor Representative

Year of Birth: 1973

Education:

Bachelor of Science in Economics, Minor in Business, University of Utah, 2006.
Salt Lake City, Utah

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 01/08/2015 to present

Investment Advisor Representative, Bolton Global Capital. From 10/08/2010 to
12/31/2014.

Registered Representative, Merrill Lynch, Pierce, Fenner & Smith, Inc. From 08/18/2003 to
10/08/201.

Item 3. Disciplinary Information

Mr. Killpack does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Killpack is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Killpack may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Killpack conducts business through a DBA name, Rising Sun Wealth Management.

Item 5. Additional Compensation

Mr. Killpack does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Aaron M. Knoll
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International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

08/03/2012

This brochure supplement provides information about Aaron Michael Knoll that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Aaron Michael Knoll, Investment Advisor Representative

Year of Birth: 1980

Education:

Mr. Knoll graduated from Trinity Christian College 2002 majoring in Business Administration

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC.
From 07/2012 to present

Wealth Consultant, First Brokerage America LLC from 09/2006 – 07/2012

Senior Investment Consultant, Fifth Third Securities, Inc. from 09/2004 – 09/2006

Financial Advisor, Banc One Securities Corp. from 05/2004 – 09/2004

Financial Advisor, American Express Financial Advisors from 12/2002 – 05/2004

Registered Representative, IDS Life Insurance Company from 01/2003 – 05/2004

Item 3. Disciplinary Information

Mr. Knoll does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Knoll is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Knoll may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Knoll conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Knoll does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm’s Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment

policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Abraham Joseph Margolis
300 South Orange Avenue Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516
International Assets Investment Management, LLC
300 South Orange Avenue Suite
1100
Orlando, FL 32801

Telephone: (407) 254-1516

04/30/2011

This brochure supplement provides information about Abraham Margolis that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Abraham Joseph Margolis, Investment Adviser Representative

Year of Birth: 1941

Education:

Mr. Margolis graduated from the American University with a degree in General Studies in 1966 and from the University of Baltimore Law School with an LLB in Law in 1969.

Business Background:

Investment Adviser Representative, International Assets Investment Management, LLC. from 07/2010 to present

Registered Representative, International Assets Advisory Corporation from 07/2010 to present

Financial Adviser, Westminster Financial Services from 03/2010 to 07/2010

Financial Adviser, Gunn Allen Financial Services from 07/2003 to 03/2010

Item 3. Disciplinary Information

Mr. Margolis does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Margolis is a registered securities representative with International Assets Advisory, LLC. (hereinafter, "Related Broker"), a registered broker-dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Margolis may recommend securities, insurance, advisory, or other products, and receive normal transaction commissions if products are purchased through Related Broker. Thus, a conflict of interest exists between the interests of Mr. Margolis and those of the advisory clients, creating an incentive for him to recommend investment products and brokerage services based on the compensation received, rather than on a client's needs. However, clients are under no obligation to act upon any recommendations of Mr. Margolis or to effect any transactions through him if they decide to follow his investment or financial planning recommendations. Mr. Margolis does not limit his recommendations to products offered by Related Broker, with all advice provided being of a generic nature. Clients have the option to purchase investment products recommended by Mr. Margolis through other brokers or agents not affiliated with our firm. However, Mr. Margolis will recommend the use of Related Broker for the implementation of client portfolio recommendations.

Potential conflicts of interest also arise to the extent that these non-advisory activities may require a certain time commitment from Mr. Margolis thus limiting the amount of time he can dedicate to management of advisory client accounts. Please refer to Item 10 of this Brochure for a detailed explanation of how our firm addresses these conflicts of interest.

Item 5. Additional Compensation

Other than stated in Item 4 of this Supplement, Mr. Margolis does not receive any additional compensation from third parties for providing investment advice to his clients.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Stephen Matlack
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

12/09/2014

This brochure supplement provides information about Stephen Matlack that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore at 407.254.1516 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Stephen Matlack

Investment Advisor Representative

CEO of Phoenix Wealth Advisors

Year of Birth: 1956

Education:

B.S., Davis and Elkins College, Elkins West Virginia, 1979

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 11/2014 to present

Registered Representative, International Assets Advisory, LLC from 01/2014 to Present.

Financial Advisor, Chapin, Davis, LLC, from 07/2014 to 10/2014

Registered Representative, Chapin, Davis, LLC, from 07/2014 to 10/2014

Financial Advisor, Oppenheimer & Co., LLC, from 11/2010 to 06/2014

Registered Representative, Oppenheimer & Co., LLC, from 11/2010 to 06/2014

Financial Advisor, Janney Montgomery Scott, LLC, from 10/2008 to 11/2010

Registered Representative, Janney Montgomery Scott, LLC from 10/2008 to 11/2010

Financial Advisor, Wachovia Securities, LLC, from 03/2007 to 11/2008

Registered Representative, Wachovia Securities, LLC, from 03/2007 to 11/2008

Financial Advisor, Ferris, Baker Watt,s Inc., from 07/2004 to 03/2007

Registered Representative, Ferris, Baker Watts, Inc., from 07/2004 to 03/2007

Financial Advisor, Morgan Stanley, from 05/2002 to 07/2004

Registered Representative, Morgan Stanley, from 08/2000 to 07/2004

Registered Representative, Salomon Smith Barney, from 10/1993 to 08/2000

Registered Representative, A.G. Edwards & Sons, Inc., from 06/1992 to 10/1993

Registered Representative, Alex. Brown & Sons, Inc., from 07/1988 to 06/1992

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	12/29/2003 <i>Customer Complaint</i>	12/16/2004
2	08/13/2014 <i>Customer Complaint</i>	Pending
3	02/03/2014 <i>Judgment/Lien</i>	Pending
4	06/05/2013 <i>Judgment/Lien</i>	Pending

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Matlack is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Matlack may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Mr. Matlack does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm’s Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Stephen Christopher Meikle
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1557

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

04/30/2011

This brochure supplement provides information about Stephen Meikle that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Stephen Christopher Meikle, Investment Advisor Representative

Year of Birth: 1965

Education:

Mr. Meikle studied at the University of Alabama College of Commerce and Business Administration from 1984-1988 where he majored in Finance and minored in Economics.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 06/2009 to present

Registered Representative, International Assets Advisory LLC from 06/2009 to Present.

