

**Item 1: Cover Page for Part 2B of  
Form ADV: Brochure Supplement  
January 2013**

**Lynda Elley**

**Copperwynd Financial, LLC  
14256 N. Northsight Blvd., Suite B-115  
Scottsdale, AZ 85260  
(480) 348-2100**

**Firm Contact:  
Erick Newton, Chief Compliance Officer**

**Firm Website:  
[www.copperwyndfinancial.com](http://www.copperwyndfinancial.com)**

**This brochure supplement provides information about Lynda Elley that supplements our brochure. You should have received a copy of that brochure. Please contact Erick Newton if you did not receive Copperwynd Financial, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Lynda Elley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background & Business Experience**

### **Lynda Elley CFP®**

Born in 1961

#### **Educational Background:**

Colorado State University, BS in Business Administration

#### **Business Background:**

4/07- Present, Copperwynd Financial, Managing Member

3/05- 03/11, Cambridge Investment Research, Inc., Registered Representative

03/05 - 03/11, Cambridge Investment Research Advisors, Inc., Advisor Representative

#### **CFP® - Certified Financial Planner™:**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

## **Item 3: Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Lynda Elley, we are required to disclose all material facts regarding those events.

We have nothing to disclose in regards to Item 3.

#### **Item 4: Other Business Activities**

- A. If Lynda Elley is actively engaged in any investment-related business or occupation, including if Lynda Elley is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other

1. If a relationship between the advisory business and Lynda Elley's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Also as a licensed insurance agent, Ms. Elley may recommend to her advisory clients a variety of insurance products, and she may offer commissionable insurance products to clients for which she may receive compensation. A conflict of interest may arise as these commissionable insurance product sales may create an incentive to recommend products based on the compensation Ms. Elley may earn and may not necessarily be in the best interests of the client. In order to minimize this conflict of interest, Ms. Elley will place client interests ahead of her own interests and adhere to our firm's Code of Ethics as well as clearly explaining this conflict when recommending any such products to clients. Clients are not obligated to purchase these products. Insurance sales constitute less than 10% of her time.

2. If Lynda Elley receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Lynda Elley receives. We must explain that this practice gives Lynda Elley an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Lynda Elley is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Lynda Elley's income or involve a substantial amount of Lynda Elley's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Lynda Elley's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5: Additional Compensation**

If someone who is not a client provides an economic benefit to Lynda Elley for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Lynda Elley's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

### **Item 6: Supervision**

We are required to explain how we supervise Lynda Elley, including how we monitor the advice Lynda Elley provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Lynda Elley's advisory activities on behalf of our firm.

Erick Newton, Chief Compliance Officer of Copperwynd Financial, LLC, supervises and monitors Ms. Elley's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Newton if you have any questions about Ms. Elley's brochure supplement at (480) 348-2100.