

# **Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Telephone: 330-893-2600  
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**June 14, 2016**

## **FORM ADV PART 2A BROCHURE**

This brochure provides information about the qualifications and business practices of Hummel Wealth Management, LLC. If you have any questions about the contents of this brochure, contact us at 330-893-2600. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Hummel Wealth Management, LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number for Hummel Wealth Management, LLC is 143523.

Hummel Wealth Management, LLC is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

## Item 2 Material Changes

Form ADV Part 2 requires registered investment advisers to amend their brochure when information becomes materially inaccurate. If there are any material changes to an adviser's disclosure brochure, the adviser is required to notify you and provide you with a description of the material changes.

Since our last annual updating amendment dated February 25, 2015 we have made the following updates to our brochure:

- We no longer offer portfolio management services to individuals and have removed that service description and any information associated with it. As such, we are no longer eligible to remain registered with the SEC and are in the process of transitioning to state registration.

### Item 3 Table Of Contents

Item 1 Cover Page	Page 1
Item 2 Material Changes	Page 2
Item 3 Table Of Contents	Page 3
Item 4 Advisory Business	Page 4
Item 5 Fees and Compensation	Page 4
Item 6 Performance-Based Fees and Side-By-Side Management	Page 6
Item 7 Types of Clients	Page 6
Item 8 Methods of Analysis, Investment Strategies and Risk of Loss	Page 6
Item 9 Disciplinary Information	Page 7
Item 10 Other Financial Industry Activities and Affiliations	Page 7
Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading	Page 8
Item 12 Brokerage Practices	Page 9
Item 13 Review of Accounts	Page 10
Item 14 Client Referrals and Other Compensation	Page 10
Item 15 Custody	Page 10
Item 16 Investment Discretion	Page 10
Item 17 Voting Client Securities	Page 10
Item 18 Financial Information	Page 11
Item 19 Requirements for State Registered Advisers	Page 11
Item 20 Additional Information	Page 11

## Item 4 Advisory Business

Hummel Wealth Management, LLC is a state registered investment adviser based in Berlin, Ohio. Our firm is organized as a limited liability company in the State of Ohio. We have been providing investment advisory services since 2007. Our firm is 100% owned by Hummel Financial Advisors, Ltd.

As used in this brochure, the words "we", "our" and "us" refer to Hummel Wealth Management, LLC and the words "you", "your" and "client" refer to you as either a client or prospective client of our firm. Also, you may see the term Associated Person throughout this Brochure. As used in this Brochure, our Associated Persons are our firm's officers, employees, and all individuals providing investment advice on behalf of our firm.

### Pension Consulting Services

We offer non-discretionary pension consulting services to employee benefit plans and their fiduciaries based upon the needs of the plan and the services requested by the plan sponsor or named fiduciary. In general, these services may include an existing plan review and analysis, plan-level advice regarding fund selection and investment options, education services to plan participants, investment performance monitoring, and/or ongoing consulting.

All client accounts are regulated under the Employee Retirement Income Securities Act ("ERISA"). We will provide consulting services to the plan fiduciaries as described above. Without exception, the ultimate decision to act on behalf of the plan shall remain with the plan sponsor or other named fiduciary. The plan fiduciary is free to seek independent advice about the appropriateness of any recommended services for the plan.

Certain legacy client agreements may authorize discretionary authority; however, we no longer offer discretionary services and generally will not accept such an appointment.

### Types of Investments

We primarily offer advice on mutual funds. Additionally, we may recommend other types of investments since each client has different needs and different tolerances for risk.

Upon your request, we may advise you on any type of investment or product that is appropriate and suitable based on your stated goals and objectives.

### Assets Under Management

As stated above, we primarily provide pension consulting services to employee benefit plans. We do not provide continuous portfolio management services through Hummel Wealth Management, LLC; therefore, we do not have any regulatory assets under management.

## Item 5 Fees and Compensation

### Pension Consulting Services

We will be compensated at a rate negotiated between you and our firm on a case-by-case basis. Our fees and terms of payment will be clearly set forth in the executed agreement for services. The final fee shall be directly dependent upon the size of the plan, scope and the complexity of the pension consulting services provided. In most cases our annual fee is based on a percentage of plan assets; however in certain circumstances (for instance where the scope of services is limited) we may enter into a fixed fee arrangement. Typically, asset based fees are deducted by the custodian on a quarterly basis in arrears, unless other payment arrangements are agreed to in writing. While the final fee is subject to negotiation, the fee schedule below represents the maximum annual fee that would be assessed for clients on a tiered fee schedule:

<b>Total Plan Assets</b>	<b>Maximum Annualized Fee</b>
\$0 - \$1,000,000	1.00%
\$1,000,001 - \$2,500,000	0.80%
\$2,500,001 - \$5,000,000	0.60%
\$5,000,001 - \$7,500,000	0.40%
\$7,500,001 - \$10,000,000	0.30%
Over \$10,000,000	0.25%

Either party to the pension consulting agreement may terminate the agreement upon 30-days' written notice to the other party. You will incur a pro rata charge for services rendered prior to the termination of the agreement, which means you will incur advisory fees only in proportion to the number of days in the quarter for which you are a client. If you have pre-paid advisory fees that we have not yet earned, you will receive a prorated refund of those fees.

In our discretion, we may pay, reimburse or otherwise offset/reduce our advisory fees in the event any third party termination fees are incurred within a client's account.

### **Additional Fees and Expenses**

As part of our investment advisory services, we may invest, or recommend that you invest, in mutual funds or exchange traded funds. The fees that you pay to our firm for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds or exchange traded funds (described in each fund's prospectus) to their shareholders. These fees will generally include a management fee and other fund expenses. You will also incur transaction charges and/or brokerage fees when purchasing or selling securities. These charges and fees are typically imposed by the broker-dealer or custodian through which your account transactions are executed. We do not share in any portion of the brokerage fees/transaction charges imposed by the broker-dealer or custodian. To fully understand the total cost you will incur, you should review all the fees charged by mutual funds, exchange traded funds, our firm, and others. For information on our brokerage practices, refer to the *Brokerage Practices* section below.