Financial Advisor, UBS Financial Services Inc. from 12/2007-04/2009

Item 3. Disciplinary Information

Mr. Meikle does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Meikle is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Meikle may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Other than stated in Item 4 Mr. Meikle does not receive additional compensation.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Frank Meo
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/06/2015

This brochure supplement provides information about Frank Meo that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore at 407.254.1516 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Frank Meo

Investment Advisor Representative

Year of Birth: 1957

Education:

BA in Communications, Rutgers University, 1979.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 05/2014 to present

Registered Representative, International Assets Advisory, LLC from 05/2014 to Present.

Financial Advisor, Coastal Equities, Inc., from 04/2014 to 05/2014

Registered Representative, PNC Investments, from 05/2009 to 10/2013

Financial Advisor, Commerce Capital Markets, Inc., from 02/1999 to 06/2008

Registered Representative, Essex National Securities, Inc, from 02/1999 to 10/1999

Financial Advisor, First Union Brokerage Services, Inc., from 04/1996 to 01/1999

Registered Representative, FN Investment Center from 08/1991 to 03/1996

Financial Advisor, A.G. Edwards & Sons, Inc., from 11/1989 to 12/1990

Registered Representative, Royal Alliance Associates, Inc., from 11/1989 to 01/1990

Financial Advisor, Integrated Resources Equity Corp., from 02/1989 to 11/1989

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	01/23/1991 <i>Customer Complaint</i>	07/07/1999
2	12/19/2014 <i>Customer Complaint</i>	Pending
3	02/17/2006 <i>Judgment/Lien</i>	Pending
4	05/18/2014 <i>Judgment/Lien</i>	Pending
5	07/12/2011 <i>Judgement/Lien</i>	Pending
6	09/24/2013 <i>Regulatory Action</i>	09/24/2013

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Meo is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Meo may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Mr. Meo does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm’s Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Kenneth William Moyer, JR
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1527

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Kenneth William Moyer JR that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Kenneth William Moyer JR, Investment Advisor Representative

Year of Birth: 1968

Education:

Mr. Moyer graduated from St. Lawrence University with a degree in Economics in 1990.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 09/2007 to present

Registered Representative, International Assets Advisory LLC from 09/2003 to Present.

Financial Advisor, Wachovia Securities, LLC from 9/2000 – 7/2003

Financial Advisor, International Assets Advisory Corporation from 03/1997 – 9/2000

Registered Representative, Winter Park Securities, INC. From 08/1994 – 12/1996

Registered Representative, Securities Research, INC. From 07/1992 – 9/1994

Registered Representative, First Investors Corporation 06/1990 -12-1990

Item 3. Disciplinary Information

Mr. Moyer has been subject to the following material reportable disciplinary events:

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	07/29/2005 <i>Bankruptcy</i>	12/09/2008
2	06/23/2005 <i>Regulatory Action</i>	07/18/2005

Item 4. Other Business Activities

Mr. Moyer is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Moyer may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Moyer conducts business through a DBA name, Winn Partner Financial Group, LLC.

Item 5. Additional Compensation

Other than stated in Item 4 Mr. Moyer does not receive any additional compensation.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Jingbo (Bob) Pan
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

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International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

01/29/15

This brochure supplement provides information about Jingbo (Bob) Pan that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Jingbo (Bob) Pan, Investment Advisor Representative

Year of Birth: 1977

Education:

Master of Science in Accountancy, Northeastern Illinois University, 2005.

Bachelor of Science, Clarion University of Pennsylvania, 2003.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 04/2014 to present

Registered Representative, Financial West Group. From 04/2014 to 04/2014

Investment Advisor Representative, Berthel, Fisher & Co Financial Services, Inc. From 02/2013 to 12/2013.

Investment Advisor Representative, Cetera Financial Specialist, LLC. From 01/2009 to 02/2013.

Registered Representative, World Group Securities, Inc. From 03/2007 to 02/2009

Item 3. Disciplinary Information

Mr. Pan does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Pan is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Pan may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

1. Tax Buffet, LLP.- Tax preparation
2. Usana Health Science- Representative
3. J&Y CPA Consulting Company- Preparing tax paperwork and financial reports.

