

**Brochure Supplement**  
**Item 1: Cover Page for Part 2B of Form ADV**  
**April 2016**

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**Larry Sabin**

PO Box 979  
Gig Harbor, WA 98335

Firm Contact:  
Larry Sabin  
Chief Compliance Officer

Firm's Website Address:  
[www.sabininvest.com](http://www.sabininvest.com)

This brochure supplement provides information about Larry Sabin that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Larry Sabin at 206-999-2014 if you did not receive SIM's brochure or if you have any questions about the contents of this supplement.

Additional information about SIM and Larry Sabin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #1077951.

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## **Item 2: Educational Background and Business Experience**

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### **Larry Sabin**

Year of Birth: 1957

#### **Education:**

- 1981 – Brigham Young University; BA in Business Management

#### **Business Background:**

- 04/2007 – Present      Sabin Investment Management, Inc  
President & Chief Compliance Officer
- 06/2000 – 04/2007      Larry D. Sabin & Company  
Sole Proprietor Investment Adviser
- 06/2000 – 10/2003      Pacific West Securities, Inc  
Registered Representative

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## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of Larry Sabin.

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## **Item 4: Other Business Activities**

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Larry Sabin does not have any outside business activity to disclose.

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## **Item 5: Additional Compensation**

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Larry Sabin does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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## **Item 6: Supervision**

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Mr. Sabin is the firm's sole owner and Chief Compliance Officer of SIM and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics and Written Supervisory Procedures. Please contact Mr. Sabin if you have any questions about Mr. Sabin's brochure supplement at 206-999-2014.

## **Item 7: Requirements for State-Registered Advisers**

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Larry Sabin has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Scott Smith**

PO Box 979  
Gig Harbor, WA 98335

Firm Contact:  
Larry Sabin  
Chief Compliance Officer

Firm's Website Address:  
[www.sabininvest.com](http://www.sabininvest.com)

This brochure supplement provides information about Scott Smith that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Larry Sabin at 206-999-2014 if you did not receive SIM's brochure or if you have any questions about the contents of this supplement.

Additional information about SIM and Scott Smith is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2412430.

## **Item 2: Educational Background and Business Experience**

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### **Scott Gerrard Smith**

Year of Birth: 1960

#### **Education:**

- 1988: Brigham Young University; BA in Political Science
- 2002: Golden Gate University; Masters of Finance in Financial Planning

#### **Business Background:**

- 07/2008 – Present      Sabin Investment Management, Inc  
Investment Adviser Representative
- 01/2006 – 07/2008      Key Private Bank (KeyBank N.A.)  
VP/Financial Adviser
- 11/1993 – 12/2005      Fidelity Investments  
VP/Senior Account Executive

#### **Exams, Licenses & Professional Designations**

- 1994: Series 7
- 1994: Series 65

## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of Scott Smith.

## **Item 4: Other Business Activities**

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As of August 2015, Scott Smith became the trustee of a related account and spends approximately an hour a week on such activity.

## **Item 5: Additional Compensation**

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Scott Smith does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

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Mr. Sabin, Chief Compliance Officer of SIM, supervises and monitors Mr. Smith's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Sabin if you have any questions about Mr. Smith's brochure supplement at 206-999-2014.

## **Item 7: Requirements for State-Registered Advisers**

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A. In addition to the events listed in Item 3 of Part 2B, if Scott Smith has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Scott Smith has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

Mr. Smith filed for personal bankruptcy in 1989. Primary cause for medical bills, full and total discharge granted, no impact on business.

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**Troy Colby**

PO Box 979  
Gig Harbor, WA 98335

Firm Contact:  
Larry Sabin  
Chief Compliance Officer

Firm's Website Address:  
[www.sabininvest.com](http://www.sabininvest.com)

This brochure supplement provides information about Troy Colby that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Larry Sabin at 206-999-2014 if you did not receive SIM's brochure or if you have any questions about the contents of this supplement.

Additional information about SIM and Troy Colby is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #6327283.

## **Item 2: Educational Background and Business Experience**

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### **Troy Richard Colby**

Year of Birth: 1979

### **Education:**

No formal education after high school.

### **Business Background:**

- 04/2014 – Present Sabin Investment Management, Inc.  
Investment Adviser Representative
- 09/2010 – Present TNT Construction; Owner
- 02/2010 – 09/2012 Colby's Clean Up; Owner
- 04/2004 – 09/2010 Conco West; Carpenter

### **Exams, Licenses & Professional Designations:**

- 2013 – Series 65
- 2010 – CA General Contractor's License

## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of Troy Colby.

## **Item 4: Other Business Activities**

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Mr. Colby has a construction business, TNT Construction. Mr. Colby in his individual capacity may receive additional compensation from this outside business activity. The services provided by TNT Construction are governed under a separate engagement and clients will not be actively solicited. Mr. Colby spends approximately 10% of his time on this activity.

## **Item 5: Additional Compensation**

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Troy Colby does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

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Mr. Sabin, Chief Compliance Officer of SIM, supervises and monitors Mr. Colby's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Sabin if you have any questions about Mr. Colby's brochure supplement at 206-999-2014.



## **Item 7: Requirements for State-Registered Advisers**

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Troy Colby has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Allen Woodward**

PO Box 979  
Gig Harbor, WA 98335

Firm Contact:  
Larry Sabin  
Chief Compliance Officer

Firm's Website Address:  
[www.sabininvest.com](http://www.sabininvest.com)

This brochure supplement provides information about Allen Woodard that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Larry Sabin at 206-999-2014 if you did not receive SIM's brochure or if you have any questions about the contents of this supplement.

Additional information about SIM and Allen Woodard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #5862845.

## **Item 2: Educational Background and Business Experience**

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### **Allen Brown Woodard**

Year of Birth: 1955

#### **Education:**

- 1979: University of Montana; BFA (Summa Cum Laude)

#### **Business Background:**

- 10/2010 – Present      Sabin Investment Management, Inc  
Investment Adviser Representative
- 06/1998 – 08/2010      Woodard & Company  
Owner, Strategist & Creative Director

#### **Exams, Licenses, and Professional Designations**

- 2010: Series 65

## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of Allen Woodard.

## **Item 4: Other Business Activities**

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Allen Woodard does not have any outside business activities to disclose.

## **Item 5: Additional Compensation**

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Allen Woodard does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

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Mr. Sabin, Chief Compliance Officer of SIM, supervises and monitors Mr. Woodard's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Sabin if you have any questions about Mr. Woodard's brochure supplement at 206-999-2014.

## **Item 7: Requirements for State-Registered Advisers**

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Allen Woodard has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.