### **Compensation for the Sale of Securities or Other Investment Products**

Executive officers and other Associated Persons of our firm are also registered representatives with O.N. Equity Sales Company ("ONESCO"), an unaffiliated securities broker-dealer, and a member of FINRA and the Securities Investor Protection Corporation ("SIPC"). As such, these individuals are licensed to sell securities and insurance related products for *separate* commission based compensation, including 12b-1 fees for the sale of investment company products. This practice presents a conflict of interest because these persons may have an incentive to effect securities transactions for the purpose of generating commissions rather than solely based on your needs. You are under no obligation, contractually or otherwise, to purchase securities products through any person affiliated with our firm.

Certain Associated Persons of our firm may also be separately registered as investment adviser representatives of O.N. Investment Management Company ("ONIMCO"), an unaffiliated registered investment adviser. In this capacity, these individuals will provide investment advice to clients of ONIMCO and will receive separate fee-based compensation.

Executive officers and other Associated Persons of our firm may be licensed as independent insurance agents with Hummel Group, Inc., an affiliated licensed insurance agency. These persons will earn *separate* commission-based compensation for selling insurance products, including insurance products

they sell to you. The insurance products sold are transacted with a variety of insurance companies on a commission basis. You are under no obligation to purchase or apply for insurance or to use Associated Persons as brokers for insurance product purchases. If you decide to purchase or apply for insurance, or use Associated Persons as the broker for insurance products, a conflict may exist between your interest and that of our Associated Person. Refer to *Other Financial Industry Activities and Affiliations* below for additional disclosures on this topic.

At our discretion, we may offset our advisory fees to the extent our Associated Persons earn commissions in their separate capacities as registered representatives and/or licensed insurance agents.

## **Item 6 Performance-Based Fees and Side-By-Side Management**

We do not accept performance-based fees or participate in side-by-side management. Performance-based fees are fees that are based on a share of capital gains or capital appreciation of a client's account. Side-by-side management refers to the practice of managing accounts that are charged performance-based fees while at the same time managing accounts that are not charged performance-based fees. Our fees are calculated as described in the *Advisory Business* section above, and are not charged on the basis of a share of capital gains upon, or capital appreciation of, the funds in your advisory account.

## **Item 7 Types of Clients**

We typically offer advisory and consulting services to pension and profit sharing plans, trusts, estates, charitable organizations, corporations, and other business entities. We currently do not impose a minimum plan size to engage our firm for pension consulting services.

## **Item 8 Methods of Analysis, Investment Strategies and Risk of Loss**

We may use one or more of the following methods of analysis or investment strategies when providing investment advice to you:

- **Fundamental Analysis** - involves analyzing individual companies and their industry groups, such as a company's financial statements, details regarding the company's product line, the experience and expertise of the company's management, and the outlook for the company's industry. The resulting data is used to measure the true value of the company's stock compared to the current market value.
- **Technical Analysis** - involves studying past price patterns and trends in the financial markets to predict the direction of both the overall market and specific stocks.
- **Long Term Purchases** - securities purchased with the expectation that the value of those securities will grow over a relatively long period of time, generally greater than one year.

Our investment strategies and advice may vary depending upon each client's specific financial situation. As such, we determine investments and allocations based upon your predefined objectives, risk tolerance, time horizon, financial horizon, financial information, liquidity needs, and other various suitability factors. Your restrictions and guidelines may affect the composition of your portfolio.

Our strategies and investments may have unique and significant tax implications. However, unless we specifically agree otherwise, and in writing, tax efficiency is not our primary consideration in the management of your assets. Regardless of your account size or any other factors, we strongly recommend that you continuously consult with a tax professional prior to and throughout the investing of your assets.

Moreover, as a result of revised IRS regulations, custodians and broker-dealers began reporting the cost basis of equities acquired in client accounts on January 1, 2011. Your custodian will default to the FIFO accounting method for calculating the cost basis of your investments. You are responsible for contacting your tax advisor to determine if this accounting method is the right choice for you. If your tax advisor believes another accounting method is more advantageous, provide written notice to our firm immediately and we will alert your account custodian of your individually selected accounting method. Decisions about cost basis accounting methods will need to be made before trades settle, as the cost basis method cannot be changed after settlement.

### **Risk of Loss**

Investing in securities involves risk of loss that you should be prepared to bear. We do not represent or guarantee that our services or methods of analysis can or will predict future results, successfully identify market tops or bottoms, or insulate clients from losses due to market corrections or declines. We cannot offer any guarantees or promises that your financial goals and objectives will be met. Past performance is in no way an indication of future performance.

### **Recommendation of Particular Types of Securities**

As disclosed under the *Advisory Business* section above, we primarily recommend mutual funds. We use no load, load waived, or institutional share classes. Mutual funds are funds that are operated by an investment company that raises money from shareholders and invests it in stocks, bonds, and/or other types of securities. The fund will have a manager that trades the fund's investments in accordance with the fund's investment objective. The mutual funds charge a separate management fee for their services. The returns on mutual funds can be reduced by the costs to manage the funds. While mutual funds generally provide diversification, risks can be significantly increased if the fund is concentrated in a particular sector of the market. Funds that are sold through brokers are called load funds, and those sold to investors directly from the fund companies are called no-load funds. Mutual funds come in many varieties. Some invest aggressively for capital appreciation, while others are conservative and are designed to generate income for shareholders. Investors should carefully assess their tolerance for risk before they decide which fund is suitable for their account.

## **Item 9 Disciplinary Information**

Hummel Wealth Management, LLC has been registered and providing investment advisory services since 2007. Neither our firm nor any of our Associated Persons has any reportable disciplinary information.