Item 5. Additional Compensation

Mr. Pan does not receive any additional compensation

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Brandon F. Rappaport
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 610-812-5800

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Craig Rappaport that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore at 407.254.1516 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Craig Rappaport, Investment Advisor Representative

Year of Birth: 1964

Education:

- Accredited Wealth Management Advisor program, The Wharton School, University of Pennsylvania
- Wealth Management Certification Program, The College for Financial Planning
- Financial Planning Specialist, Citigroup
- Guided Portfolio Management, Citigroup
- Quantum Portfolio Management, Citigroup
- Northeastern University, Boston, B.S. Finance, 1989
- Retirement and Trust Services, Prudential Series One and Two

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC, from November 2014 to present

Registered Representative, International Assets Advisory, LLC, from November 2014 to present

Wealth Management Advisor, Wells Fargo Advisors, LLC, from 5/2009 to 10/2014

Financial Advisor, Janney Montgomery Scott, LLC, from 11/2002 to 5/2009

Financial Advisor, Salomon Smith Barney, INC, from 12/1996 to 11/2002

Financial Advisor, Prudential Securities Incorporated, from 5/1989 to 12/1996

Financial Advisor, Drexel Burnham and Lambert Incorporated, from 1/1989 to 5/1989

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	03/24/2014 <i>Customer Complaint</i>	04/03/2014
2	07/22/2014 <i>Internal Review</i>	10/14/2014
3	10/14/2014 <i>Discharged by Wells Fargo</i>	10/14/2014

For details please refer to Broker Check at www.finra.org .

Item 4. Other Business Activities

Mr. Rappaport is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Rappaport may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Rappaport has reported the following other business activities: Live Long Live Rich: Creating Your Retirement Paycheck" author of book sold exclusively on amazon.com; KWW news radio - investment related radio commentary; and Rappaport Wealth Management which is his DBA name.

Item 5. Additional Compensation

Mr. Rappaport does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Isaac Roach
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Isaac Roach that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Isaac Roach, Investment Advisor Representative

Year of Birth: 1975

Education:

Bachelor of Science in International Affairs/Economics, Florida State University, 2000.
Tallahassee, Florida

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 12/19/2014 to present

Investment Advisor Representative, CCO Investment Services Corp. From 03/02/2007 to 09/15/2014.

Investment Advisor Representative, Fifth Third Securities, Inc. From 01/19/2005 to 03/02/2007.

Registered Representative, Charles Schwab & Co, Inc. From 06/26/2000 to 11/11/2004.

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	09/05/2014 <i>Internal Review</i>	09/15/2014
2	09/15/2014 <i>Discharged by CCO Investment Services Corp.</i>	09/15/2014

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Roach is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Roach may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Mr. Roach does not receive any additional compensation other than those items listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Reginald Howell Robinson
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Reginald Howell Robinson that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Reginald Howell Robinson, Investment Advisor Representative

Year of Birth: 1967

Education: Graduated From Chesapeake H.S 1985, and attended Essex Community College.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC, from July 24, 2012 to present

Registered Representative, International Assets Advisory, LLC, from 7/25/2011 to present

Registered Representative, Anderson Strudwick, INC. from 04/2010 – 07/2011

Registered Representative, JP Turner & Company, LLC from 03/2010 to 04/2010

Registered Representative, GunnAllen Financial, INC from 02/1997 to 03/2010

Registered Representative, Napex Financial Corp., from 02/1997 to 02/1997

Registered Representative, Sovereign Equity Management Corps, from 04/1996 to 03/1997

Registered Representative, Principal Financial Securities Inc., from 10/1994 to 04/1999

Registered Representative, Chatfield Dean & Co. INC. from 06/1991 to 11/1994

Registered Representative, Gruntal & Co. INC. from 11/1990 to 06/1991

Registered Representative, JW Grant & Associates, INC from 07/1089 to 11/1990

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	12/07/1999 <i>Customer Complaint</i>	01/23/2001

For details please refer to Broker Check at www.finra.org

Item 4. Other Business Activities

Mr. Robinson is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In

these capacities, Mr. Robinson may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Robinson conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Robinson does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Robert Simons
300 S. Orange Avenue
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International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
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Telephone: 800-432-0000

01/28/2015

This brochure supplement provides information about Robert Simons that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Robert Simons, Investment Advisor Representative

Year of Birth: 1947

Education:

B.S. Chemistry, Bucknell University, 1969

M.S. Chemistry, Stevens Institute of Technology, 1973

M.E. Chemical Engineering, Stevens Institute of Technology, 1978

CLU, ChFC, American College, 1988

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 01/09/2015 to present

Investment Advisor Representative, Brookstone Capital Management, LLC. From 09/07/2011 to 01/06/2015.

Registered Representative, Center Street Securities, Inc. From 09/01/2011 to 08/22/2014.

Investment Advisor Representative, Eagle Strategies, LLC. From 03/15/2007 to 09/01/2011.

Registered Representative, NY Life Securities, LLC. From 02/01/1992 to 01/16/2007.

Investment Advisor Representative, Hornor, Townsend & Kent, Inc. from 02/01/1992 to 01/16/2007.

Registered Representative, Monarch Securities, Inc. From 02/24/1988 to 01/31/1992

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	03/19/2014 <i>Customer Complaint</i>	12/01/2014

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Simons is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Simons may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Simons also maintains the following outside business activities:

- 1) Federal Financial Group- Agent for indexed universal life and indexed annuities.
- 2) Mass Mutual Brokerage; DI brokers; LTC carriers- Agent for life, disability, and long term care insurance.

Item 5. Additional Compensation

Mr. Simons does not receive any additional compensation other than what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Steven Spartz
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1520

International Assets Investment Management, LLC
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Suite 1100
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Telephone: (407) 254-1516

01/30/2015

This brochure supplement provides information about Steven Spartz that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Steven Spartz, Investment Advisor Representative

Year of Birth: 1956

Education:

Bachelor of Science in Financial Management, Minor in Real Estate, Old Dominion University. Norfolk, VA 1980

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 09/2007 Present

Registered Representative, International Assets Advisory LLC from 01/1988 to Present.

