

# STEWARD

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## FINANCIAL GROUP

### **Form ADV Part 2B – Individual Disclosure Brochure**

**for**

**Vincent E. Hawkins  
Founding Principal and Chief Compliance Officer**

**Effective: October 17, 2011**

This Brochure Supplement provides information about the background and qualifications of Vincent E. Hawkins (CRD# 5098143) in addition to the information contained in the Steward Financial Group, LLC ("SFG" or the "Advisor" CRD #143513) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or SFG's Disclosure Brochure, please contact us at (817) 428-1145 or by email at [mail@stewardfinancialgroup.com](mailto:mail@stewardfinancialgroup.com).

Additional information about Vincent E. Hawkins is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

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Vincent E. Hawkins is the Founding Principal and Chief Compliance Officer of Steward Financial Group, LLC. Mr. Hawkins, born in 1963, is dedicated to serving the Clients of Steward Financial Group, LLC.

Mr. Hawkins earned a Bachelor's degree in Accounting and Information Systems from University of Texas at the Permian Basin in 1988.

Additional information regarding Mr. Hawkins's employment history is included below.

### Employment History:

Founding Principal and CCO, Steward Financial Group, LLC	1 / 2007 to Present
Vice President Advanced Planning Inc.	2005 to 2007

## Item 3 – Disciplinary Information

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*There are no legal, civil or disciplinary events to disclose regarding Mr. Hawkins.* Mr. Hawkins has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Hawkins.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and / or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Hawkins.*

However, we do encourage you to independently view the background of Mr. Hawkins on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter **5098143** in the field labeled "Individual CRD Number".

## Item 4 – Other Business Activities

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Mr. Hawkins has additional business activities that are detailed in Item 10 - Other Financial Activities and Affiliations in Form ADV Part 2A.

## Item 5 – Additional Compensation

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Mr. Hawkins has additional business activities were compensation is received. These business activities are detailed in Item 10 - Other Financial Activities and Affiliations in Form ADV Part 2A.

## Item 6 – Supervision

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Mr. Hawkins serves as the Founding Principal and Chief Compliance Officer of SFG. Mr. Hawkins can be reached at (817) 428-1145. SFG has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of SFG. Further, SFG is subject to regulatory oversight by various agencies. These agencies require registration by SFG and its employees. As a registered entity, SFG is subject to examinations by regulators, which may be announced or unannounced. SFG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.