## **Item 10 Other Financial Industry Activities and Affiliations**

### **Registrations with Broker-Dealer**

Persons providing investment advice on behalf of our firm are registered representatives with ONESCO, a securities broker-dealer. Refer to the *Fees and Compensation* section above for additional disclosures on this topic.

### **Registrations with Other Investment Advisers**

Persons providing investment advice on behalf of our firm may also be investment adviser representatives with ONIMCO, a registered investment adviser. Refer to the *Fees and Compensation* section above for additional disclosures on this topic.

### **Arrangements with Affiliated Entities**

We are affiliated with Hummel Group, Inc. d/b/a Hummel Insurance Agency, d/b/a Taylor Agency, d/b/a Allen & Hartzell through common control and ownership. Hummel Group, Inc. is the majority owner of Hummel Financial Advisors, Ltd., which is the 100% owner of Hummel Wealth Management, LLC. Hummel Group Inc., is also a majority owner of Yoder Insurance Agency, LLC and a 100% owner of Energy Risk Advisors, Ltd., d/b/a Oil & Gas Insurance Agency. Hummel Group, Inc. is a licensed insurance agency offering a variety of insurance products such as property and casualty, life, health, and long-term care. Certain of our Associated Persons are licensed insurance agents with Hummel Group, Inc. In this capacity, these individuals can offer various insurance products from a variety of product sponsors and earn commission for these activates. You should be aware that fees paid to us for advisory services are separate and distinct from the commissions earned from insurance product sales. Refer to the *Fees and Compensation* section above for additional disclosures on this topic.

These referral arrangements we have with our affiliated entities present a conflict of interest because we may have a financial incentive to recommend our affiliates' services. While we believe that compensation charged by our affiliates is competitive, such compensation may be higher than fees charged by other firms providing the same or similar services. You are under no obligation to use our affiliates' services and may obtain comparable services and/or lower fees through other firms.

## **Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

### **Description of Code of Ethics**

We have adopted a Code of Ethics that sets the standard of conduct expected to comply with applicable securities laws. Our goal is to protect your interests at all times and to demonstrate our commitment to our fiduciary duties of honesty, good faith, and fair dealing with you. We adhere strictly to these guidelines. Additionally, we maintain and enforce written policies reasonably designed to prevent the misuse or dissemination of material, non-public information about you or your account holdings by persons associated with our firm. Clients or prospective clients may contact us at (330) 893-2600 to request a copy of our Code of Ethics.

### **Participation or Interest in Client Transactions**

Neither our firm nor any of our Associated Persons has any material financial interest in client transactions beyond the provision of investment advisory services as disclosed in this Brochure.

### **Personal Trading Practices**

Our firm or persons associated with our firm may buy or sell the same securities that we recommend to you or securities in which you are already invested. A conflict of interest exists in such cases because we have the ability to trade ahead of you and potentially receive more favorable prices than you will receive. To mitigate this conflict of interest, it is our policy that neither our firm nor our Associated Persons shall have priority over your account in the purchase or sale of securities.

These requirements are not applicable to: (i) direct obligations of the Government of the United States; (ii) money market instruments, bankers' acceptances, bank certificates of deposit, commercial paper, repurchase agreements and other high quality short-term debt instruments, including repurchase agreements; (iii) shares issued by mutual funds or money market funds; and (iv) shares issued by unit investment trusts that are invested exclusively in one or more mutual funds.

## **Item 12 Brokerage Practices**

We recommend the brokerage and custodial services of ONESCO a securities broker-dealer and a member of the Financial Industry Regulatory Authority and the Securities Investor Protection Corporation. We believe that ONESCO provides quality execution services at competitive prices. Price is not the sole factor we consider in evaluating best execution. We also consider the quality of the brokerage services provided by ONESCO, including the value of research provided, the firm's reputation, execution capabilities, commission rates, and responsiveness to our clients and our firm. In recognition of the value of research services and additional brokerage products and services ONESCO provides, you may pay higher commissions and/or trading costs than those that may be available elsewhere.

The research products and services we may receive from ONESCO and Pershing, ONESCO's primary custodian, may include financial publications, information about particular companies and industries, research software, and other products or services that provide lawful and appropriate assistance to our firm in the performance of our investment decision-making responsibilities. Such research products and services are provided to all investment advisers who utilize ONESCO and Pershing, and are not considered to be paid for with soft dollars. However, the commissions charged by a particular broker for a particular transaction, or set of transactions, may be greater than the amounts another broker who did not provide research services or products might charge.

Persons providing investment advice on behalf of our firm who are registered representatives of ONESCO will recommend ONESCO to you for brokerage services. These individuals are subject to applicable rules that restrict them from conducting securities transactions away from ONESCO unless ONESCO provides the representative with written authorization to do so. Therefore, these individuals are generally limited to conducting securities transactions through ONESCO. It may be the case that ONESCO charges higher transaction costs and/or custodial fees than another broker charges for the same types of services. You may utilize the broker-dealer of your choice and have no obligation to purchase or sell securities through such broker as, we recommend. However, if you do not use ONESCO, we may not be able to accept your account. Refer to the *Fees and Compensation* section above for additional disclosures on this topic.

You should be aware that best execution and lower commissions may not necessarily be achieved if recommended transactions are placed through Associated Persons of our firm in their separate capacity as a registered representative of ONESCO or as a licensed insurance agent/broker.

### **Brokerage for Client Referrals**

We do not receive client referrals from broker-dealers in exchange for cash or other compensation, such as brokerage services or research.

### **Block Trades**

We do not combine multiple orders for shares of the same securities purchased for advisory accounts we manage (commonly referred to as "block trading") because we primarily invest in mutual funds.

## Item 13 Review of Accounts

If you engage us for Pension Consulting Services, your assigned investment advisor representative will ensure the advisory services are consistent with the scope of services agreed to in the advisory agreement. Formal reviews with you will be performed at least annually or more frequently depending on the scope of the engagement.