Investment Advisor Representative, Global Assets Advisors, LLC, from 09/1998 to 09/2002

Item 3. Disciplinary Information

Mr. Spartz does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Spartz is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Spartz may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Other than stated in Item 4 Mr. Spartz does not receive additional compensation.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Rudolfo Suarez
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (800) 432-0000

01/30/2015

This brochure supplement provides information about Rudolfo Suarez that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Rudolfo Suarez, Investment Advisor Representative

Year of Birth: 1947

Education:

University of Miami Engineering Degree 1969

Professional Designations

Chartered Life Underwriter

Chartered Financial Consultant

Certified Financial Planner

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 02/2013 to present

Registered Representative, Questar Capital Corp. From 12/2006 – 02/2013

Financial Advisor, Questar Asset Management, Inc. from 10/2007 – 09/2009

Financial Advisor, USALLIANZ Securities, Inc. from 10/2003 – 12/2006

Registered Representative, Legacy Financial Services. From 05/2001 – 11/2003

Item 3. Disciplinary Information

Mr. Killpack does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Suarez is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Suarez may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Suarez is also an insurance agent through Brokers Financial and Insurance Services, Inc., in which they are located at 3191 coral way, suite 402, Miami, FL 33145. Insurance

Part 2B of Form ADV: *Brochure Supplement*

Roger Lee Thompson
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Roger Lee Thompson that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Roger Lee Thompson is available on the internet on the SEC's web site at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Roger Lee Thompson is 2372311.

Item 2. Educational Background and Business Experience

Roger Lee Thompson, Investment Advisor Representative

Year of Birth: 1963

Education:

Mr. Thompson attended Hinds JR. College in 1981 to 1983 and studied general education.

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 11/2011 to present

Registered Representative, International Assets Advisory, LLC from 11/2011 to Present.

Financial Advisor, Anderson Strudwick, INC. from 04/2010 – 10/2011

Financial Advisor, JP Turner and Company Capital Management, LLC from 03/2010 – 04/2010

Financial Advisor, GunnAllen Financial, INC from 05/1999 – 03/2010

Registered Representative, GSG Securities, INC from 01/199 – 04/199

Registered Representative, Chatfield Dean & Co. from 06/1993 to 01/1999

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	03/13/1991 <i>Criminal</i>	02/02/1990

For details please refer to Broker Check at www.finra.org

Item 4. Other Business Activities

Mr. Thompson is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Thompson may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker. Mr. Thompson also owns Private Client Services Group, LLC 3909 W. Azzele Street Tampa, FL 33609.

Mr. Thompson conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Thompson does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Ed Treimanis
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: (407) 254-1516

02/05/2015

This brochure supplement provides information about Ed Treimanis that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Ed Treimanis, Investment Advisor Representative

Year of Birth: 1959

Education:

Mr. Treimanis graduated from State University of NY (Geneseo) 1981 majoring in Business Administration, minoring in Psychology

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 11/24/2014 to present

Financial Advisor, Ameriprise Financial Services, Inc. 10/21/1987 – 11/19/2014

Item 3. Disciplinary Information

Disciplinary Event	Date Action Initiated <i>Summary</i>	Date Action Resolved/Settled
1	11/11/2014 <i>Permitted to Resign from Ameriprise Financial</i>	11/11/2014
2	11/01/2013 <i>Tax Judgment/Lien</i>	n/a
3	09/25/2012 <i>Tax Judgment/Lien</i>	n/a
4	11/09/2011 <i>Tax Judgment/Lien</i>	n/a

For details please refer to Broker Check at www.finra.org.

Item 4. Other Business Activities

Mr. Treimanis is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, “Related Broker”), a registered broker – dealer, member of the Financial Industry Regulatory Authority (“FINRA”), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Treimanis may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Mr. Barile conducts business through a DBA name, Rockport Global Advisors, LLC.

Item 5. Additional Compensation

Mr. Treimanis does not receive any additional compensation in addition to what is listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2B of Form ADV: *Brochure Supplement*

Jeffrey Wallgren
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 407-254-1516

International Assets Investment Management, LLC
300 S. Orange Avenue
Suite 1100
Orlando, FL 32801

Telephone: 800-432-0000

02/05/2015

This brochure supplement provides information about Jeffrey Wallgren that supplements the International Assets Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Ann Moore if you did not receive our brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Jeffrey Wallgren, Investment Advisor Representative

Year of Birth: 1975

Education:

Bachelor of Science in Biotechnology, North Dakota State University, 2010.
Fargo, ND

Business Background:

Investment Advisor Representative, International Assets Investment Management, LLC. From 05/2012 to present

Registered Representative, International Assets Advisory, LLC., 07/22/2010 to 11/2011

Item 3. Disciplinary Information

Mr. Wallgren does not have any history of material reportable disciplinary events.

Item 4. Other Business Activities

Mr. Wallgren is a registered securities representative with International Assets Advisory, LLC. (Hereinafter, "Related Broker"), a registered broker – dealer, member of the Financial Industry Regulatory Authority ("FINRA"), related to IAIM by virtue of common ownership and control. In these capacities, Mr. Wallgren may recommend securities, insurance, advisory, or other products, and receive normal transaction commission if products are purchased through related broker.

Item 5. Additional Compensation

Mr. Wallgren does not receive any additional compensation that is not otherwise listed in Item 4.

Item 6. Supervision

Edward Cofrancesco, President, is responsible for employee supervision and general business strategy of the firm. He can be reached at (407) 254-1500. The firm's Investment Committee is responsible for formulation and monitoring of investment advice offered to client, documenting investment meeting deliberations, overseeing all material investment policy changes, and conducting periodic testing to ensure that client objectives and mandates are being met. Ann Moore, Chief Compliance Officer, reviews all employee personal securities transactions on a quarterly basis.