You will receive statements directly from account custodian(s) at least quarterly.

We may also provide you with periodic reports that generally include relevant account and/or market-related information such as an inventory and appraisal of account holdings, and investment performance.

If you receive reports from our firm, we encourage you to reconcile our reports with those received from the qualified custodian. If you find your holdings differ between these two statements, call our main office number located on the cover page of this brochure.

## Item 14 Client Referrals and Other Compensation

We do not directly or indirectly compensate non-employee (outside) consultants, individuals, and/or entities (Solicitors) for client referrals.

Persons providing investment advice on behalf of our firm are licensed insurance agents, and are registered representatives with ONESCO, an unaffiliated securities broker-dealer. These individuals may also be investment advisers representatives with ONIMCO, an unaffiliated registered investment adviser. Refer to the *Fees and Compensation* section above for additional disclosures on this topic.

Beyond the disclosures provided in this Brochure, we do not receive any compensation from any third party in connection with providing investment advice to you.

## Item 15 Custody

We do not have custody of client funds or securities. Plan assets are held with a bank, broker-dealer, or other qualified custodian. You will receive account statements from the qualified custodian(s) holding your funds and securities. If you have a question regarding your account statement or if you did not receive a statement from your custodian, contact your custodian directly.

## Item 16 Investment Discretion

We do not provide discretionary portfolio/asset management services; therefore, we will not accept discretionary authority or enter into any discretionary arrangements. You have an unrestricted right to decline to implement any advice or recommendations provided by our firm.

Please review the information found in the "Advisory Business" section of this brochure for further details regarding the types of services we provide to our clients.

## Item 17 Voting Client Securities

Without exception, we will not vote proxies on behalf of your advisory accounts.

## Item 18 Financial Information

We are not required to provide financial information to our clients because we do not:

- require the prepayment of more than \$1,200 in fees and six or more months in advance, or
- take custody of client funds or securities, or
- have a financial condition that is reasonably likely to impair our ability to meet our commitments to you.

## Item 19 Requirements for State Registered Advisers

Karl Schlabach, Chief Executive Officer, is responsible for the overall management of the firm. Joshua Hartzler is our Chief Compliance Officer and is responsible for developing and administering our compliance program.

Additional Information describing Mr. Schlabach's and Mr. Hartzler's education, business background, and outside business activities, is included in their ADV Part 2B supplements, shall be provided and incorporated by reference to this Brochure.

Our firm is not actively engaged in any business other than giving investment advice.

Neither our firm, nor any persons associated with our firm are compensated for advisory services with performance-based fees. Please refer to the "Performance-Based Fees and Side-By-Side Management" section above for additional information on this topic.

Neither our firm, nor any of our management persons have any reportable arbitration claims, civil, self-regulatory organization proceedings or administrative proceedings

## Item 20 Additional Information

### Privacy Policy

We view protecting your private information as a top priority. Pursuant to applicable privacy requirements, we have instituted policies and procedures to ensure that we keep your personal information private and secure.

We do not disclose any nonpublic personal information about you to any nonaffiliated third parties, except as permitted by law. In the course of servicing your account, we may share some information with our service providers, such as transfer agents, custodians, broker-dealers, accountants, consultants, and attorneys.

We maintain physical and procedural safeguards that comply with regulatory standards to guard your nonpublic personal information and to ensure our integrity and confidentiality. We will not sell information about you or your accounts to anyone. We do not share your information unless it is required to process a transaction, at your request, or required by law.

You will receive a copy of our privacy notice prior to or at the time you sign an advisory agreement with our firm. Thereafter, we will deliver a copy of the current privacy policy notice to you on an annual basis. Contact our firm at (330) 893-2600 if you have any questions regarding this policy.

**Class Action Lawsuits**

We do not determine if securities held by you are the subject of a class action lawsuit or whether you are eligible to participate in class action settlements or litigation nor do we initiate or participate in litigation to recover damages on your behalf for injuries as a result of actions, misconduct, or negligence by issuers of securities held by you.

**Karl David Schlabach, CLU, ChFC, CFP®**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Karl D. Schlabach that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Karl Schlabach (CRD # 3050103) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Karl David Schlabach, CLU, ChFC, CFP®**

*Year of Birth:* 1973

*Formal Education After High School:*

- Mount Vernon Nazarene University, B.A., Business Administration, 1996.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Chief Executive Officer/Chief Compliance Officer, 04/2007 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 11/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 04/1998 to Present.
- Hummel Group, Inc. DBA Hummel Insurance Agency, DBA RE Miller Insurance Agency, Account Specialist, 06/1996 to Present.

*Certifications:*

**Chartered Life Underwriter ("CLU"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

**Chartered Financial Consultant ("ChFC"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination,

administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Mr. Schlabach does not have, nor has he ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Mr. Schlabach is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Schlabach he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Schlabach may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Schlabach is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Schlabach may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Schlabach for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Schlabach is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Schlabach promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Schlabach for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr.

Schlabach an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Schlabach's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, investment adviser representative with ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Schlabach. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Karl Schlabach does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Joshua Michael Hartzler, CFP®**

**461 Wadsworth Road  
PO Box 3  
Orville, Ohio 44667**

**Phone: 330-683-1050**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Joshua M. Hartzler that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua Hartzler (CRD # 5571827) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Joshua Michael Hartzler, CFP®**

*Year of Birth:* 1984

*Formal Education After High School:*

- Mount Vernon Nazarene University, B.S., Mathematics, 2007.
- Mount Vernon Nazarene University, B.S., Accounting, 2007.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Chief Compliance Officer, 12/2014 to Present.
- Hummel Wealth Management, LLC, Investment Adviser Representative, 08/2013 to Present.
- O.N. Equity Sales Company, Registered Representative, 08/2013 to Present.
- Hummel Group, Inc., Retirement Plan Specialist, 07/2013 to Present.
- KM&M Pinnacle, Ltd., Investment Adviser Representative, 09/2007 to 07/2013.
- BOA, LLC, Member, 12/2009 to 07/2013.
- Summit Benefits Solutions, Pension Administrator, 06/2007 to 07/2013.