Part 2A Appendix 1 of Form ADV: *Wrap Fee Program Brochure*

International Assets Investment Management LLC

300 South Orange Ave. #1100
Orlando, FL 32801

Telephone: 407-254-1500
Email: amoore@iaac.com

**International Assets Investment Management
Managed Account Solutions Program**

January 2015

This wrap fee program brochure provides information about the qualifications and business practices of International Assets Investment Management LLC. If you have any questions about the contents of this brochure, please contact us at 407-254-1500 or amoore@iaac.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about International Assets Investment Management LLC also is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. Our firm's CRD number is 144426.

Item 2 Material Changes

The SEC adopted "Amendments to Form ADV" in July, 2010. This Wrap Fee Program Brochure ("Wrap Brochure"), dated 1/07/2014 and updated January 2015, is our new disclosure document prepared according to the SEC's new requirements and rules. Although the format of this document is similar in many respects to prior Schedule H wrap fee program brochures, it does contain additional new information that was not previously required. See page 2 of the Form ADV Part 2A detailing a material change to the fee schedule.

After our initial filing of this Wrap Brochure, this Item will be used to provide our clients with a summary of new and/or updated information. We will inform you of the revision(s) based on the nature of the updated information.

Consistent with the new rules, we will ensure that you receive a summary of any material changes to this and subsequent Wrap Brochures within 120 days of the close of our business' fiscal year. Furthermore, we will provide you with other interim disclosures about material changes as necessary.

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Item 4 Services Fees and Compensation

SERVICES

International Assets Investment Management LLC is a SEC-registered investment adviser with our principal place of business located in Florida. International Assets Investment Management LLC has been in existence since 2007 and we began conducting WRAP Fee business in 2012.

We sponsor the International Assets Investment Management Managed Account Solutions Program (the "Program"), a wrap fee program. A wrap fee program is an advisory program under which a specified fee or fees not based directly on transactions in the client's account is charged for advisory services, which may include portfolio management or advice concerning the selection of other investment advisers, and the execution of client transactions.

You may obtain a copy of the Wrap Fee Program Brochure(s) for any additional program by contacting us by calling *Ann Moore (407)254-1500* or by mail at *300 South Orange Ave. #1100, Orlando, FL 32801*.

This Wrap Fee Program Brochure is limited to describing the services, fees, and other necessary information clients should consider prior to becoming a client within the Program. For a complete description of the other services and fees offered by our firm, clients should refer to our Form ADV Part 2: Firm Brochure.

You may obtain a copy of our Firm Brochure by contacting us at *Ann Moore (407)254-1500* or by mail at *300 South Orange Ave. #1100, Orlando, FL 32801*.

SEPARATELY MANAGED ACCOUNT ("SMA") PROGRAM

Clients participating in the International Assets Investment Management Managed Account Solutions Program receive continual advice regarding the investment of their funds based on their individual needs. Through personal discussions in which goals and objectives based on the client's particular circumstances are established, we develop the client's personal investment policy which serves as the basis for managing the client's portfolio. During our data-gathering process, we determine the client's individual objectives, time horizons, risk tolerance, and liquidity needs. We may also review and discuss a client's prior investment history, as well as family composition and background.

Clients may impose reasonable restrictions on investing in certain securities, types of securities, or industry sectors.

As a sponsor of this Program, we have established contractual relationships with third party money management firms to enable our clients' to utilize a broad spectrum of professional investment managers with various specialties and investment strategies (i.e., large cap, small cap, fixed-income, global/international, etc.), as well as asset allocation or asset management services.

International Assets Investment Management Managed Account Solutions Program clients sign separate agreements with our firm and each of the selected managers. Clients participating in this program will have their investment portfolio(s) managed on a discretionary or non-discretionary basis according to the specific investment style selected by the client.

As part of our consulting and administrative services, the duties of our firm's investment adviser representatives ("IARs") include assisting the client to identify and define and investment objectives and choosing appropriate portfolio managers, setting restrictions or limitations on the management of the account, explaining portfolio strategies and transactions and answering client questions. Furthermore, International Assets Investment Management LLC reviews the performance of the selected portfolio manager(s) on at least an annual basis and prior to introducing clients to the non-affiliated investment advisers.

A complete description of the third party manager's services, fee schedules and account minimums (if any) will be disclosed in the independent manager's applicable Form ADV disclosure brochure(s) which will be provided to clients at the time an agreement for services is executed and account is established.

FEES

The annualized fees for this program are charged as a percentage of the value of the client's portfolio and typically fall within a range of 1.5% to 2.50% annually.

How are Fees Charged: Program fees are charged quarterly in advance. If management begins after the start of a quarter, Program fees will be prorated accordingly. When authorized by the client, fees will be debited from the account in accordance with the terms set forth in the Investment Management Agreement ("IMA").

Payments to Investment Managers: On the client's behalf, International Assets Investment Management LLC pays a portion of the fee we receive from the client to the selected investment manager(s) for that investment manager's services to the client, calculated on the value of assets in the client's account managed by that particular investment manager. The independent manager will typically receive 50 bps of the fee paid to our firm.

Although these amounts may be changed from time to time without notice to clients, such changes will not impact the fees paid by clients.

What services are covered by the Program fees: The Program fees pay for our firm's advisory services to clients, brokerage and custodial fees as well as administrative expenses of the Program.

Upon entering into a wrap fee account arrangement with International Assets Investment Management LLC, clients are free to designate any broker-dealer for executing securities transactions, including their continuation of a previous broker-

dealer relationship. Clients can also elect to designate wrap Custodian as their executing broker-dealer. Payments to the broker-dealer for its execution services will be accomplished via the wrap fee charges to the account. Clients should be aware that while we strive to maintain our fiduciary duty to clients at all times, there may be an incentive for us to trade less actively in client accounts because we assume the costs of executing transactions for all accounts within this program.