*Certifications:*

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field;

- and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Mr. Hartzler does not have, nor has he ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Mr. Hartzler is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Hartzler he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Hartzler may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

### Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Hartzler's receipt of additional compensation as a result of his activities as a registered representative of ONESCO.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

### Item 6 Supervision

Karl D. Schlabach is the Chief Executive Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Hartzler. Mr. Schlabach can be reached at 330-893-2600.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

### Item 7 Requirements for State Registered Advisers

Joshua Hartzler does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Ellis Y. Miller, J.D.**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44640**

**Phone: 330-893-0113**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Ellis Y. Miller that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ellis Y. Miller (CRD # 3117992) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Ellis Y. Miller, J.D.**

*Year of Birth:* 1955

*Formal Education After High School:*

- University of Kentucky, B.A., Communications, 1995.
- University of Kentucky College of Law, Juris Doctor, 1998.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 04/2007 to Present.
- O.N. Equity Sales Company, Registered Representative, 09/1998 to Present.
- The O.N. Investment Management Co., Investment Adviser Representative, 11/2001 to Present.

## Item 3 Disciplinary Information

Mr. Miller does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Miller is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Miller he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Miller may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Miller is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Ms. Miller may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Miller for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Miller is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Miller promotes his insurance business using the dba Pleasant Wealth, LLC. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Miller for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Miller an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Miller's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO, and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Miller. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Ellis Y. Miller does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Stephen K. Yoder, Jr., CLU, ChFC**

**461 Wadsworth Road  
Orrville, Ohio 44667**

**Phone: 330-683-1050**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Stephen K. Yoder, Jr. that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen K. Yoder (CRD # 1477783) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Stephen K. Yoder, Jr., CLU, ChFC**

*Year of Birth:* 1947

*Formal Education After High School:*

- Eastern Mennonite University, B.A., Music, 1974.
- Ohio University, M.A., Music, 1980.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 04/2007 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 04/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 03/1993 to Present.
- Hummel Group, Inc. DBA Hummel Insurance Agency, DBA RE Miller Insurance Agency, Vice President of Life and Financial Services/Agent, 10/1992 to Present.
- Windy Pines LLC, Owner, 12/2002 to Present.

*Certifications:*

**Chartered Life Underwriter ("CLU"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

**Chartered Financial Consultant ("ChFC"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

## Item 3 Disciplinary Information

Mr. Yoder does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Yoder is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Yoder he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Yoder may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Yoder is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Yoder may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Yoder for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Yoder is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Yoder promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Yoder for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Yoder an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Yoder's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, investment adviser representative with ONIMCO and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Yoder. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Stephen K. Yoder does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**David B. Schlabach, CLU**  
**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about David B. Schlabach that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about David B. Schlabach (CRD # 1860959) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### David B. Schlabach, CLU

*Year of Birth:* 1966

*Formal Education After High School:*

- Malone College, B.A., Business Administration, 1992.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 04/2007 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 03/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 08/1988 to Present.
- The O.N. Investment Management Co., Investment Adviser Representative, 11/2006 to 12/2007.

*Certifications:* **CLU**

### **Chartered Life Underwriter® (CLU®)**

This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

## Item 3 Disciplinary Information

Mr. Schlabach does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Schlabach is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Schlabach he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Schlabach may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Schlabach is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Schlabach may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Schlabach for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Schlabach is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Schlabach promotes his insurance business using the dba Dave Schlabach Financial Services. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Schlabach for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Schlabach an incentive to recommend investment or insurance products based on the compensation

received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Schlabach's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of David B. Schlabach. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

David B. Schlabach does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**John A. Burnquist, J.D.**

**1844 W. State Street, Suite C  
Alliance, Ohio 44601**

**Telephone : 330-821-6411**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about John A. Burnquist that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John A. Burnquist (CRD # 2502714) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**John A. Burnquist, J.D.**

*Year of Birth:* 1950

*Formal Education After High School:*

- Mt. Union College, B.A., Political Science, Economics, 1972.
- University of Akron School of Law, Juris Doctor, 1984.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 07/2008 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 03/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 05/1994 to Present.

## Item 3 Disciplinary Information

Mr. Burnquist does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Burnquist is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Burnquist he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Burnquist may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Burnquist is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Burnquist may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Burnquist for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Burnquist is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Burnquist promotes his insurance business using the dba Pleasant Wealth, LLC. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Burnquist for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Burnquist an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Burnquist's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Burnquist. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

John A. Burnquist does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Andrew D. Badertscher, CFP®**

**615 Riffel Road, Suite A  
Wooster, Ohio 44691**

**Phone: 330-345-3536**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Andrew D. Badertscher that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew D. Badertscher (CRD # 4031475) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Andrew D. Badertscher, CFP®

Year of Birth: 1976

#### Formal Education After High School:

- Baldwin -Wallace College, B.A., Economics and History, 1999.

#### Business Background for the Previous Five Years:

- Hummel Wealth Management, LLC, Investment Adviser Representative, 08/2007 to Present.
- O.N. Equity Sales Company, Registered Representative, 06/2007 to Present.
- O.N. Investment Management Co. - Investment Adviser Representative, 09/2011 - Present.
- Hummel Group, Inc. DBA Taylor Financial Services, Financial Advisor, 06/2007 to Present.
- Meaden & Moore Financial Services, Inc., Financial Advisor, 03/2007 to 06/2007.
- Hummel Group, Inc. DBA RE Miller Insurance Agency, Financial Advisor, 04/2003 to 03/2007.

#### Certifications:

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The

Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3 Disciplinary Information**

Mr. Badertscher does not have, nor has he ever had, any disciplinary disclosure.

### **Item 4 Other Business Activities**

Mr. Badertscher is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Badertscher he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Badertscher may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Badertscher is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Badertscher may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Badertscher for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Badertscher is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Badertscher promotes his insurance business using the dba The Taylor Agency. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Badertscher for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Badertscher an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

### **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Badertscher's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M.Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Badertscher. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Andrew D. Badertscher does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Andrew Stephen Miller, CLU, ChFC, CFP®**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Andrew Stephen Miller that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew Stephen Miller (CRD # 5615783) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Andrew Stephen Miller, CLU, ChFC, CFP®**

*Year of Birth:* 1985

*Formal Education After High School:*

- Bluffton University, B.A. Business Administration, 2008.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Assistant/Investment Adviser Representative, 06/2008 to Present.
- The O.N. Equity Sales Company, Registered Representative, 12/2008 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 11/2012 - Present.
- Hummel Insurance Group, Customer Service Group, 06/2008 to Present.
- Miller Mowing, Lawn Mowing Business, 04/2003 to Present.
- Beachy's Country Chalet, Server, 01/2004 to Present.

*Certifications:*

**Chartered Life Underwriter ("CLU"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

**Chartered Financial Consultant ("ChFC"):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination,

administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Mr. Miller does not have, nor has he ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Mr. Miller is a registered representative with The O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Miller he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Miller may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Miller is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Miller may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Miller for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Miller is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Miller promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Miller for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Miller an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Miller's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M.Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Miller. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Andrew Stephen Miller does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Arthur James Saylor**

**413 Main Street  
Coshocton, Ohio 43812**

**Phone: 740-622-0444**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Arthur James Saylor that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Arthur James Saylor (CRD # 4761298) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Arthur James Saylor

Year of Birth: 1966

Formal Education After High School:

- Ohio State University, Agricultural Education, 1985 - 1986.

Business Background for the Previous Five Years:

- Hummel Wealth Management, LLC, Investment Adviser Representative, 03/2009 to Present.
- O.N. Equity Sales Company, Registered Representative, 08/2008 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 04/2013 to Present.
- Home Loan Financial Services, Registered Representative, 07/2008 to Present.
- Ohio National Financial Services, Career Agent, 06/2008 to Present.
- Amerus, Agent, 02/2004 to Present.
- Albertson-Lawrence Agency, Representative, 06/2004 to 07/2008.
- Ameritas Investment Corp., Registered Representative, 06/2004 to 07/2008.
- Dome Financial Services, Associate, 06/2003 to 07/2008.

## Item 3 Disciplinary Information

Mr. Saylor does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Saylor is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Saylor he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Saylor may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic. Similarly, Mr. Saylor is also separately licensed as an independent insurance agent. In this capacity, he can sell insurance products and earn commissions for these activities.

Currently, Mr. Saylor is a vice president with Home Loan Financial Services, Inc. Home Loan Financial Services, Inc. ("HLFS") is affiliated with The Home Loan Savings Bank and is a wholly-owned subsidiary of Home Loan Financial Corporation. HLFS was formed to market and promote insurance and securities products offered and sold through ONESCO and Ohio National Life Insurance Company and Ohio National Life Assurance Corporation, respectively.

The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Saylor for insurance and securities related activities.

Mr. Saylor is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Saylor may recommend services of ONIMCO where appropriate. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Saylor for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Saylor an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Saylor's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Saylor. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Arthur James Saylor does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Elizabeth Kate Hand CFP®**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-0113**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Elizabeth Kate Hand that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Elizabeth Kate Hand (CRD # 5832374) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Elizabeth Kate Hand, CFP®**

*Year of Birth: 1987*

*Formal Education After High School:*

- Mt. Vernon Nazarene University, B.S., Financial Management, 2010
- Montana State University, M.S., Health & Human Development Focused in Family Financial Planning, 2014

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Assistant/Investment Adviser Representative, 05/2010 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 01/2014 to Present.
- O.N. Equity Sales Company, Registered Representative, 08/2010 to Present.
- Pleasant Wealth LLC, Financial Strategist, 05/2010 to Present.
- Mount Vernon Nazarene University, Student, 09/2006 to 05/2010.

*Certifications: CFP®*

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- **Ethics** - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Ms. Hand does not have, nor has she ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Ms. Hand is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, she may recommend securities products to be included in your investment portfolio. If you purchase these products through Ms. Hand she will receive customary commissions in her separate capacity as a registered representative of ONESCO. Additionally, Ms. Hand may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Ms. Hand is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Ms. Hand may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Ms. Hand for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Ms. Hand is also separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. Ms. Hand promotes her insurance business using the dba Pleasant Wealth, LLC. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Hand for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Ms. Hand an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

### Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Ms. Hand's receipt of additional compensation as a result of her activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Ms. Hand. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Elizabeth Kate Hand does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Frederic Scott Nussbaum**

**461 Wadsworth Road  
Orrville, Ohio 44667**

**Phone: 330-683-1050**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about F. Scott Nussbaum that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Frederic Scott Nussbaum (CRD # 5387819) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### F. Scott Nussbaum

*Year of Birth:* 1980

*Formal Education After High School:*

- University of Akron, B.S. in Marketing with a focus on Sales Marketing, 2004.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 01/2011 to Present.
- O.N. Equity Sales Company, Registered Representative, 06/2007 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 09/2011 to Present.
- O N. Investment Management Co., Investment Adviser Representative, 03/2008 to 12/2010.
- NS International Biofuels, LLC, 02/2006 - 06/2007.