Other Fees and Expenses: Clients may incur charges for other account services provided not directly related to the execution and clearing of transactions, including, but not limited to: IRA custodial fees, safekeeping fees, wire transfer fees, interest charges on margin loans, exchange fees, and fees for transfers of securities.

Additional Information about Program fees: Under the Program, the participant receives investment advisory services, the execution of securities brokerage transactions, custody and reporting services for a single specified Program Fee. Clients are cautioned that depending on the level of fees charged by the executing broker-dealer, and the amount of portfolio activity in the clients' account, the value of the services provided under this Program may exceed the total cost of such services had they been provided separately. In addition, the Program Fee may be higher or lower than that charged by other sponsors of comparable wrap fee programs. In addition, a disparity in wrap fees may exist between the wrap fees charged to other clients.

GENERAL INFORMATION

Termination of the Advisory Relationship: A client agreement may be canceled at any time, by either party, for any reason upon receipt of 30 days written notice. As disclosed above, certain fees are paid in advance of services provided. Upon termination of any account, any prepaid, unearned fees will be promptly refunded. In calculating a client's reimbursement of fees, we will pro rate the reimbursement according to the number of days remaining in the billing period.

Mutual Fund Fees: All fees paid to International Assets Investment Management LLC for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds and/or ETFs to their shareholders. These fees and expenses are described in each fund's prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. If the fund also imposes sales charges, a client may pay an initial or deferred sales charge. A client could invest in a mutual fund directly, without our services. In that case, the client would not receive the services provided by our firm which are designed, among other things, to assist the client in determining which mutual fund or funds are most appropriate to each client's financial condition and objectives. Accordingly, the client should review both the fees charged by the funds and our fees to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.

Exchange-Traded Funds: Shares of ETFs held in client accounts are bought and sold

on an exchange and not, like mutual funds, directly from the fund itself. The price of ETF shares fluctuates in accordance with changes in the net asset value (NAV) per share, as well as in response to market supply and demand. Accordingly, ETF shares may trade at a price which differs from NAV per share of the ETF.

Grandfathering of Minimum Account Requirements: Pre-existing advisory clients are subject to International Assets Investment Management LLC's minimum account requirements and advisory fees in effect at the time the client entered into the advisory relationship. Therefore, our firm's minimum account requirements will differ among clients.

ERISA Accounts: International Assets Investment Management LLC is deemed to be a fiduciary to advisory clients that are employee benefit plans or individual retirement accounts (IRAs) pursuant to the Employee Retirement Income and Securities Act ("ERISA"), and regulations under the Internal Revenue Code of 1986 (the "Code"), respectively. As such, our firm is subject to specific duties and obligations under ERISA and the Internal Revenue Code that include among other things, restrictions concerning certain forms of compensation. To avoid engaging in prohibited transactions, International Assets Investment Management LLC may only charge fees for investment advice about products for which our firm and/or our related persons do not receive any commissions or 12b-1 fees, or conversely, investment advice about products for which our firm and/or our related persons receive commissions or 12b-1 fees, however, only when such fees are used to offset International Assets Investment Management LLC's advisory fees.

Advisory Fees in General: Clients should note that similar advisory services may (or may not) be available from other registered (or unregistered) investment advisers for similar or lower fees.

Limited Prepayment of Fees: We typically do not require or solicit payment of fees in excess of \$1200 more than six months in advance of services rendered; however, for larger account sizes, the semi-annual fees may be greater than \$1,200.

COMPENSATION

We enter into marketing arrangements with independent investment adviser and/or broker-dealer firms pursuant to which representatives of their firms ("Solicitors") offer our services, including participation in this program, to the public. Through these arrangements, we pay a cash referral fee to the Solicitor and/or their firm based upon a percentage of our advisory fee. The payment of referrals fees will not increase the amount of the fees paid by program participants. However, clients should be aware that the receipt of this compensation may create an incentive for the individual to recommend participation in this program over others for which no such compensation may be received.

As required by applicable law, the details of the solicitation arrangement, including the compensation payable to the solicitor, will be described to the client in a separate document provided to the client at the time of the referral.

Item 5 Account Requirements and Types of Clients

MINIMUM ACCOUNT REQUIREMENTS

Participation in this program is subject to certain minimum account requirements. For a more detailed understanding of these requirements, please review the disclosures provided in the preceding section.

The Program's portfolio managers do impose minimum investment requirements for client participation.

TYPES OF CLIENTS

International Assets Investment Management LLC provides advisory services in the International Assets Investment Management Managed Account Solutions Program, where appropriate, to:

- Individuals
- Pension & Profit Sharing Plans
- Trusts
- Estates
- Corporations
- Charitable Organizations
- Partnerships
- Other **business entities**

Item 6 Portfolio Manager Selection and Evaluation

PORTFOLIO MANAGER SELECTION

As previously disclosed, International Assets Investment Management LLC recommends the services of independent managers to provide asset management services to our clients based on the client's individualized needs. A portion or all of the client's assets will then be managed by the independent manager based upon the stated investment objectives of the client.

The recommendation of an independent asset manager is contingent upon that manager passing our due diligence requirements. International Assets Investment

Management LLC will not recommend the use of an independent manager unless the manager is registered or exempt from registration as an investment adviser in the client's home state. We evaluate independent asset managers based on extensive information provided by that manager, including descriptions of its investment process, asset allocation strategies, sample portfolios, and the independent manager's disclosure brochure(s). We also analyze performance, risk characteristics and management style.