## Item 3 Disciplinary Information

Mr. Nussbaum does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Nussbaum is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Nussbaum he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Nussbaum may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Nussbaum is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Nussbaum may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Nussbaum for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Nussbaum is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Nussbaum promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Nussbaum for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Nussbaum an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Nussbaum's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Nussbaum. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Frederick Scott Nussbaum does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Holly R. Miller**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-0113**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Holly R. Miller that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Holly R. Miller (CRD # 4774290) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Holly R. Miller

*Year of Birth:* 1980

*Formal Education After High School:*

- Eastern Mennonite University, B.S., Business Administration, 2003

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Assistant/Investment Adviser Representative, 06/2007 to Present.
- O.N. Equity Sales Company, Registered Representative, 03/2004 to Present.
- Hummel Group, Inc., Customer Service Representative, 05/2003 to Present.

## Item 3 Disciplinary Information

Ms. Miller does not have, nor has she ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Ms. Miller is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, she may recommend securities products to be included in your investment portfolio. If you purchase these products through Ms. Miller she will receive customary commissions in her separate capacity as a registered representative of ONESCO. Additionally, Ms. Miller may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Ms. Miller is also separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. Ms. Miller promotes her insurance business using the dba Dave Schlabach Financial Services. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Miller for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Ms. Miller an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Ms. Miller's receipt of additional compensation as a result of her activities as a registered representative of ONESCO and licensed insurance agent .

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Ms. Miller. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Holly R. Miller does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Henry J. Reifsnyder, CFP®**

**461 Wadsworth Road  
Orrville, Ohio 44667**

**Phone: 330-683-1050**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Henry J. Reifsnyder that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Henry J. Reifsnyder (CRD # 5529861) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Henry J. Reifsnyder, CFP®

Year of Birth: 1980

#### Formal Education After High School:

- La Salle University, B.S., Business Administration, 2003.
- University of Akron, M.A., Education, 2007.

#### Business Background for the Previous Five Years:

- Hummel Wealth Management, LLC, Investment Adviser Representative, 03/2009 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 09/2011 to Present.
- O.N. Equity Sales Company, Registered Representative, 05/2008 to Present.
- Ohio National Financial Services, Producer, 06/2007 to Present.
- University of Akron, Graduate Student, 08/2005 to 06/2007.
- Education Data Systems, Inc., Job Developer, 05/2003 to 08/2005.
- LaSalle University, Student, 08/1998 to 05/2003.

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Mr. Reifsnyder does not have, nor has he ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Mr. Reifsnyder is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Reifsnyder he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Reifsnyder may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Reifsnyder is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Reifsnyder may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Reifsnyder for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Reifsnyder is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Reifsnyder promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Reifsnyder for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Reifsnyder an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

### Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Reifsnyder's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, an investment adviser representative of ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Reifsnnyder. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Henry J. Reifsnnyder does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Jacob Michael Kraft**  
**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Jacob Michael Kraft that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob Michael Kraft (CRD # 5861689) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### **Jacob Michael Kraft**

*Year of Birth:* 1979

#### *Formal Education After High School:*

- Baldwin Wallace University, 1998 - 2000
- Akron University, BA, Communications, 2003

#### *Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 07/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 11/2010 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 02/2012 to Present.
- Hummel Group, Inc., Financial Services, 10/2010 to Present.
- Ohio National Financial Services, Career Rep, 10/2010 to Present.
- Banker's Life & Casualty, Sales, 05/2010 to 10/2010.
- Magic Garage Door, Sales, 06/2009 to 02/2010.
- ABC Seamless, Inc., Sales, 05/2006 to 06/2009.

## Item 3 Disciplinary Information

Mr. Kraft does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Kraft is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Kraft he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Kraft may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Kraft is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Kraft may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Kraft for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Kraft is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Kraft promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Kraft for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Kraft an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any

person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Kraft's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, investment adviser representative with ON Investment Management Co. and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Kraft. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Jacob Michael Kraft does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**John R. Mullett**

**4585 SR 39  
Berlin, Ohio 44610**

**Telephone : 740-398-1670**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-0113**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about John R. Mullett that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John R. Mullett (CRD # 5725331) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### John R. Mullett

*Year of Birth:* 1973

*Formal Education After High School:*

- Series 66 registered investment adviser examination
- Series 7 general securities examination

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 06/2010 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 07/2012 to Present.
- O.N. Equity Sales Company, Registered Representative, 10/2009 to Present.
- Biblical Mennonite Alliance, Editor of Publications, 09/2009 to 07/2010.
- Ohio National Financial Services, Producer, 08/2009 to Present
- Self-Employed, 10/2008 to Present
- Hostetler Metal & Truss, Truss Designer, 10/2004 to 10/2008
- Self-Employed, 02/2002 to 10/2004
- Freedom Hills Ministries, Inc., Volunteer/Counselor, 08/1999 to 02/2002.

## Item 3 Disciplinary Information

Mr. Mullett does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Mullett is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Mullett he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Mullett may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Mullett is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Mullett may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Mullett for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Mullett is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Mullett promotes his insurance business using the dba Pleasant Wealth, LLC. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Mullett for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Mullett an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any

person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Mullett's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, investment adviser representative with ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Mullett. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

John R. Mullett does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Trevor Linn Hostetler, CFP®**

**461 Wadsworth Road  
PO Box 3  
Orville, Ohio 44667**

**Phone: 330-683-1050**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Trevor L. Hostetler that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Trevor Linn Hostetler (CRD # 4343575) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Trevor Linn Hostetler, CFP®**

*Year of Birth:* 1974

*Formal Education After High School:*

- University of Akron, B.S., Business Administration with focus on Financial Planning, 2002.

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Assistant, Investment Adviser Representative, 04/2007 to Present.
- O.N. Investment Management Co., Investment Adviser Representative, 01/2014 to Present.
- O.N. Equity Sales Company, Registered Representative, 01/2001 to Present.
- Hummel Group, Inc., Financial Services Department Manager, 01/2003 to Present.
- RE Miller Insurance Agency, Customer Service Representative, 03/1999 to 12/2002.

*Certifications:*

**The CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a

fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 Disciplinary Information

Mr. Hostetler does not have, nor has he ever had, any disciplinary disclosure.