Investment managers are selected by the client after a profile interview is completed with the prospective client. A manager is selected whose discipline style and manner is most compatible with the client's risk/reward performance. Manager review is performed at least annually. If the client is dissatisfied with that manager for any reason, a more in-depth review of the manager's performance is conducted consistent with the client's financial profile. If there are meaningful inconsistencies between the two, typically that manager will be replaced.

Circumstances under which an asset manager might be removed include (but are not limited to) poor performance, significant departure from the manager's stated investment discipline, or material changes in the organization. Similarly, International Assets Investment Management LLC may, as an alternative, recommend changing managers for any of the foregoing or other reasons, including a client's dissatisfaction.

PORTFOLIO PERFORMANCE REPORTING

Performance information may be provided directly to clients by certain investment managers. International Assets Investment Management LLC does not conduct reviews of reported portfolio manager performance, nor do we engage any third-party to conduct such reviews. Accordingly, the information being reported may or may not be calculated on a uniform and consistent basis.

AFFILIATED PORTFOLIO MANAGERS

As previously disclosed, we may only manage certain client assets in this program some client assets are managed by our portfolio managers using the Individual Asset Selection Program or the World Class Money Management Strategy of International Assets Investment Management, LLC. Please refer to Item 4 for a detailed description of International Assets Investment Management Managed Account Solutions Program's services and fees.

Item 7 Client Information Provided to Portfolio Managers

Individuals affiliated with our firm are responsible for developing an initial financial profile of the prospective client. Prior to opening an account, we assist in determining a participant's profile for the Program by obtaining from the participant appropriate information (i.e., investment objectives, risk tolerance, time horizon, and any reasonable restrictions the client wishes to impose upon the management of the account). Initial investment strategy is jointly determined based on an assessment of the information

provided by the client.

While we provide the client with periodic reminders, it remains the client's responsibility to advise us of any changes to the information previously provided that might impact the ongoing suitability of any prior determined investment strategy(ies) and/or objectives. We will promptly communicate any reported changes to the client's portfolio manager.

International Assets Investment Management LLC's investment adviser representative will directly contact each wrap fee program client at least annually to verify that there has been no change in the client's financial circumstances and/or investment objectives, and determine whether the client wishes to impose any reasonable restrictions on the management of the account(s). Any such changes or requests are communicated in writing to the client's portfolio manager, who is responsible for implementing appropriate adjustments to the client's portfolio.

Item 8 Client Contact With Portfolio Managers

Clients utilizing third party portfolio managers for management of their assets generally do not come in contact with their portfolio managers. In this program, International Assets Investment Management LLC's representatives typically serve as the communication conduit between the client and the independent manager. Clients are required to contact their financial consultant with any questions they may have regarding their account(s).

Item 9 Additional Information

Disciplinary Information

We are required to disclose any legal or disciplinary events that are material to a client's or prospective client's evaluation of our advisory business or the integrity of our management.

Our firm and our management personnel have no reportable disciplinary events to disclose.

Other Financial Industry Activities and Affiliations

MANAGEMENT PERSONNEL Registrations: Management personnel of our firm are separately licensed as registered representatives of International Assets Advisory, LLC and IAA Financial, LLC, affiliated FINRA member broker-dealers / municipal securities dealers.

These individuals, in their separate capacity, can effect securities transactions for which they will receive separate, yet customary compensation.

While International Assets Investment Management LLC and these individuals

endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by International Assets Investment Management LLC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. International Assets Investment Management LLC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

As previously disclosed, we recommend the services of various registered investment advisers to its clients. In exchange for this recommendation, we receive a referral fee from the selected investment adviser. The fee received by us is typically a percentage of the fee charged by that investment adviser to the referred client. The portion of the advisory fee paid to us does not increase the total advisory fee paid to the selected investment adviser by the client. We do not charge the client any fees for these referrals. We will only recommend advisers that pay us a referral fee.

We are aware of the special considerations required under Rule 206(4)-3 of the Investment Advisers Act of 1940. As such, all appropriate disclosure shall be made and all applicable Federal and State laws will be observed.

Clients should be aware that the receipt of additional compensation by International Assets Investment Management LLC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. International Assets Investment Management LLC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for us or our employees to earn compensation from the referral of clients to other registered investment advisers;
- we disclose to the client in a separate disclosure document the compensation we receive in exchange for the client's referral to the selected investment adviser;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we conduct initial and periodic due diligence on the selected investment advisers to establish that the advisers are suitable to recommend to our clients; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Our firm has adopted a Code of Ethics which sets forth high ethical standards of business conduct that we require of our employees, including compliance with applicable federal securities laws.

International Assets Investment Management LLC and our personnel owe a duty of loyalty, fairness and good faith towards our clients, and have an obligation to adhere not only to the specific provisions of the Code of Ethics but to the general principles that guide the Code.

Our Code of Ethics includes policies and procedures for the review of quarterly securities transactions reports as well as initial and annual securities holdings reports that must be submitted by the firm's access persons. Among other things, our Code of Ethics also requires the prior approval of any acquisition of securities in a limited offering (e.g., private placement) or an initial public offering. Our code also provides for oversight, enforcement and recordkeeping provisions.

International Assets Investment Management LLC's Code of Ethics further includes the firm's policy prohibiting the use of material non-public information. While we do not believe that we have any particular access to non-public information, all employees are reminded that such information may not be used in a personal or professional capacity.

A copy of our Code of Ethics is available to our advisory clients and prospective clients. You may request a copy by email sent to amoore@iaac.com, or by calling us at 407-254-1500.

International Assets Investment Management LLC or individuals associated with our firm may buy securities for the firm or for themselves from our advisory clients; or sell securities owned by the firm or the individual(s) to our advisory clients. We will ensure, however, that such transactions are conducted in compliance with all the provisions under Section 206(3) of the Advisers Act governing principal transactions to advisory clients.

International Assets Investment Management LLC may, at times, effect an agency cross transaction for an advisory client, provided that the transaction is consistent with our firm's fiduciary duty to the client and that all requirements outlined in Sec. 206(3)-2 of the Investment Advisers Act of 1940 are met.

Our Code of Ethics is designed to assure that the personal securities transactions, activities and interests of our employees will not interfere with (i) making decisions in the best interest of advisory clients and (ii) implementing such decisions while, at the same time, allowing employees to invest for their own accounts.

Our firm and/or individuals associated with our firm may buy or sell for their personal accounts securities identical to or different from those recommended to our clients. In addition, any related person(s) may have an interest or position in a certain security(ies) which may also be recommended to a client.

It is the expressed policy of our firm that no person employed by us may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, thereby preventing such employee(s) from benefiting from transactions placed on behalf of advisory accounts.

We may aggregate our employee trades with client transactions where possible and when compliant with our duty to seek best execution for our clients. In these instances, participating clients will receive an average share price and transaction costs will be shared equally and on a pro-rata basis. In the instances where there is a partial fill of a particular batched order, we will allocate all purchases pro-rata, with each account paying the average price. Our employee accounts will be *included* in the pro-rata allocation.

As these situations represent actual or potential conflicts of interest to our clients, we have established the following policies and procedures for implementing our firm's Code of Ethics, to ensure our firm complies with its regulatory obligations and provides our clients and potential clients with full and fair disclosure of such conflicts of interest:

1. No principal or employee of our firm may put his or her own interest above the interest of an advisory client.

2. No principal or employee of our firm may buy or sell securities for their personal portfolio(s) where their decision is a result of information received as a result of his or her employment unless the information is also available to the investing public.
3. It is the expressed policy of our firm that no person employed by us may purchase or sell any security prior to a transaction(s) being implemented for an advisory account. This prevents such employees from benefiting from transactions placed on behalf of advisory accounts.
4. Our firm requires prior approval for any IPO or private placement investments by related persons of the firm.
5. We maintain a list of all reportable securities holdings for our firm and anyone associated with this advisory practice that has access to advisory recommendations ("access person"). These holdings are reviewed on a regular basis by our firm's Chief Compliance Officer or his/her designee.
6. We have established procedures for the maintenance of all required books and records.
7. All clients are fully informed that related persons may receive separate commission compensation when effecting transactions during the implementation process.
8. Clients can decline to implement any advice rendered, except in situations where our firm is granted discretionary authority.
9. All of our principals and employees must act in accordance with all applicable Federal and State regulations governing registered investment advisory practices.
10. We require delivery and acknowledgement of the Code of Ethics by each supervised person of our firm.
11. We have established policies requiring the reporting of Code of Ethics violations to our senior management.
12. Any individual who violates any of the above restrictions may be subject to termination.

As previously disclosed, related persons of our firm are separately registered as *securities representatives of a broker-dealer, and/or licensed as an insurance agent/broker of various insurance companies*. Please refer to the preceding section for a detailed explanation of these relationships and important conflict of interest disclosures.

Review of Accounts

International Assets Investment Management LLC reviews client accounts no less often than quarterly. More frequent reviews may be triggered in the event of changes in management style or fund closures. Account reviews are conducted by Ann Moore Chief Compliance Officer.

At least annually, we meet with the client (either in person or over the phone) to review and update, as necessary, the client's investment profile. However, should there be any material change in the client's personal and/or financial situation, we should be notified immediately to determine whether any review and/or revision of the client's investment profile is warranted.

All clients receive account statements from their custodian on at least a quarterly basis. Additionally, we provide clients with periodic performance measurement summary reports, usually on a quarterly, basis.

Client Referrals and Other Compensation

International Assets Investment Management LLC receives client referrals for participation in the Program ("Program Participant") through representatives of affiliated broker-dealer firms and investment adviser firms (these firms are referred to in this brochure as "Financial Advisory Firm(s)" and their representatives are referred to as the "Financial Counselor(s)"). The Financial Counselor consults with the Program Participants to assess their financial situation and identify their investment objectives in order to implement appropriate strategy(ies) designed to meet the Program Participant's financial needs.

Financial Advisory Firms, which are registered as investment advisers and/or broker-dealers or exempt from such registration, receive fees for their services and compensation from International Assets Investment Management LLC for referrals of Program Participants. The amount of compensation earned for these referrals may be greater than the compensation that would otherwise be received if the services were provided separately. Accordingly, there may be a greater incentive for these individuals to recommend participation in this program.

It is International Assets Investment Management LLC's policy not to accept or allow our related persons to accept any form of compensation, including cash, sales awards or other prizes, from a non-client in conjunction with the advisory services we provide to our clients.

Financial Information

As an advisory firm that maintains discretionary authority for client account, is required to provide a copy of our firm's balance sheet, we are also required to disclose any financial condition that is reasonable likely to impair our ability to meet our contractual obligations. International Assets Investment Management LLC has no additional financial circumstances to report.

Under no circumstances do we require or solicit payment of fees in excess of \$1200 per client more than six months in advance of services rendered. Therefore, we are not required to include a financial statement.

International Assets Investment Management LLC has not been the subject of a bankruptcy petition at any time during the past ten years.