### Item 4 Other Business Activities

Mr. Hostetler is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Hostetler he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Hostetler may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Hostetler is also an investment adviser representative of O.N. Investment Management Co. ("ONIMCO"), an unaffiliated registered investment adviser. Mr. Hostetler may recommend that you use the services of ONIMCO if suitable for your needs. Advisory services provided through our firm and related fees are separate and distinct from the fees paid to ONIMCO and Mr. Hostetler for services provided through that firm. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Hostetler is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Hostetler promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Hostetler for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Hostetler an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

### Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Hostetler's receipt of additional compensation as a result of his activities as a registered representative of ONESCO, investment adviser representative with ONIMCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Joshua M.Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Hostetler. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## **Item 7 Requirements for State Registered Advisers**

Trevor Linn Hostetler does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Trent Dale Blauch**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
P.O. Box 250  
Berlin, OH 44610**

**Telephone: 330-893-2600  
Facsimile: 330-893-3339**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Trent Dale Blauch that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Contact us at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Trent Dale Blauch (CRD # 5786978) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### **Trent Dale Blauch**

*Year of Birth:* 1988

*Formal Education After High School:*

- Kent State University, BA Finance, 8/2007 - 5/2011

*Business Background:*

- Hummel Wealth Management, LLC, Account Executive, 11/2012 - Present
- Hummel Group, Account Executive, 11/2012 - Present
- Ohio National Financial Services, Producer, 10/2012 - Present
- Scottrade, Intern/Stock Broker Trainee, 5/2010 - 3/2012
- Village Of Sugarcreek, Street Department, 5/2008 - 8/2009
- Hummel Wealth Management, LLC, Investment Adviser Representative, 10/2014 - Present
- The O.N. Equity Sales Company , Registered Representative, 10/2012 - Present

## Item 3 Disciplinary Information

Mr. Trent Dale Blauch does not have any reportable disciplinary disclosure.

## Item 4 Other Business Activities

Trent Dale Blauch is a Registered Representative with The O.N. Equity Sales Company. The O.N. Equity Sales Company is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Blauch may recommend securities or insurance products offered by The O.N. Equity Sales Company as part of your investment portfolio. If you purchase these products through Mr. Blauch, he will receive the customary commissions in his separate capacity as a Registered Representative of The O.N. Equity Sales Company.

Additionally, Mr. Blauch could be eligible to receive incentive awards such as The O.N. Equity Sales Company may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Blauch an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. Blauch is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Blauch promotes his insurance business using the dba Hummel Group, Inc. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Blauch for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Blauch an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Blauch's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Josh Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Blauch. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Trent Dale Blauch does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

**Clinton D. Miller**

**Phone: 330-893-0113**

**Hummel Wealth Management, LLC**

**4585 State Route 39  
Berlin, Ohio 44610**

**Phone: 330-893-2600**

**June 10, 2016**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Clinton D. Miller that supplements the Hummel Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our firm at 330-893-2600 if you did not receive Hummel Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Clinton D. Miller (CRD # 6281534) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Clinton D. Miller

*Year of Birth:* 1983

*Formal Education After High School:*

- Eastern Mennonite University, BA, Mathematics, 2006

*Business Background for the Previous Five Years:*

- Hummel Wealth Management, LLC, Investment Adviser Representative, 06/2014 to Present.
- O.N. Equity Sales Company, Registered Representative, 01/2014 to Present.
- Pleasant Wealth Associates, Operations Trainee, 11/2013 to Present.
- Travel/Unemployed, 10/2013 to 11/2013
- Wilderness Wind, Management, Maintenance, Expedition Trip Leading, 05/2013 to 10/2013
- Self-Employed, Construction, Custom Residence, 01/2013 to 04/2013
- Travel/Unemployed, 08/2012 to 12/2012
- Wilderness Wind, Seasonal Camp Counselor, 06/2012 to 10/2012
- Bluestone Vineyard, Labor, Vine Care & Winemaking, 01/2011 to 06/2012
- Self-Employed, Masonry Repair, Volunteer Work 10/2010 to 01/2011
- Herr & Co. Building Contractors, Construction, Custom Residential, 06/2008 to 10/2010

## Item 3 Disciplinary Information

Mr. Miller does not have, nor has he ever had, any disciplinary disclosure.

## Item 4 Other Business Activities

Mr. Miller is a registered representative with O.N. Equity Sales Company ("ONESCO"), a securities broker dealer. In this capacity, he may recommend securities products to be included in your investment portfolio. If you purchase these products through Mr. Miller he will receive customary commissions in his separate capacity as a registered representative of ONESCO. Additionally, Mr. Miller may also receive 12b-1 fees from mutual funds that pay such fees. Please refer to the *Fees and Compensation* section of our firm brochure for additional disclosures on this topic.

Mr. Miller is also separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. Mr. Miller promotes his insurance business using the dba Pleasant Wealth, LLC. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Miller for insurance related activities.

The receipt of additional sales-based compensation presents a conflict of interest and may give Mr. Miller an incentive to recommend investment or insurance products based on the compensation received, rather than solely on your investment needs. However, you are under no obligation, contractually or otherwise, to purchase insurance or securities products through an affiliated firm or any person associated with our firm. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Miller's receipt of additional compensation as a result of his activities as a registered representative of ONESCO and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section of Hummel Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Joshua M. Hartzler is the Chief Compliance Officer of Hummel Wealth Management, Inc., and is responsible for supervising the advisory activities of Mr. Miller. Mr. Hartzler can be reached at 330-683-1050.

As registered representatives of ONESCO we are subject to annual audits by ONESCO and we are only permitted to conduct our advisory practice in accordance with strict controls and limitations set by ONESCO.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by ONESCO, and by internal decisions as to the types of investments that may be included in client portfolios.

## Item 7 Requirements for State Registered Advisers

Clinton D. Miller does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.